EXHIBIT A

United States of America United States Patent and Trademark Office



Reg. No. 3,757,850

EMERSON ELECTRIC CO. (MISSOURI CORPORATION)

8000 WEST FLORISSANT AVENUE

Registered Mar. 9, 2010 ST. LOUIS, MO 63136

Corrected Mar. 29, 2016 For: ALL PURPOSE LUBRICANTS; INDUSTRIAL LUBRICATING OILS, IN CLASS 4 (U.S. CLS. 1, 6 AND 15).

> FOR: METAL SHELVES; SHEAVES; METAL BRACKETS; METAL TOOL BOXES; METAL HARDWARE, NAMELY, PULLEYS, IN CLASS 6 (U.S. CLS. 2, 12, 13, 14, 23, 25 AND 50).

Int. Cls.: 4, 6, 7, 8, 10, 12,

16, 20, 35, 36, 37, 41 and FIRST USE 0-0-2002; IN COMMERCE 0-0-2002.

TRADEMARK

FIRST USE 9-0-2003; IN COMMERCE 9-0-2003.

SERVICE MARK

PRINCIPAL REGISTER

FOR: MACHINE PARTS, NAMELY, BEARINGS, BEARING SEALS, PILLOW BLOCKS, FLANGE BLOCKS, TAKEUP UNITS, BUSHINGS, BELTS, COUPLINGS, PULLEYS, MOTOR BASES, COMPRESSORS, CLUTCHES, MECHANICAL SEALS, STARTERS, ELECTRIC MOTORS AND INDUCTION MOTORS; FOOD WASTE DISPOSERS, GARBAGE DISPOSALS; ULTRASONIC CLEANING, WELDING, AND JOINING MACHINES; INDUSTRIAL VACUUM CLEANING MACHINES; ELECTRIC GENERATORS; POWER OPERATED PIPE THREADING MACHINES; ULTRASONIC CLEANING MACHINES, IN CLASS 7 (U.S. CLS. 13, 19, 21, 23, 31, 34 AND 35).



FIRST USE 0-0-2002; IN COMMERCE 0-0-2002.

Michelle K. Zen

FOR: METAL VISES: A FULL LINE OF MANUALLY OPERATED HAND TOOLS FOR IN-DUSTRIAL, COMMERCIAL AND DOMESTIC USE AND REPLACEMENT PARTS THEREFOR AND ACCESSORIES AND ATTACHMENTS THEREFOR, NAMELY, DRIVE SHAFTS, SUP-PORT ARMS, CLEANING BRUSHES, SCREWDRIVERS, WRENCHES, HAMMERS, SAWS, PLIERS, WIRE CRIMPERS, BOLT CUTTERS, ANVILS, HAND SAWS, PUNCH AND DIE SETS COMPRISING PUNCHES, DIES AND CASE, TUBING CUTTERS, CABLE CUTTERS, WIRE STRIPPERS, CONDUIT SIZING TOOLS AND FLARING TOOLS; SWAGING TOOLS FOR SHAPING PIPES, RODS, CONDUITS AND TUBING: BENDERS FOR USE ON CONDUITS AND TUBING; EXPANDERS FOR USE ON PIPES, RODS, CONDUITS AND TUBING; AND INNER CORE CABLES, IN CLASS 8 (U.S. CLS. 23, 28 AND 44).

Director of the United States Patent and Trademark Office

FIRST USE 0-0-2003; IN COMMERCE 0-0-2003.

FIRST USE 7-0-2006; IN COMMERCE 3-0-2007.

FOR: WHEELED TRANSPORTS IN THE NATURE OF CARTS, IN CLASS 12 (U.S. CLS. 19, 21, 23, 31, 35 AND 44).

FIRST USE 0-0-2003; IN COMMERCE 0-0-2003.

FOR: PRINTED TRAINING MANUALS FEATURING INFORMATION ON SHELVING AND STORING PRODUCTS, AND KITCHEN, FOOD SERVICE AND HOUSEHOLD ORGANIZING PRODUCTS, IN CLASS 16 (U.S. CLS. 2, 5, 22, 23, 29, 37, 38 AND 50).

FIRST USE 0-0-2004; IN COMMERCE 0-0-2004.

FOR: STORAGE AND ORGANIZATION PRODUCTS, NAMELY, STORAGE RACKS, SHELVING, BASKETS, HAMPERS, BINS, BOOT AND SHOE ORGANIZERS, WARDROBES, CABINETS, UNINSULATED HOLDING CABINETS; STORAGE TOWERS, DRAWERS AND MOUNTING HARDWARE FOR USE THEREWITH SOLD AS A UNIT; CABINETS AND ORGANIZERS THEREFOR; NON-METAL SHELVING SYSTEMS COMPRISED PRIMARILY OF BOOT AND SHOE ORGANIZERS, WARDROBES, STORAGE CABINETS, STORAGE TOWERS, RACKS, SHELVING, HAMPERS, DRAWERS, BINS, NON-METAL SHELVES; WORKBENCHES; OFFICE FURNITURE; KITCHEN, FOOD SERVICE AND HOUSEHOLD ORGANIZING PRODUCTS, NAMELY, PAN AND RACK TRAYS; AND DISH STORAGE SHELVING, IN CLASS 20 (U.S. CLS. 2, 13, 22, 25, 32 AND 50).

FIRST USE 10-0-2003; IN COMMERCE 10-0-2003.

FOR: BUSINESS SERVICES, NAMELY, FACILITIES MANAGEMENT OF TECHNICAL OPERATIONS, IN CLASS 35 (U.S. CLS. 100, 101 AND 102).

FIRST USE 6-6-2008; IN COMMERCE 6-6-2008.

FOR: PROVIDING EDUCATIONAL SCHOLARSHIPS; FINANCIAL SPONSORSHIP OF ENTERTAINMENT AND CULTURAL EVENTS, NAMELY, ARTISTIC PERFORMANCES, MUSICAL PERFORMANCES, THEATRICAL PERFORMANCES, HISTORICAL EXHIBITS AND HISTORICAL SOCIETIES, SPORTING EVENTS, FILM FESTIVALS, SPEAKING ENGAGEMENTS AND COMMUNITY SERVICE PROGRAMS IN SUPPORT OF EDUCATION AND SCHOLARSHIP SERVICES, HEALTH AND HUMAN SERVICES, CIVIC AND COMMUNITY WELFARE, AND YOUTH-ORIENTED EDUCATION AND ENTERTAINMENT, IN CLASS 36 (U.S. CLS. 100, 101 AND 102).

FIRST USE 5-10-2006; IN COMMERCE 5-10-2006.

FOR: REPAIR AND MAINTENANCE OF INDUSTRIAL AND COMMERCIAL MACHINERY AND ELECTRONIC EQUIPMENT, IN CLASS 37 (U.S. CLS. 100, 103 AND 106).

FIRST USE 3-18-2002; IN COMMERCE 3-18-2002.

FOR: TRAINING IN THE USE OF HEATING, VENTILATING, AIR CONDITIONING AND REFRIGERATION TECHNOLOGIES AND SYSTEMS, IN CLASS 41 (U.S. CLS. 100, 101 AND 107).

FIRST USE 0-0-2008; IN COMMERCE 0-0-2008.

 $Reg.\ No.\ 3,757,850$ For: Designing antenna support structures, in class 42 (u.s. cls. 100 and 101).

FIRST USE 8-0-2004; IN COMMERCE 8-0-2004.

OWNER OF U.S. REG. NOS. 111,931, 1,820,423 AND OTHERS.

THE STIPPLING IS A FEATURE OF THE MARK.

SER. NO. 76-146,750, FILED 10-13-2000.

Generated on: This page was generated by TSDR on 2017-08-24 16:15:20 EDT

Mark: EMERSON



US Serial Number: 76146750 Application Filing Oct. 13, 2000

Date:

US Registration 3757850 Registration Date: Mar. 09, 2010

Number:

Register: Principal

Mark Type: Trademark, Service Mark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: A partial Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Nov. 18, 2016

Publication Date: Sep. 04, 2007

Notice of Nov. 27, 2007

Allowance Date:

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type:

Color(s) Claimed: Color is not claimed as a feature of the mark.

Lining and The stippling is a feature of the mark.

Stippling

Statement:

Design Search 26.01.29 - Helixes; DNA helix

Code(s):

Related Properties Information

 $\textbf{Claimed Ownership} \quad 0111931, \ 1267606, \ 1286056, \ 1291898, \ 1307930, \ 1308011, \ 1308536, \ 1308882, \ 1308884, \ 1309350, \ 1316533, \ 1331669, \ 1344449, \ 1308888,$

of US 46176, 1717861, 1742773, 1795612, 1795768, 1820423 and others

Registrations:

Parent Of: 76975788, 76975789, 76975790, 76975791

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: [All purpose lubricants; industrial lubricating oils]

International 004 - Primary Class U.S Class(es): 001, 006, 015

Class(es):

Class Status: SECTION 8 - CANCELLED

Basis: 1(a)

First Use: 2002 Use in Commerce: 2002

For: Metal shelves; [sheaves;] metal brackets; metal tool boxes; [metal hardware, namely, pulleys]

International 006 - Primary Class U.S Class(es): 002, 012, 013, 014, 023, 025, 050

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: Sep. 2003 Use in Commerce: Sep. 2003

For: [Machine parts, namely, bearings, bearing seals, pillow blocks, flange blocks, takeup units, bushings, belts, couplings, pulleys, motor bases, compressors, clutches, mechanical seals, starters, electric motors and induction motors;] food waste disposers, garbage disposals; ultrasonic cleaning, welding, and joining machines; industrial vacuum cleaning machines; electric generators; power

operated pipe threading machines; ultrasonic cleaning machines

International 007 - Primary Class

U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: 2002 Use in Commerce: 2002

For: Metal vises; a full line of manually operated hand tools for industrial, commercial and domestic use and replacement parts therefor and accessories and attachments therefor, namely, drive shafts, support arms, cleaning brushes, screwdrivers, wrenches, hammers, saws, pliers, wire crimpers, bolt cutters, anvils, hand saws, punch and die sets comprising punches, dies and case, tubing cutters, cable cutters, wire strippers, conduit sizing tools and flaring tools; swaging tools for shaping pipes, rods, conduits and tubing; benders for use

U.S Class(es): 026, 039, 044

on conduits and tubing; expanders for use on pipes, rods, conduits and tubing; and inner core cables

International 008 - Primary Class U.S Class(es): 023, 028, 044

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: 2003 Use in Commerce: 2003

For: Ultrasonic cleaners for medical and surgical instruments[; wheeled transports in the nature of carts]

International 010 - Primary Class Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: Jul. 2006 Use in Commerce: Mar. 2007

For: Wheeled transports in the nature of carts

International 012 - Primary Class U.S Class(es): 019, 021, 023, 031, 035, 044

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: 2003 Use in Commerce: 2003

For: Printed training manuals featuring information on shelving and storing products, and kitchen, food service and household organizing

products

U.S Class(es): 002, 005, 022, 023, 029, 037, 038, 050 International 016 - Primary Class

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: 2004 Use in Commerce: 2004

For: Storage and organization products, namely, storage racks, shelving, baskets, hampers, bins, boot and shoe organizers, wardrobes, cabinets, uninsulated holding cabinets; storage towers, drawers and mounting hardware for use therewith sold as a unit; cabinets and organizers therefor; non-metal shelving systems comprised primarily of boot and shoe organizers, wardrobes, storage cabinets, storage towers, racks, shelving, hampers, drawers, bins, non-metal shelves; workbenches; office furniture; kitchen, food service and

household organizing products, namely, pan and rack trays; and dish storage shelving

International 020 - Primary Class

U.S Class(es): 002, 013, 022, 025, 032, 050

Class(es):

Class Status: ACTIVE Basis: 1(a)

First Use: Oct. 2003 Use in Commerce: Oct. 2003

For: Business services, namely, facilities management of technical operations

International 035 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: Jun. 06, 2008 Use in Commerce: Jun. 06, 2008

For: Providing educational scholarships; financial sponsorship of entertainment and cultural events, namely, artistic performances, musical

performances, theatrical performances, historical exhibits and historical societies, sporting events, film festivals, speaking

engagements and community service programs in support of education and scholarship services, health and human services, civic and

community welfare, and youth-oriented education and entertainment

International 036 - Primary Class U.S Class(es): 100, 101, 102

Class(es):

Class Status: ACTIVE Basis: 1(a)

> First Use: May 10, 2006 Use in Commerce: May 10, 2006

For: Repair and maintenance of industrial and commercial machinery and electronic equipment U.S Class(es): 100, 103, 106

International 037 - Primary Class

Class(es):

Class Status: ACTIVE Basis: 1(a)

> Use in Commerce: Mar. 18, 2002 First Use: Mar. 18, 2002

For: Training in the use of heating, ventilating, air conditioning and refrigeration technologies and systems

International 041 - Primary Class U.S Class(es): 100, 101, 107

Class(es):

Class Status: ACTIVE Basis: 1(a)

> Use in Commerce: 2008 First Use: 2008

For: [Designing antenna support structures]

International 042 - Primary Class U.S Class(es): 100, 101

Class(es):

Class Status: SECTION 8 - CANCELLED

Basis: 1(a)

First Use: Aug. 2004 Use in Commerce: Aug. 2004

Basis Information (Case Level)

Filed Use: No Amended Use: No Currently Use: Yes Filed ITU: Yes Currently ITU: No Amended ITU: No Filed 44D: No Currently 44D: No Amended 44D: No Filed 44E: No Currently 44E: No Amended 44E: No

Filed 66A: No Currently 66A: No Currently No Basis: No Filed No Basis: No

Current Owner(s) Information

Owner Name: Emerson Electric Co.

Owner Address: 8000 West Florissant Avenue

St. Louis, MISSOURI UNITED STATES 63136

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan; Lisa M. DuRoss **Docket Number: 3165-200075** Attorney Primary mailroom@hdp.com **Email Address:**

Attorney Email Yes Authorized:

Correspondent

Correspondent Michael P. Brennan; Lisa M. DuRoss Name/Address: Harness, Dickey & Pierce P.L.C.

5445 Corporate Drive, Suite 200

Troy, MICHIGAN UNITED STATES 48098

Phone: 248-641-1600 **Fax:** 248-641-0270

Correspondent e- mailroom@hdp.com duross@hdp.com bmarkham

Correspondent e- Yes mail: @hdp.com agrant@hdp.com sbianchi@hdp.com mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Nov. 18, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Nov. 18, 2016	REGISTERED - PARTIAL SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	70132
Nov. 17, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	70132
Sep. 09, 2016	TEAS SECTION 8 & 15 RECEIVED	
Feb. 25, 2016	CERTIFICATE OF CORRECTION ISSUED	76533
Feb. 25, 2016	SEC 7 REQUEST FILED	76533
Feb. 23, 2016	TEAS RESPONSE TO OFFICE ACTION-POST REG RECEIVED	
Aug. 26, 2015	POST REGISTRATION ACTION MAILED - SEC. 7	76533
Aug. 26, 2015	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76533
Aug. 17, 2015	TEAS SECTION 7 REQUEST RECEIVED	
Mar. 09, 2010	REGISTERED-PRINCIPAL REGISTER	
Feb. 03, 2010	LAW OFFICE REGISTRATION REVIEW COMPLETED	66121
Jan. 26, 2010	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jan. 19, 2010	STATEMENT OF USE PROCESSING COMPLETE	65362
Nov. 25, 2009	USE AMENDMENT FILED	65362
Jan. 14, 2010	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Nov. 25, 2009	TEAS STATEMENT OF USE RECEIVED	
May 27, 2009	EXTENSION 3 GRANTED	98765
May 27, 2009	EXTENSION 3 FILED	98765
May 27, 2009	TEAS EXTENSION RECEIVED	
Nov. 26, 2008	EXTENSION 2 GRANTED	98765
Nov. 26, 2008	EXTENSION 2 FILED	98765
Nov. 26, 2008	TEAS EXTENSION RECEIVED	
May 27, 2008	EXTENSION 1 GRANTED	98765
May 27, 2008	EXTENSION 1 FILED	98765
May 27, 2008	TEAS EXTENSION RECEIVED	
Nov. 27, 2007	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Sep. 04, 2007	PUBLISHED FOR OPPOSITION	
Aug. 15, 2007	NOTICE OF PUBLICATION	
May 25, 2007	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Apr. 12, 2007	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Apr. 05, 2007	EXAMINERS AMENDMENT MAILED	
Apr. 05, 2007	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 05, 2007	EXAMINER'S AMENDMENT ENTERED	88888
Apr. 05, 2007	EXAMINERS AMENDMENT -WRITTEN	76745
Apr. 05, 2007	PREVIOUS ALLOWANCE WITHDRAWN-TIMELY AAU	
Mar. 30, 2007	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Mar. 03, 2007	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Mar. 03, 2007	ASSIGNED TO LIE	66121
Jan. 30, 2007	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jan. 30, 2007	EXAMINERS AMENDMENT MAILED	

Jan. 29, 2007	EXAMINER'S AMENDMENT ENTERED	88888
Jan. 29, 2007	EXAMINERS AMENDMENT -WRITTEN	76745
Jan. 05, 2007	TEAS/EMAIL CORRESPONDENCE ENTERED	88889
Jan. 04, 2007	CORRESPONDENCE RECEIVED IN LAW OFFICE	88889
Jan. 04, 2007	TEAS RESPONSE TO OFFICE ACTION RECEIVED	00000
Nov. 07, 2006	EXAMINER'S AMENDMENT/PRIORITY ACTION MAILED	
Nov. 07, 2006	EXAMINERS AMENDMENT AND/OR PRIORITY ACTION - COMPLETED	76745
Nov. 07, 2006	PREVIOUS ALLOWANCE WITHDRAWN-TIMELY AAU	70743
Oct. 27, 2006	WITHDRAWN FROM PUB - OG REVIEW QUERY	61844
Sep. 29, 2006	LAW OFFICE PUBLICATION REVIEW COMPLETED	73797
Sep. 29, 2006	ASSIGNED TO LIE	73797
Sep. 22, 2006	APPROVED FOR PUB - PRINCIPAL REGISTER	13131
Sep. 22, 2006 Sep. 21, 2006	TEAS/EMAIL CORRESPONDENCE ENTERED	78288
Aug. 18, 2006	CORRESPONDENCE RECEIVED IN LAW OFFICE	78288
Aug. 18, 2006 Aug. 18, 2006	TEAS RESPONSE TO OFFICE ACTION RECEIVED	70200
Jul. 20, 2006	ACTION GRANTING REQ. FOR RECON. MAILED	
Jul. 20, 2006 Jul. 20, 2006		76745
Jun. 23, 2006	ACTION CONTINUING FINAL - COMPLETED TEAS/EMAIL CORRESPONDENCE ENTERED	77075
	TEAS/EMAIL CORRESPONDENCE ENTERED	
Jun. 20, 2006	CORRESPONDENCE RECEIVED IN LAW OFFICE	77075
Jun. 20, 2006	TEAS RESPONSE TO OFFICE ACTION RECEIVED	4.40750
Jun. 20, 2006	EX PARTE APPEAL-INSTITUTED	146750
Jun. 20, 2006	JURISDICTION RESTORED TO EXAMINING ATTORNEY	146750
Jun. 20, 2006	EXPARTE APPEAL RECEIVED AT TTAB	
Dec. 20, 2005	FINAL REFUSAL MAILED	70745
Dec. 20, 2005	FINAL REFUSAL WRITTEN	76745
Dec. 02, 2005	TEAS/EMAIL CORRESPONDENCE ENTERED	76532
Nov. 16, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	76532
Nov. 16, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 16, 2005	NON-FINAL ACTION MAILED	
May 15, 2005	NON-FINAL ACTION WRITTEN	76745
May 14, 2005	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	
Mar. 29, 2005	LIE CHECKED SUSP - TO ATTY FOR ACTION	70997
Sep. 15, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70997
Oct. 21, 2003	LETTER OF SUSPENSION MAILED	
Oct. 20, 2003	ASSIGNED TO EXAMINER	76745
May 13, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
May 14, 2003	DIVISIONAL REQUEST RECEIVED	
Jun. 13, 2003	CASE FILE IN TICRS	
May 14, 2003	PAPER RECEIVED	
Nov. 14, 2002	LETTER OF SUSPENSION MAILED	
Feb. 15, 2002	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 31, 2002	REINSTATED	
Feb. 15, 2002	PETITION TO REVIVE-RECEIVED	
Dec. 27, 2001	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Apr. 26, 2001	NON-FINAL ACTION MAILED	
Apr. 04, 2001	ASSIGNED TO EXAMINER	76832
Mar. 29, 2001	ASSIGNED TO EXAMINER	76833
Mar. 21, 2001	ASSIGNED TO EXAMINER	78429

Maintenance Filings or Post Registration Information

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Change in Yes
Registration:

Correction made to In the statement, Column 1, line 18, should be deleted, and "First Use 00-00-2002; In Commerce 00-00-2002." should be inserted.

Registration:

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: Not Found Date in Location: Nov. 18, 2016

Proceedings

Summary

Number of 4 Proceedings:

Type of Proceeding: Opposition

Proceeding 91228775 Filing Date: Jun 29, 2016

Number:

Status: Terminated Status Date: Oct 12, 2016

Interlocutory YONG OH (RICHARD) KIM

Attorney:

Defendant

Name: Emerson Technologies Inc.

Correspondent XIAOFANG FANG

Address: MOUNT HAVEN 3 LIU TO ROAD, FLAT E 13F BLK 1

TSINGYI NT HONG KONG

Correspondent e- petrasfiling@gmail.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMSON	Abandoned - After Inter-Partes Decision	86775885	
Plaintiff(s)			

Name: Emerson Electric Co.

Correspondent LISA M DUROSS

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE, SUITE 200 TROY MI UNITED STATES, 48098

Correspondent e- docketingTM@hdp.com, duross@hdp.com, nsanborn@hdp.com, bmarkham@hdp.com

mail:

Associated marks				
Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	73391805	1742773
EMERSON		Partial Section 8 and 15 Accepted and Acknowledged	<u>76146750</u>	<u>3757850</u>
EMERSON		Renewed	73391800	<u>1331669</u>
EMERSON INDUSTRIAL AUTOMATION		Partial Section 8 and 15 Accepted and Acknowledged	76294611	<u>3731688</u>
EMERSON		Section 8 and 15 - Accepted and Acknowledged	76978211	3718938
EMERSON		Renewed	74801308	1795612
EMERSON		Section 8 and 15 - Accepted and Acknowledged	76975790	<u>3854063</u>
EMERSON		Renewed	71093643	<u>111931</u>
		Prosecution History		
Entry Number	History Text	Date		Due Date

1 FILED AND FEE Jun 29, 2016

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 11 of 162 PageID #: 40

2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jul 05, 2016	Aug 14, 2016
3	PENDING, INSTITUTED	Jul 05, 2016	
4	NOTICE OF DEFAULT	Aug 24, 2016	
5	BD DECISION: SUSTAINED	Oct 12, 2016	
6	TERMINATED	Oct 12, 2016	

Type of Proceeding: Opposition

Proceeding 91201454 Number: Filing Date: Feb 22, 2014

Status: Terminated

Status Date: Apr 09, 2014

Interlocutory GEORGE POLOGEORGIS

Attorney:

Defendant

Name: Emerson Ecologics, LLC

Correspondent MICHELLE L VISSER

Address: RADER FISHMAN & GRAUER PLLC 39533 WOODWARD AVE , STE 140

BLOOMFIELD HILLS MI UNITED STATES, 48304-5098

Correspondent e- tmdocketing@raderfishman.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON ECOLOGICS	Registered	77908412	5022686
Plaintiff(s)			

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE, SUITE 200 TROY MI UNITED STATES, 48098

 $\textbf{Correspondent e-} \quad \underline{coakley@hdp.com} \ , \ \underline{tjcomparoni@hdp.com} \ , \ \underline{jsachs@hdp.com}$

Associated marks				
Mark	Application Status	Serial Number	Registration Number	
EMERSON	Renewed	<u>75905866</u>	<u>2621862</u>	
EMERSON NETWORK POWER	Renewed	76052685	2798182	
EMERSON.CONSIDER IT SOLVED.	Cancelled - Section 8	78976247	<u>2996109</u>	
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	3673383	
EMERSON	Partial Section 8 and 15 Accepted and Acknowledged	<u>76146750</u>	<u>3757850</u>	
EMERSON NETWORK POWER	Partial Section 8 and 15 Accepted and Acknowledged	76294613	<u>3516801</u>	
EMERSON. CONSIDER IT SOLVED.	Cancelled - Section 8	<u>78078459</u>	<u>3159656</u>	

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Sep 02, 2011	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Sep 02, 2011	Oct 12, 2011
3	PENDING, INSTITUTED	Sep 02, 2011	
4	STIPULATION FOR AN EXTENSION OF TIME	Oct 12, 2011	
5	EXTENSION OF TIME GRANTED	Oct 12, 2011	
6	STIPULATION FOR AN EXTENSION OF TIME	Nov 08, 2011	
7	EXTENSION OF TIME GRANTED	Nov 08, 2011	
8	STIPULATION FOR AN EXTENSION OF TIME	Jan 09, 2012	
9	EXTENSION OF TIME GRANTED	Jan 09, 2012	
10	STIPULATION FOR AN EXTENSION OF TIME	Mar 02, 2012	
11	EXTENSION OF TIME GRANTED	Mar 02, 2012	
12	D MOT FOR EXT W/ CONSENT	Jun 04, 2012	
13	EXTENSION OF TIME GRANTED	Jun 04, 2012	
14	D MOT FOR EXT W/ CONSENT	Sep 03, 2012	

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 12 of 162 PageID #: 41

	Type of Proceeding: O	nnosition
36	TERMINATED	Apr 09, 2014
35	BD DECISION: DISMISSED W/O PREJ	Apr 09, 2014
34	W/DRAW OF OPPOSITION	Apr 03, 2014
33	EXTENSION OF TIME GRANTED	Feb 26, 2014
32	D MOT FOR EXT W/ CONSENT	Feb 22, 2014
31	EXTENSION OF TIME GRANTED	Jan 07, 2014
30	D MOT FOR EXT W/ CONSENT	Dec 27, 2013
29	EXTENSION OF TIME GRANTED	Nov 12, 2013
28	D MOT FOR EXT W/ CONSENT	Nov 02, 2013
27	EXTENSION OF TIME GRANTED	Oct 02, 2013
26	D MOT FOR EXT W/ CONSENT	Sep 27, 2013
25	EXTENSION DENIED	Jul 30, 2013
24	D MOT FOR EXT W/ CONSENT	Jul 25, 2013
23	EXTENSION OF TIME GRANTED	Jun 05, 2013
22	D MOT FOR EXT W/ CONSENT	May 30, 2013
21	EXTENSION OF TIME GRANTED	Mar 29, 2013
20	D MOT FOR EXT W/ CONSENT	Mar 29, 2013
19	EXTENSION OF TIME GRANTED	Jan 29, 2013
18	D MOT FOR EXT W/ CONSENT	Jan 29, 2013
17	EXTENSION OF TIME GRANTED	Dec 03, 2012
16	D MOT FOR EXT W/ CONSENT	Dec 03, 2012
15	EXTENSION OF TIME GRANTED	Sep 03, 2012

Type of Proceeding: Opposition

Proceeding 91196756

Number:

Filing Date: Oct 04, 2010

Status: Terminated Status Date: Apr 03, 2014

Interlocutory ELIZABETH WINTER

Attorney:

Defendant

Name: Emerson Ecologics, LLC

Correspondent MICHELLE L VISSER

respondent MICHELLE L VISSER
Address: RADER FISHMAN & GRAUER PLLC

39533 WOODWARD AVENUE SUITE 140

BLOOMFIELD HILLS MI UNITED STATES, 48304-5098

Correspondent e- tmdocketing@raderfishman.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON ELINK	Abandoned - After Inter-Partes Decision	<u>77801060</u>	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY PIERCE PLC

5445 CORPORATE DRIVE, SUITE 200 TROY MI UNITED STATES , 48098

Correspondent e- coakley@hdp.com

Associated marks					
Mark		Application Status	Serial Number	Registration Number	
EMERSON		Renewed	71093643	<u>111931</u>	
EMERSON		Partial Section 8 and 15 Accepted and Acknowledged	<u>76146750</u>	<u>3757850</u>	
EMERSON NETWORK POWER		Renewed	76052685	2798182	
EMERSON PROCESS MANAGEMENT		Section 8 and 15 - Accepted and Acknowledged	76978420	3673383	
Prosecution History					
Entry Number	History Text	Date		Due Date	

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 13 of 162 PageID #: 42

	Type of Proceeding: Exparte Appeal						
46	TERMINATED	Apr 03, 2014					
45	BD DECISION: DISMISSED W/O PREJ	Apr 03, 2014					
44	W/DRAW OF APPLICATION	Apr 03, 2014					
43	TRIAL DATES REMAIN AS SET	Mar 31, 2014					
42	D MOT FOR EXT W/ CONSENT	Mar 25, 2014					
41	EXTENSION OF TIME GRANTED	Feb 11, 2014					
40	D MOT FOR EXT W/ CONSENT	Jan 26, 2014					
39	EXTENSION OF TIME GRANTED	Dec 20, 2013					
38	D MOT FOR EXT W/ CONSENT	Nov 26, 2013					
37	EXTENSION OF TIME GRANTED	Oct 18, 2013					
36	D MOT FOR EXT W/ CONSENT	Sep 27, 2013					
35	TRIAL DATES RESET	Aug 20, 2013					
34	D MOT FOR EXT W/ CONSENT	Jul 25, 2013					
33	EXTENSION OF TIME GRANTED	Jul 03, 2013					
32	D MOT FOR EXT W/ CONSENT	May 30, 2013					
31	EXTENSION OF TIME GRANTED	Mar 29, 2013					
30	STIP FOR EXT	Mar 29, 2013					
29	EXTENSION OF TIME GRANTED	Jan 29, 2013					
28	STIPULATION FOR AN EXTENSION OF TIME	Jan 29, 2013					
27	EXTENSION OF TIME GRANTED	Dec 03, 2012					
26	STIPULATION FOR AN EXTENSION OF TIME	Dec 03, 2012					
25	EXTENSION OF TIME GRANTED	Sep 03, 2012					
24	STIPULATION FOR AN EXTENSION OF TIME	Sep 03, 2012					
23	EXTENSION OF TIME GRANTED	Jun 04, 2012					
22	STIPULATION FOR AN EXTENSION OF TIME	Jun 04, 2012					
21	EXTENSION OF TIME GRANTED	Mar 02, 2012					
20	STIPULATION FOR AN EXTENSION OF TIME	Mar 02, 2012					
19	EXTENSION OF TIME GRANTED	Jan 09, 2012					
18	STIPULATION FOR AN EXTENSION OF TIME	Jan 09, 2012					
17	EXTENSION OF TIME GRANTED	Nov 08, 2011					
16	STIPULATION FOR AN EXTENSION OF TIME	Nov 08, 2011					
15	EXTENSION OF TIME GRANTED	Sep 08, 2011					
14	STIPULATION FOR AN EXTENSION OF TIME	Sep 08, 2011					
13	EXTENSION OF TIME GRANTED	Jul 05, 2011					
12	STIPULATION FOR AN EXTENSION OF TIME	Jul 05, 2011					
11	EXTENSION OF TIME GRANTED	May 09, 2011					
10	STIPULATION FOR AN EXTENSION OF TIME	May 09, 2011					
9	EXTENSION OF TIME GRANTED	Mar 10, 2011					
8	STIPULATION FOR AN EXTENSION OF TIME	Mar 10, 2011					
7	EXTENSION OF TIME GRANTED	Jan 10, 2011					
6	STIPULATION FOR AN EXTENSION OF TIME	Jan 10, 2011					
5	SUSPENDED	Nov 02, 2010					
4	STIP TO SUSPEND PEND SETTLEMENT NEGOTNS	Nov 02, 2010					
3	PENDING, INSTITUTED	Oct 04, 2010					
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Oct 04, 2010	Nov 13, 2010				
1	FILED AND FEE	Oct 04, 2010					

Type of Proceeding: Exparte Appeal

Proceeding 76146750 Filing Date: Jun 20, 2006

Number:

Status: Terminated Status Date: Aug 21, 2007

Interlocutory Attorney:

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent Lisa M. DuRoss

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 14 of 162 PageID #: 43

Address: Harness, Dickey & Pierce P.L.C. P.O. Box 828

Bloomfield Hills MI UNITED STATES, 48303

Correspondent e- docketingtm@hdp.com

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Partial Section 8 and 15 Accepted and Acknowledged	76146750	3757850

			Number	Number
EMERSON	Partial Section 8 and 15	Accepted and Acknowledged	<u>76146750</u>	3757850
	Prosecution History	ory		
Entry Number	History Text	Date	D	ue Date
1	APPEAL TO BOARD	Jun 20, 200	6	
2	Appeal Acknowledged; Case Remanded	Jun 20, 200	6	
3	INSTITUTED	Jun 20, 200	6	
4	TERMINATED	Aug 21, 200	7	

United States of America Muitod States Natout and Aradomark Office United States Patent and Trademark Office



Reg. No. 3,771,945

Registered Apr. 6, 2010

Int. Cl.: 9

TRADEMARK

PRINCIPAL REGISTER

EMERSON ELECTRIC CO. (MISSOURI CORPORATION) 8000 WEST FLORISSANT AVENUE ST. LOUIS, MO 63136

Corrected Nov. 29, 2011 For: Environmental measuring, testing and monitoring instruments, NAMELY, PARTICLE COUNTERS; SCIENTIFIC MEASURING, SIGNALING, CHECKING AND SUPERVISION INSTRUMENTS AND EQUIPMENT FOR PREDICTING AND DETECT-ING FAILURES IN HIGH PRIORITY EQUIPMENT AND FOR MONITORING THE STATE OF MACHINES AND DETECTING BEARING FAULTS, NAMELY, SOFTWARE FOR HIS-TORIZATION, ACCESSING, TRENDING, IDENTIFICATION AND COMMUNICATION OF DATA, DIGITAL BUSES, TRANSMITTERS, ALGORITHMS, SENSORS, TRANSDUCERS, FIELDBUS TRANSMITTERS; ELECTRICAL POWER SUPPLIES; THERMOSTATS; COM-PUTER SOFTWARE FOR INDUSTRIAL AUTOMATION; COMPUTER SOFTWARE FOR DATA MANAGEMENT, ANALYSIS AND REPORTING FOR USE IN INDUSTRIAL AUTO-MATION, NETWORK POWER, PROCESS MANAGEMENT AND CLIMATE TECHNOLOGIES APPLICATIONS; COMPUTER SOFTWARE FOR ROTATING MACHINERY AND PROCESS INSTRUMENTATION; COMPUTER SOFTWARE FOR COLLECTING, ANALYZING, SHARING AND UTILIZING CUSTOMER INFORMATION; PROJECT, PLANT AND FACILITY MANAGEMENT SOFTWARE, NAMELY, REGULATORY COMPLIANCE SOFTWARE, SOFTWARE FOR SENSING AND REPORTING PROCESS AND EQUIPMENT ABNORMAL-ITIES, BATCH APPLICATION SOFTWARE, PREDICTIVE MAINTENANCE SOFTWARE, SOFTWARE FOR CONFIGURING, COMMISSIONING AND COMMUNICATING MEASURE-MENTS AND CALCULATED VALUES AND FOR PROVIDING ACCESS TO DIAGNOSTIC MESSAGES AND HISTORICAL DATA, SOFTWARE FOR EXCHANGING PROCESS-RE-LATED INFORMATION BETWEEN VARIOUS SOFTWARE-BASED APPLICATIONS, SOFTWARE FOR MONITORING EQUIPMENT CONDITIONS, SOFTWARE FOR PROVIDING ACCESS TO INSTRUMENT AND VALVE PROCESS INFORMATION, DIAGNOSTIC STATUS INFORMATION AND DOCUMENTATION OF DEVICE MAINTENANCE INFORMATION, PREDICTIVE DIAGNOSTIC SOFTWARE, CALIBRATION MANAGEMENT SOFTWARE, DEVICE CONFIGURATION SOFTWARE, EQUIPMENT MONITORING, DATA MANAGE-MENT AND DATA ANALYSIS SOFTWARE; COMPUTER SOFTWARE FOR DETERMINING PRODUCT CONFIGURATION AND FOR PRODUCT CONFIGURATION OPTIMIZING; COMPUTER SOFTWARE FOR PREDICTING AND TRENDING EQUIPMENT MAINTENANCE; SOFTWARE FOR MAXIMIZING PERFORMANCE, REDUCING HEAT RATES, REDUCING STEAM WASTE, STABILIZING STEAM TEMPERATURE CONTROL, OPTIMIZING SOOT BLOWING, AND OPTIMIZING CAPACITY AND MINIMIZING EMISSIONS IN BOILERS, SOOT BLOWERS AND FURNACES; PLANT FACILITY AND AUTOMATION SOFTWARE



Director of the United States Patent and Trademark Office

TENANCE COSTS, FOR REDUCING UNPLANNED OUTAGES AND OPTIMIZING THE DISTRIBUTION OF LOAD DEMANDS; CONDENSERS AND CONDENSING UNITS; COM-PUTER HARDWARE AND SOFTWARE FOR USE IN MONITORING GAS FLOW; GAS ANALYZERS; ELECTRICAL POWER SUPPLIES; DIAGNOSTIC AND ANALYTICAL EQUIPMENT, NAMELY, PRESSURE TEMPERATURE, LEVEL AND FLOW MEASUREMENT MACHINES, LIQUID ANALYZERS; POWER AMPLIFIERS EXCLUDING AMPLIFIERS FOR USE WITH CONSUMER AUDIO EQUIPMENT; APPARATUSES FOR USE IN MEASURING THE DENSITY OF LIQUIDS AND SUBSTANCES, FLOWMETERS, CONTROLLERS, ELEC-TRONIC BATCH CONTROLLERS; REMOTE CONTROLS FOR OPERATING EQUIPMENT AND MACHINERY EXCLUDING REMOTE CONTROLS FOR OPERATING CONSUMER ELECTRONIC DEVICES; VOLTAGE REGULATORS; PRESSURE REGULATORS FOR COMMERCIAL AND AGRICULTURAL USE; VOLTAGE REGULATORS FOR ELECTRIC POWER; VOLTAGE SURGE SUPPRESSORS; TEMPERATURE SENSORS FOR ELECTRIC MOTORS APPLIANCES, NAMELY, STOVES, GRILLS, OVENS, RANGES, REFRIGERATORS, WATER HEATERS, DISHWASHERS, HVAC, WASHERS AND DRYERS, POWER TOOLS, AUTOMOTIVE, MARINE AND RECREATIONAL VEHICLES, CONTROLLING REFRIGER-ANT LOSS; COMMUNICATION SUBSYSTEMS COMPRISED PRIMARILY OF CIRCUIT BREAKER PANELS; POWER CONDITIONING EQUIPMENT, NAMELY, UNINTERRUPTIBLE POWER SUPPLIES, VOLTAGE REGULATORS, TRANSFORMERS, SURGE SUPPRESSION DEVICES AND POWER SUPPLIES; POWER DISTRIBUTION SYSTEMS COMPRISED PRIMARILY OF DISTRIBUTION PANELS; ELECTRIC TRANSFER SWITCHES; SURGE SUPPRESSORS; CHARGERS FOR INDUSTRIAL, COMMERCIAL AND MILITARY USE; ELECTRICAL CHARGERS FOR POWER TOOLS AND HAND TOOLS EXCLUDING CON-SUMER YARD AND GARDEN TOOLS INTENDED FOR USE IN THE YARD OR GARDEN OF A PERSONAL RESIDENCE, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 8-0-2002; IN COMMERCE 8-0-2002.

OWNER OF U.S. REG. NOS. 111,931, 1,820,423 AND OTHERS.

THE STIPPLING IS FOR SHADING PURPOSES ONLY.

SER. NO. 76-975,788, FILED 10-13-2000.

Generated on: This page was generated by TSDR on 2017-08-24 16:17:12 EDT

Mark: EMERSON



US Serial Number: 76975788 Application Filing Oct. 13, 2000

Date:

US Registration 3771945 Registration Date: Apr. 06, 2010 Number:

Register: Principal

Mark Type: Trademark

TM5 Common Status Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 15, 2016

Publication Date: Sep. 26, 2006

Notice of Jun. 12, 2007 Allowance Date:

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type:

Lining and The stippling is for shading purposes only.

Stippling Statement:

Design Search 26.01.29 - Helixes; DNA helix

Code(s):

Related Properties Information

Claimed Ownership 0111931, 1286056, 1820423 and others

of US Registrations:

Child Of: 76146750

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: [Environmental measuring, testing and monitoring instruments, namely, particle counters;] scientific measuring, signaling, checking and supervision instruments and equipment for predicting and detecting failures in high priority equipment and for monitoring the state of machines and detecting bearing faults, namely, software for historization, accessing, trending, identification and communication of data, digital buses, transmitters, algorithms, sensors, transducers, fieldbus transmitters; electrical power supplies; thermostats; computer software for industrial automation; computer software for data management, analysis and reporting for use in industrial automation, [network power,] process management and climate technologies applications; computer software for rotating machinery and process instrumentation; computer software for collecting, analyzing, sharing and utilizing customer information; project, plant and facility management software, namely, regulatory compliance software, software for sensing and reporting process and equipment abnormalities, batch application software, predictive maintenance software, software for configuring, commissioning and communicating measurements and calculated values and for providing access to diagnostic messages and historical data, software for exchanging process-related information between various software-based applications, software for monitoring equipment conditions, software for providing access to instrument and valve process information, diagnostic status information and documentation of device

maintenance information, predictive diagnostic software, calibration management software, device configuration software, equipment monitoring, data management and data analysis software; computer software for determining product configuration and for product configuration optimizing; computer software for predicting and trending equipment maintenance; software for maximizing performance, reducing heat rates, reducing steam waste, stabilizing steam temperature control, optimizing soot blowing, and optimizing capacity and minimizing emissions in boilers, soot blowers and furnaces; plant facility and automation software for identifying controllable energy losses, for reducing plant maintenance costs, for reducing unplanned outages and optimizing the distribution of load demands; condensers and condensing units; computer hardware and software for use in monitoring gas flow; gas analyzers; electrical power supplies; diagnostic and analytical equipment, namely, pressure temperature, level and flow measurement machines, liquid analyzers; power amplifiers excluding amplifiers for use with consumer audio equipment; apparatuses for use in measuring the density of liquids and substances, flowmeters, controllers, electronic batch controllers; remote controls for operating equipment and machinery excluding remote controls for operating consumer electronic devices; voltage regulators; pressure regulators for commercial and agricultural use; voltage regulators for electric power; voltage surge suppressors; temperature sensors for electric motors appliances, namely, stoves, grills, ovens, ranges, refrigerators, water heaters, dishwashers, HVAC, washers and dryers, power tools, [automotive, marine and recreational vehicles, controlling refrigerant loss; communication subsystems comprised primarily of circuit breaker panels;] power conditioning equipment, namely, [uninterruptible power supplies,] voltage regulators, transformers, surge suppression devices and power supplies; [power distribution systems comprised primarily of distribution panels; electric transfer switches; surge suppressors; chargers for industrial, commercial and military use; electrical chargers for power tools and hand tools excluding consumer yard and garden tools intended for use in the yard or garden of a personal residence]

U.S Class(es): 021, 023, 026, 036, 038

International 009 - Primary Class

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Aug. 2002 Use in Commerce: Aug. 2002

Basis Information (Case Level)

Filed Use:NoCurrently Use:YesAmended Use:NoFiled ITU:YesCurrently ITU:NoAmended ITU:NoFiled 44D:NoCurrently 44D:NoAmended 44D:NoFiled 44E:NoCurrently 44E:NoAmended 44E:No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Emerson Electric Co.

Owner Address: 8000 West Florissant Avenue

St. Louis, MISSOURI UNITED STATES 63136

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan and Lisa M. DuRoss Docket Number: 3165-200075

Attorney Primary mailroom@hdp.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent Michael P. Brennan and Lisa M. DuRoss

Name/Address: Harness, Dickey & Pierce P.L.C.

5445 Corporate Drive, Suite 200

Troy, MICHIGAN UNITED STATES 48098

Correspondent e- mailroom@hdp.com duross@hdp.com bmarkham mail: @hdp.com agrant@hdp.com sbianchi@hdp.com mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description		Proceeding Number
------	-------------	--	----------------------

Dec. 15, 2016 NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED

Dec. 15, 2016 REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 19 of 162 PageID #: 48

	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	68502
Dec. 15, 2016 Oct. 06, 2016	TEAS SECTION 8 & 15 RECEIVED	00302
Oct. 26, 2011	CERTIFICATE OF CORRECTION ISSUED	74886
	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	74886
Oct. 17, 2011 Oct. 12, 2011	TEAS SECTION 7 REQUEST RECEIVED	74000
Apr. 06, 2010	REGISTERED-PRINCIPAL REGISTER	
•		70884
Mar. 03, 2010	LAW OFFICE REGISTRATION REVIEW COMPLETED	
Mar. 03, 2010	ASSIGNED TO LIE	70884
Feb. 08, 2010	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	05000
Feb. 02, 2010	STATEMENT OF USE PROCESSING COMPLETE	65362
Dec. 12, 2009	USE AMENDMENT FILED	65362
Jan. 25, 2010	CASE ASSIGNED TO INTENT TO USE PARALEGAL	65362
Dec. 14, 2009	TEAS STATEMENT OF USE RECEIVED	
Jun. 08, 2009	EXTENSION 4 GRANTED	98765
Jun. 08, 2009	EXTENSION 4 FILED	98765
Jun. 08, 2009	TEAS EXTENSION RECEIVED	
Dec. 12, 2008	EXTENSION 3 GRANTED	98765
Dec. 12, 2008	EXTENSION 3 FILED	98765
Dec. 12, 2008	TEAS EXTENSION RECEIVED	
Jun. 12, 2008	EXTENSION 2 GRANTED	98765
Jun. 12, 2008	EXTENSION 2 FILED	98765
Jun. 12, 2008	TEAS EXTENSION RECEIVED	
Mar. 21, 2008	REVIEW OF CORRESPONDENCE COMPLETE	65294
Mar. 21, 2008	ASSIGNED TO PETITION STAFF	65294
Dec. 12, 2007	EXTENSION 1 GRANTED	98765
Dec. 12, 2007	EXTENSION 1 FILED	98765
Dec. 12, 2007	TEAS EXTENSION RECEIVED	
Dec. 12, 2007	FAX RECEIVED	
Mar. 26, 2007	PAPER RECEIVED	
Jul. 05, 2007	EXPARTE APPEAL TERMINATED	975788
Jun. 12, 2007	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Apr. 10, 2007	EXTENSION OF TIME TO OPPOSE PROCESS - TERMINATED	
Oct. 26, 2006	EXTENSION OF TIME TO OPPOSE RECEIVED	
Sep. 26, 2006	PUBLISHED FOR OPPOSITION	
Sep. 06, 2006	PUBLISHED FOR OPPOSITION	
3ep. 00, 2000	NOTICE OF PUBLICATION	
Aug. 08, 2006		67215
•	NOTICE OF PUBLICATION	67215 67215
Aug. 08, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED	
Aug. 08, 2006 Aug. 08, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE	
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED	
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER	67215
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED	67215 88888
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN	67215 88888 76745
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED	88888 76745 77075
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 30, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED	88888 76745 77075 77075
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED	88888 76745 77075 77075
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY	88888 76745 77075 77075
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006 Jun. 30, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB	88888 76745 77075 77075
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED	88888 76745 77075 77075 975788 975788
Aug. 08, 2006 Aug. 08, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jul. 30, 2006 Jun. 03, 2006 Jun. 03, 2006	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN	88888 76745 77075 77075 975788 975788
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 02, 2006 Dec. 02, 2005	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED	88888 76745 77075 77075 975788 975788 76745 76532
Aug. 08, 2006 Aug. 08, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 04, 2005	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINERS AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE	88888 76745 77075 77075 975788 975788
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 04, 2006 Jun. 05, 2005 Nov. 16, 2005 Nov. 16, 2005	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINER'S AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED	88888 76745 77075 77075 975788 975788 76745 76532
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 02, 2006 Dec. 02, 2005 Nov. 16, 2005 May 16, 2005	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINER'S AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED NON-FINAL ACTION MAILED	88888 76745 77075 77075 975788 975788 76745 76532 76532
Aug. 08, 2006 Aug. 08, 2006 Aug. 03, 2006 Aug. 02, 2006 Aug. 02, 2006 Aug. 02, 2006 Jul. 11, 2006 Jun. 30, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 03, 2006 Jun. 04, 2006 Jun. 05, 2005 Nov. 16, 2005 Nov. 16, 2005	NOTICE OF PUBLICATION LAW OFFICE PUBLICATION REVIEW COMPLETED ASSIGNED TO LIE EXAMINERS AMENDMENT MAILED APPROVED FOR PUB - PRINCIPAL REGISTER EXAMINER'S AMENDMENT ENTERED EXAMINER'S AMENDMENT -WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED EX PARTE APPEAL-INSTITUTED JURISDICTION RESTORED TO EXAMINING ATTORNEY EXPARTE APPEAL RECEIVED AT TTAB FINAL REFUSAL MAILED FINAL REFUSAL WRITTEN TEAS/EMAIL CORRESPONDENCE ENTERED CORRESPONDENCE RECEIVED IN LAW OFFICE TEAS RESPONSE TO OFFICE ACTION RECEIVED	88888 76745 77075 77075 975788 975788 76745 76532

Sep. 15, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70997
Feb. 23, 2004	CASE FILE IN TICRS	
Oct. 21, 2003	LETTER OF SUSPENSION MAILED	
Oct. 20, 2003	ASSIGNED TO EXAMINER	76745
May 13, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
May 14, 2003	DIVISIONAL REQUEST RECEIVED	
Jun. 13, 2003	CASE FILE IN TICRS	
May 14, 2003	PAPER RECEIVED	
Nov. 14, 2002	LETTER OF SUSPENSION MAILED	
Feb. 15, 2002	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 31, 2002	REINSTATED	
Feb. 15, 2002	PETITION TO REVIVE-RECEIVED	
Dec. 27, 2001	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Apr. 26, 2001	NON-FINAL ACTION MAILED	
Apr. 04, 2001	ASSIGNED TO EXAMINER	76832
Mar. 29, 2001	ASSIGNED TO EXAMINER	76833
Mar. 21, 2001	ASSIGNED TO EXAMINER	78429

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Change in Yes Registration:

Correction made to In the statement, Page 2, Column 1, line 21,"8-0-2008" should be deleted, and 8-0-2002 should be inserted.

Registration:

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: TMO LAW OFFICE 117 Date in Location: Dec. 15, 2016

Proceedings

Summary

Number of 3 Proceedings:

Type of Proceeding: Opposition

Number:

Status: Terminated Status Date: Jun 16, 2016

Interlocutory CHRISTEN M ENGLISH

Attorney:

Defendant

Name: Everson Technology Ltd

Correspondent ZHANG HONGLU

Address: 1461 A FIRST AVE # 360

NEW YORK NY UNITED STATES, 10021-2209

Correspondent e- <u>zhanghonglu@inipa.com</u>, <u>cherubbian@hotmail.com</u>

mail:

Associated marks

Mark	Application Status	Serial Registration Number Number
EVERSON	Abandoned - After Inter-Partes Decision	<u>86655814</u>

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent LISA M DUROSS

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DR, STE 200 TROY MI UNITED STATES, 48098

 $\textbf{Correspondent e-} \quad \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{nsanborn@hdp.com} \ , \ \underline{bmarkham@hdp.com} \ , \\ \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{nsanborn@hdp.com} \ , \ \underline{bmarkham@hdp.com} \ , \\ \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \\ \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \\ \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com} \ , \\ \underline{docketingTM@hdp.com} \ , \ \underline{duross@hdp.com} \ , \ \underline{duross@hdp.com$

nail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76975788	<u>3771945</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	<u>3718938</u>
EMERSON	Renewed	73391805	<u>1742773</u>
EMERSON	Renewed	71093643	111931

	Prosecution History		
Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Feb 24, 2016	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Feb 24, 2016	Apr 04, 2016
3	PENDING, INSTITUTED	Feb 24, 2016	
4	UNDELIVERABLE MAIL	Mar 09, 2016	
5	TRIAL DATES RESET	Mar 11, 2016	
6	NOTICE OF DEFAULT	May 06, 2016	
7	BD DECISION: SUSTAINED	Jun 16, 2016	
8	TERMINATED	Jun 16, 2016	
9	UNDELIVERABLE MAIL	Aug 16, 2016	
10	UNDELIVERABLE MAIL	Aug 29, 2016	

Type of Proceeding: Extension of Time

Proceeding <u>76975788</u>

Number:

Filing Date: Oct 26, 2006

Status: Terminated Status Date: Apr 10, 2007

Interlocutory Attorney:

Defendant

Name: Emerson Electric Co.

Correspondent Lisabeth H. Coakley

Address: Harness, Dickey & Pierce P.L.C.

P.O. Box 828

Bloomfield Hills MI UNITED STATES, 48303

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76975788	<u>3771945</u>
	Potential Opposer(s)		

Name: Emerson Radio Corp.

Correspondent Randi S. Miller
Address: Darby & Darby, P.C.
P.O. Box 5257

New York NY UNITED STATES, 10150-5257

 $\textbf{Correspondent e-} \quad \underline{rmiller@darbylaw.com} \ , \ \underline{emarmo@darbylaw.com} \ , \ \underline{tmdocket@darbylaw.com} \ , \ \underline{tmdocket$

	Prosecution History		
Entry Number	History Text	Date	Due Date
1	INCOMING - EXT TIME TO OPPOSE FILED	Oct 26, 2006	
2	EXTENSION OF TIME GRANTED	Oct 26, 2006	
3	INCOMING - EXT TIME TO OPPOSE FILED	Jan 23, 2007	
4	EXTENSION OF TIME GRANTED	Jan 23, 2007	

Type of Proceeding: Exparte Appeal

Proceeding <u>76975788</u>

Number:

Filing Date: Jun 30, 2006

Status: Terminated Status Date: Jul 05, 2007

Interlocutory Attorney:

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent Lisa M. DuRoss

Address: Harness, Dickey & Pierce P.L.C.

P.O. Box 828

Bloomfield Hills MI UNITED STATES, 48303

Correspondent e- docketingtm@hdp.com

Associated marks					
Mark		Application Status		Serial Number	Registration Number
EMERSON		Section 8 and 15 - Accepted and Acknowledged		76975788	<u>3771945</u>
		Prosecution History			
Entry Number	History Text		Date		Due Date
1	APPEAL TO BOARD		Jun 30, 2006	3	
2	Appeal Acknowledged; Case Remanded	d	Jun 30, 2006	6	
3	INSTITUTED		Jun 30, 2006	6	
4	TERMINATED		Oct 11, 2006	6	
5	APPLICANT'S REQUEST FOR REMAN	ID	Mar 26, 2007	7	
6	TERMINATED		Jul 05, 2007		

United States of America United States Patent and Trademark Office



 $Reg.\ No.\ 3,861,152 \\ {\color{red} EMERSON\ ELECTRIC\ CO.\ (MISSOURI\ CORPORATION)}$

8000 WEST FLORISSANT AVENUE

Registered Oct. 12, 2010 ST. LOUIS, MO 63136

Int. Cl.: 11 FOR: HUMIDIFIERS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 9-30-2003; IN COMMERCE 9-30-2003. **TRADEMARK**

OWNER OF U.S. REG. NOS. 111,931, 1,820,423, AND OTHERS.

THE STIPPLING IS A FEATURE OF THE MARK.

SN 76-975,789, FILED 10-13-2000.

PAULA MAHONEY, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

Generated on: This page was generated by TSDR on 2017-08-24 16:18:45 EDT

Mark: EMERSON



US Serial Number: 76975789 Application Filing Oct. 13, 2000

Date:

US Registration 3861152 Registration Date: Oct. 12, 2010

Number:

Register: Principal

Mark Type: Trademark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 19, 2016

Publication Date: Nov. 17, 2009 Notice of Feb. 09, 2010

Allowance Date:

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type:

Lining and The stippling is a feature of the mark.

Stippling

Statement:

Design Search 26.01.29 - Helixes; DNA helix

Code(s):

Related Properties Information

Claimed Ownership 0111931, 1815785, 1820423 and others

of US Registrations:

Child Of: 76146750

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: Humidifiers

International 011 - Primary Class U.S Class(es): 013, 021, 023, 031, 034

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Sep. 30, 2003 Use in Commerce: Sep. 30, 2003

Basis Information (Case Level)

 Filed Use:
 No

 Filed ITU:
 Yes
 Currently ITU:
 No
 Amended Use:
 No

 Filed 44D:
 No
 Amended 44D:
 No

 Amended 44D:
 No
 Amended 44D:
 No

Filed 44E: No Currently 44E: No Amended 44E: No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Emerson Electric Co.

Owner Address: 8000 West Florissant Avenue

St. Louis, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan; Lisa M. DuRoss Docket Number: 3165-200075

Attorney Primary mailroom@hdp.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent Michael P. Brennan; Lisa M. DuRoss

Name/Address: Harness, Dickey & Pierce, P.L.C.

5445 Corporate Drive, Suite 200 Troy, MICHIGAN 48098 UNITED STATES

Correspondent e- mailroom@hdp.com Correspondent e- Yes mail: mail Authorized:

Domestic Representative - Not Found

Prosecution History

Dec. 19, 2016 NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED Dec. 19, 2016 REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK. 69471	
Dec. 19, 2016 REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK. 69471	
	1
Dec. 19, 2016 CASE ASSIGNED TO POST REGISTRATION PARALEGAL 69471	
Oct. 11, 2016 TEAS SECTION 8 & 15 RECEIVED	
Oct. 12, 2010 REGISTERED-PRINCIPAL REGISTER	
Sep. 10, 2010 NOTICE OF ACCEPTANCE OF STATEMENT OF USE MAILED	
Sep. 09, 2010 LAW OFFICE REGISTRATION REVIEW COMPLETED 66121	1
Sep. 09, 2010 ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Aug. 31, 2010 STATEMENT OF USE PROCESSING COMPLETE 76985	5
Aug. 09, 2010 USE AMENDMENT FILED 76985	5
Aug. 31, 2010 CASE ASSIGNED TO INTENT TO USE PARALEGAL 76985	5
Aug. 09, 2010 TEAS STATEMENT OF USE RECEIVED	
Feb. 09, 2010 NOA MAILED - SOU REQUIRED FROM APPLICANT	
Nov. 17, 2009 PUBLISHED FOR OPPOSITION	
Oct. 28, 2009 NOTICE OF PUBLICATION	
Oct. 09, 2009 LAW OFFICE PUBLICATION REVIEW COMPLETED 66121	1
Oct. 08, 2009 ASSIGNED TO LIE 66121	1
Sep. 24, 2009 APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 17, 2009 JURISDICTION RESTORED TO EXAMINING ATTORNEY 97578	89
Feb. 14, 2007 ACTION DENYING REQ FOR RECON MAILED	
Feb. 14, 2007 ACTION CONTINUING FINAL - COMPLETED 76745	5
Jan. 20, 2007 TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Jan. 20, 2007 EX PARTE APPEAL-INSTITUTED 97578	89
Jan. 20, 2007 JURISDICTION RESTORED TO EXAMINING ATTORNEY 97578	89
Jan. 20, 2007 EXPARTE APPEAL RECEIVED AT TTAB	

Jul. 20, 2006	FINAL REFUSAL MAILED	
Jul. 19, 2006	FINAL REFUSAL WRITTEN	76745
Jun. 20, 2006	TEAS/EMAIL CORRESPONDENCE ENTERED	78413
Jun. 14, 2006	CORRESPONDENCE RECEIVED IN LAW OFFICE	78413
Jun. 14, 2006	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Dec. 14, 2005	NON-FINAL ACTION MAILED	
Dec. 13, 2005	NON-FINAL ACTION WRITTEN	76745
Nov. 23, 2005	TEAS/EMAIL CORRESPONDENCE ENTERED	76538
Nov. 16, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	76538
Nov. 16, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 16, 2005	FINAL REFUSAL MAILED	
May 16, 2005	FINAL REFUSAL WRITTEN	76745
Mar. 29, 2005	LIE CHECKED SUSP - TO ATTY FOR ACTION	70997
Sep. 15, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70997
Feb. 23, 2004	CASE FILE IN TICRS	
Oct. 21, 2003	LETTER OF SUSPENSION MAILED	
Oct. 20, 2003	ASSIGNED TO EXAMINER	76745
May 13, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
May 14, 2003	DIVISIONAL REQUEST RECEIVED	
Jun. 13, 2003	CASE FILE IN TICRS	
May 14, 2003	PAPER RECEIVED	
Nov. 14, 2002	LETTER OF SUSPENSION MAILED	
Feb. 15, 2002	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 31, 2002	REINSTATED	
Feb. 15, 2002	PETITION TO REVIVE-RECEIVED	
Dec. 27, 2001	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Apr. 26, 2001	NON-FINAL ACTION MAILED	
Apr. 04, 2001	ASSIGNED TO EXAMINER	76832
Mar. 29, 2001	ASSIGNED TO EXAMINER	76833
Mar. 21, 2001	ASSIGNED TO EXAMINER	78429

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted **Continued Use:**

Affidavit of Section 15 - Accepted Incontestability:

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: TMO LAW OFFICE 117 Date in Location: Dec. 19, 2016

Proceedings

Summary

Number of 1 Proceedings:

Type of Proceeding: Exparte Appeal

Proceeding <u>76975789</u> Number:

Filing Date: Jan 20, 2007

Status: Terminated Status Date: Nov 17, 2009

Interlocutory Attorney:

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent Lisa M. DuRoss

Address: Harness, Dickey & Pierce P.L.C.

5445 Corporate Drive, Suite 200 Bloomfield Hills MI, 48303 UNITED STATES

Correspondent e- docketingtm@hdp.com

Associated marks				
Mark		Application Status	Serial Number	Registration Number
EMERSON		Section 8 and 15 - Accepted and Acknowledged	76975789	3861152
		Prosecution History		
Entry Number	History Text		Date	Due Date
1	APPEAL TO BOARD	Ja	an 20, 2007	

Prosecution History				
Entry Number	History Text	Date	Due Date	
1	APPEAL TO BOARD	Jan 20, 2007		
2	Appeal Acknowledged; Case Remanded	Jan 20, 2007		
3	INSTITUTED	Jan 20, 2007		
4	REQUEST FOR RECONSIDERATION	Jan 20, 2007		
5	RECONSIDERATION DENIED	Feb 14, 2007		
6	PROCEEDINGS RESUMED	Feb 20, 2007		
7	APPLICANTS REQUEST TO EXTEND	Apr 18, 2007		
8	BRIEF DUE	Apr 19, 2007		
9	APPLICANTS REQUEST TO EXTEND	Jul 20, 2007		
10	BRIEF DUE	Jul 20, 2007		
11	APPLICANTS REQUEST TO EXTEND	Jan 14, 2008		
12	BRIEF DUE	Jan 16, 2008		
13	APPLICANTS REQUEST TO EXTEND	Mar 17, 2008		
14	BRIEF DUE	Mar 20, 2008		
15	APPLICANTS REQUEST TO EXTEND	Jul 14, 2008		
16	BRIEF DUE	Jul 14, 2008		
17	APPLICANTS REQUEST TO EXTEND	Oct 14, 2008		
18	APPLICANTS REQUEST TO EXTEND	Oct 14, 2008		
19	BRIEF DUE	Oct 14, 2008		
20	APPLICANT'S MOTION TO SUSPEND	Jan 12, 2009		
21	APPLICANT'S MOTION TO SUSPEND	Jan 12, 2009		
22	SUSPENDED	Jan 22, 2009		
23	UNDELIVERABLE MAIL	Feb 12, 2009		
24	APPLICANT'S REQUEST FOR REMAND	Sep 16, 2009		
25	REMANDED TO EXAMINER	Sep 17, 2009		
26	TERMINATED	Nov 17, 2009		

United States of America United States Patent and Trademark Office



Reg. No. 3,861,153 EMERSON ELECTRIC CO. (MISSOURI CORPORATION)

8000 WEST FLORISSANT AVENUE

Registered Oct. 12, 2010 ST. LOUIS, MO 63136

Int. Cl.: 11 FOR: HOUSEHOLD AIR CLEANERS; AIR PURIFYING UNITS FOR DOMESTIC, COMMER-

CIAL AND INDUSTRIAL USE; CEILING FANS; AIR CIRCULATORS, IN CLASS 11 (U.S.

CLS. 13, 21, 23, 31 AND 34).

TRADEMARKFIRST USE 1-0-2005; IN COMMERCE 1-0-2005.

PRINCIPAL REGISTER

OWNER OF U.S. REG. NOS. 111,931, 1,820,423, AND OTHERS.

THE STIPPLING IS A FEATURE OF THE MARK.

SN 76-978,210, FILED 10-13-2000.

PAULA MAHONEY, EXAMINING ATTORNEY



Director of the United States Patent and Trademark Office

Generated on: This page was generated by TSDR on 2017-08-24 16:19:27 EDT

Mark: EMERSON



US Serial Number: 76978210 Application Filing Oct. 13, 2000

Date:

US Registration 3861153 Registration Date: Oct. 12, 2010

Number:

Register: Principal

Mark Type: Trademark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Dec. 19, 2016

Publication Date: Nov. 17, 2009 Notice of Feb. 09, 2010

Allowance Date:

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type:

Lining and The stippling is a feature of the mark.

Stippling

Statement:

Design Search 26.01.29 - Helixes; DNA helix

Code(s):

Related Properties Information

Claimed Ownership 0111931, 1815785, 1820423 and others

of US Registrations:

Child Of: 76975791

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: household air cleaners; air purifying units for domestic, commercial and industrial use; ceiling fans; air circulators

International 011 - Primary Class

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 2005 Use in Commerce: Jan. 2005

Basis Information (Case Level)

U.S Class(es): 013, 021, 023, 031, 034

 Filed Use:
 No

 Filed ITU:
 Yes
 Currently ITU:
 No
 Amended Use:
 No

 Filed 44D:
 No
 Amended 44D:
 No
 Amended 44D:
 No

Filed 44E: No Currently 44E: No Amended 44E: No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Emerson Electric Co.

Owner Address: 8000 West Florissant Avenue

St. Louis, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan; Lisa M. DuRoss Docket Number: 3165-200075

Attorney Primary mailroom@hdp.com
Email Address:

Attorney Email Yes
Authorized:

Correspondent

Correspondent Michael P. Brennan; Lisa M. DuRoss

Name/Address: Harness, Dickey & Pierce, P.L.C.

5445 Corporate Drive, Suite 200 Troy, MICHIGAN 48098 UNITED STATES

Correspondent e- mailroom@hdp.com duross@hdp.com bmarkham Correspondent e- Yes

mail: @hdp.com agrant@hdp.com sbianchi@hdp.com mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Dec. 19, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Dec. 19, 2016	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	69471
Dec. 19, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	69471
Oct. 11, 2016	TEAS SECTION 8 & 15 RECEIVED	
Oct. 12, 2010	REGISTERED-PRINCIPAL REGISTER	
Sep. 10, 2010	NOTICE OF ACCEPTANCE OF STATEMENT OF USE MAILED	
Sep. 09, 2010	LAW OFFICE REGISTRATION REVIEW COMPLETED	66121
Sep. 09, 2010	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Aug. 31, 2010	STATEMENT OF USE PROCESSING COMPLETE	76985
Aug. 09, 2010	USE AMENDMENT FILED	76985
Aug. 31, 2010	CASE ASSIGNED TO INTENT TO USE PARALEGAL	76985
Aug. 09, 2010	TEAS STATEMENT OF USE RECEIVED	
Feb. 09, 2010	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Nov. 17, 2009	PUBLISHED FOR OPPOSITION	
Oct. 28, 2009	NOTICE OF PUBLICATION	
Oct. 09, 2009	LAW OFFICE PUBLICATION REVIEW COMPLETED	66121
Oct. 08, 2009	ASSIGNED TO LIE	66121
Sep. 24, 2009	APPROVED FOR PUB - PRINCIPAL REGISTER	
Sep. 16, 2009	JURISDICTION RESTORED TO EXAMINING ATTORNEY	978210
May 21, 2007	ACTION DENYING REQ FOR RECON MAILED	
May 21, 2007	ACTION CONTINUING A FINAL - COMPLETED	76745
Apr. 19, 2007	JURISDICTION RESTORED TO EXAMINING ATTORNEY	978210
Mar. 19, 2007	ACTION GRANTING REQ. FOR RECON. MAILED	
Mar. 19, 2007	ACTION CONTINUING FINAL - COMPLETED	76745
Feb. 20, 2007	TEAS RESPONSE TO OFFICE ACTION RECEIVED	

Feb. 20, 2007	EX PARTE APPEAL-INSTITUTED	978210
Feb. 20, 2007	JURISDICTION RESTORED TO EXAMINING ATTORNEY	978210
Feb. 20, 2007	EXPARTE APPEAL RECEIVED AT TTAB	070210
Aug. 21, 2006	FINAL REFUSAL MAILED	
Aug. 20, 2006	FINAL REFUSAL WRITTEN	76745
Jul. 07, 2006	NOTICE OF DIVIDED TRADEMARK APPLICATION MAILED	
Jul. 03, 2006	DIVISIONAL PROCESSING COMPLETE	
Jun. 15, 2006	DIVISIONAL REQUEST RECEIVED	
Jun. 20, 2006	TEAS/EMAIL CORRESPONDENCE ENTERED	78413
Jun. 14, 2006	CORRESPONDENCE RECEIVED IN LAW OFFICE	78413
Jun. 15, 2006	PAPER RECEIVED	
Jun. 14, 2006	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Dec. 14, 2005	NON-FINAL ACTION MAILED	
Dec. 13, 2005	NON-FINAL ACTION WRITTEN	76745
Nov. 23, 2005	TEAS/EMAIL CORRESPONDENCE ENTERED	76538
Nov. 16, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	76538
Nov. 16, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 16, 2005	NON-FINAL ACTION MAILED	
May 16, 2005	NON-FINAL ACTION WRITTEN	76745
Mar. 29, 2005	LIE CHECKED SUSP - TO ATTY FOR ACTION	70997
Sep. 15, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70997
Feb. 23, 2004	CASE FILE IN TICRS	
Oct. 21, 2003	LETTER OF SUSPENSION MAILED	
Oct. 20, 2003	ASSIGNED TO EXAMINER	76745
May 13, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
May 14, 2003	DIVISIONAL REQUEST RECEIVED	
Jun. 13, 2003	CASE FILE IN TICRS	
May 14, 2003	PAPER RECEIVED	
Nov. 14, 2002	LETTER OF SUSPENSION MAILED	
Feb. 15, 2002	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 31, 2002	REINSTATED	
Feb. 15, 2002	PETITION TO REVIVE-RECEIVED	
Dec. 27, 2001	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Apr. 26, 2001	NON-FINAL ACTION MAILED	
Apr. 04, 2001	ASSIGNED TO EXAMINER	76832
Mar. 29, 2001	ASSIGNED TO EXAMINER	76833
Mar. 21, 2001	ASSIGNED TO EXAMINER	78429

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted Continued Use:

Affidavit of Section 15 - Accepted Incontestability:

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: TMO LAW OFFICE 117 Date in Location: Dec. 19, 2016

Proceedings

Summary

Number of 2 Proceedings:

Type of Proceeding: Opposition

Proceeding <u>91221590</u>

Number:

Filing Date: Apr 20, 2015

Status: Terminated Status Date: Aug 04, 2016

Interlocutory ANDREW P BAXLEY

Attorney:

Defendant

Name: S.C. Ion Mos S.R.L.

Correspondent MICHAEL D FISHMAN
Address: FISHMAN STEWART PLLC

39533 WOODWARD AVENUE SUITE 1450

BLOOMFIELD HILLS MI, 48304

UNITED STATES

Correspondent e- tmdocketing@fishstewip.com

mail:

Associated marks

Mark
Application Status
Application Status

Abandoned - After Inter-Partes Decision
Plaintiff(s)

Serial Registration Number

Registration Number

79138965

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE SUITE 200

TROY MI, 48098 UNITED STATES

 $\textbf{Correspondent e-} \quad \underline{coakley@hdp.com} \text{ , } \underline{tjcomparoni@hdp.com} \text{ , } \underline{docketingtm@hdp.com}$

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	76975791	3689743
EMERSON	Renewed	73391804	<u>1717861</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978561	3744986
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	3718938
EMERSON	Renewed	74801308	1795612
EMERSON	Renewed	71093643	<u>111931</u>
EMERSON	Renewed	73391800	<u>1331669</u>
EMERSON	Renewed	74801310	1795768
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	3673383
EMERSON PROFESSIONAL TOOLS	Cancelled - Section 8	76977332	3722741
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978210	<u>3861153</u>
EMERSON PROCESS MANAGEMENT	Registered	<u>76294524</u>	<u>4064996</u>

	Prosecution History		
Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Apr 20, 2015	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Apr 21, 2015	May 31, 2015
3	PENDING, INSTITUTED	Apr 21, 2015	
4	D MOT FOR EXT W/ CONSENT	May 30, 2015	
5	EXTENSION OF TIME GRANTED	May 30, 2015	
6	D MOT TO DISMISS: FRCP 12(B)	Jun 30, 2015	
7	AMENDED NOTICE OF OPPOSITION	Jul 21, 2015	
8	D MOT TO DISMISS: FRCP 12(B)	Aug 03, 2015	
9	P MOT TO AMEND PLEADING/AMENDED PLEADING	Aug 24, 2015	
10	D OPP/RESP TO MOTION	Aug 31, 2015	
11	SUSP PEND DISP OF OUTSTNDNG MOT	Sep 08, 2015	
12	RESPONSE DUE 30 DAYS (DUE DATE)	Sep 28, 2015	Oct 28, 2015

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 33 of 162 PageID #: 62

13	CORRECTION TO BD ORDER	Sep 29, 2015
14	P RESPONSE TO AMENDED BOARD ORDER	Oct 26, 2015
15	SUSP PEND DISP OF CIVIL ACTION	Dec 09, 2015
16	W/DRAW OF APPLICATION W/O CONSENT	Jul 15, 2016
17	BD DECISION: SUSTAINED	Aug 04, 2016
18	TERMINATED	Aug 04, 2016

Type of Proceeding: Exparte Appeal

Proceeding 76978210 Number: Filing Date: Feb 20, 2007

Status: Terminated

Status Date: Nov 17, 2009

Interlocutory Attorney:

Plaintiff(s)

Name: Emerson Electric Co.

Correspondent Lisa M. DuRoss

Address: Harness, Dickey & Pierce P.L.C.

5445 Corporate Drive Troy MI , 48098 UNITED STATES

Correspondent e- docketingtm@hdp.com

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978210	3861153

EMERCON	Section 6 and 10 - Accepted and Acknowledged	1 10370210	3001133
	Prosecution History		
Entry Number	History Text	Date	Due Date
1	APPEAL TO BOARD	Feb 20, 2007	
2	Appeal Acknowledged; Case Remanded	Feb 20, 2007	
3	INSTITUTED	Feb 20, 2007	
4	REQUEST FOR RECONSIDERATION	Feb 20, 2007	
5	RESPONSE DUE	Mar 19, 2007	
6	BRIEF DUE	Apr 11, 2007	
7	REMANDED TO EXAMINER	Apr 19, 2007	
8	RECONSIDERATION DENIED	May 21, 2007	
9	PROCEEDINGS RESUMED	Jun 07, 2007	
10	APPLICANTS REQUEST TO EXTEND	Jul 20, 2007	
11	BRIEF DUE	Jul 25, 2007	
12	APPLICANTS REQUEST TO EXTEND	Jan 14, 2008	
13	BRIEF DUE	Jan 17, 2008	
14	APPLICANTS REQUEST TO EXTEND	Jul 14, 2008	
15	BRIEF DUE	Jul 17, 2008	
16	APPLICANTS REQUEST TO EXTEND	Oct 14, 2008	
17	BRIEF DUE	Oct 14, 2008	
18	APPLICANT'S MOTION TO SUSPEND	Jan 12, 2009	
19	SUSPENDED	Jan 23, 2009	
20	BRIEF DUE	Sep 03, 2009	
21	APPLICANT'S REQUEST FOR REMAND	Sep 16, 2009	
22	REMANDED TO EXAMINER	Sep 16, 2009	
23	TERMINATED	Nov 17, 2009	

United States of America United States Patent and Trademark Office



Reg. No. 3,744,986 EMERSON ELECTRIC CO. (MISSOURI CORPORATION)
Registered Feb. 2, 2010 8000 WEST FLORISSANT AVENUE
ST. LOUIS, MO 63136

Int. Cl.: 11 FOR: THERMOSTATIC VALVES; HOT WATER HEATERS AND DISPENSERS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

TRADEMARK FIRST USE 10-15-2006; IN COMMERCE 10-15-2006. PRINCIPAL REGISTER

OWNER OF U.S. REG. NOS. 111,931, 1,820,423, AND OTHERS.

THE STIPPLING IS A FEATURE OF THE MARK.

SN 76-978,561, FILED 10-13-2000.

PAULA MAHONEY, EXAMINING ATTORNEY



Vand J. Laypas

Director of the United States Patent and Trademark Office

Generated on: This page was generated by TSDR on 2017-08-24 16:20:46 EDT

Mark: EMERSON



US Serial Number: 76978561 Application Filing Oct. 13, 2000

Date:

US Registration 3744986 Registration Date: Feb. 02, 2010

Number:

Register: Principal

Mark Type: Trademark

Status: A Sections 8 and 15 combined declaration has been accepted and acknowledged.

Status Date: Mar. 17, 2016

Publication Date: Mar. 18, 2008 Notice of Jun. 10, 2008

Allowance Date:

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type

Lining and The stippling is a feature of the mark.

Stippling Statement:

Design Search 26.01.29 - Helixes; DNA helix

Code(s):

Related Properties Information

Claimed Ownership 0111931, 1815785, 1820423 and others

of US Registrations:

Child Of: 76978211

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: Thermostatic valves; hot water heaters and dispensers

International 011 - Primary Class U.S Class(es): 013, 021, 023, 031, 034

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Oct. 15, 2006 Use in Commerce: Oct. 15, 2006

Basis Information (Case Level)

 Filed Use:
 No
 Currently Use:
 Yes
 Amended Use:
 No

 Filed ITU:
 Yes
 Currently ITU:
 No
 Amended ITU:
 No

 Filed 44D:
 No
 Amended 44D:
 No

Filed 44E: No Currently 44E: No Amended 44E: No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: Emerson Electric Co.

Owner Address: 8000 West Florissant Avenue

St. Louis, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan; Lisa M. DuRoss Docket Number: 3165-200075

Attorney Primary docketingtm@hdp.com Email Address: Attorney Email Yes Authorized:

Correspondent

Correspondent Michael P. Brennan; Lisa M. DuRoss

Name/Address: Harness, Dickey & Pierce P.L.C.

P.O. Box 828

Bloomfield Hills, MICHIGAN 48303

UNITED STATES

Correspondent e- docketingtm@hdp.com Correspondent e- Yes mail: mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Mar. 17, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 15 - E-MAILED	
Mar. 17, 2016	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	77315
Mar. 17, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	77315
Jan. 14, 2016	TEAS SECTION 8 & 15 RECEIVED	
Feb. 02, 2010	REGISTERED-PRINCIPAL REGISTER	
Dec. 28, 2009	LAW OFFICE REGISTRATION REVIEW COMPLETED	67215
Dec. 17, 2009	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Dec. 11, 2009	STATEMENT OF USE PROCESSING COMPLETE	70565
Dec. 10, 2009	USE AMENDMENT FILED	70565
Dec. 10, 2009	TEAS STATEMENT OF USE RECEIVED	
Jun. 30, 2009	EXTENSION 2 GRANTED	70565
Jun. 08, 2009	EXTENSION 2 FILED	70565
Jun. 30, 2009	CASE ASSIGNED TO INTENT TO USE PARALEGAL	70565
Jun. 08, 2009	TEAS EXTENSION RECEIVED	
Dec. 10, 2008	EXTENSION 1 GRANTED	98765
Dec. 10, 2008	EXTENSION 1 FILED	98765
Dec. 10, 2008	TEAS EXTENSION RECEIVED	
Jun. 10, 2008	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Apr. 21, 2008	CHANGES/CORRECTIONS AFTER PUB APPROVAL ENTERED	67832
Apr. 04, 2008	FAX RECEIVED	
Mar. 18, 2008	PUBLISHED FOR OPPOSITION	
Feb. 27, 2008	NOTICE OF PUBLICATION	
Feb. 12, 2008	LAW OFFICE PUBLICATION REVIEW COMPLETED	67215
Feb. 11, 2008	ASSIGNED TO LIE	67215
Jan. 09, 2008	APPROVED FOR PUB - PRINCIPAL REGISTER	

Apr. 24, 2007	NOTICE OF DIVIDED TRADEMARK APPLICATION MAILED	
Apr. 19, 2007	DIVISIONAL PROCESSING COMPLETE	
Apr. 19, 2007	ASSIGNED TO LIE	70629
Jun. 14, 2006	DIVISIONAL REQUEST RECEIVED	
Aug. 02, 2006	PREVIOUS ALLOWANCE WITHDRAWN-TIMELY AAU	
Jul. 19, 2006	APPROVED FOR PUB - PRINCIPAL REGISTER	
Jul. 07, 2006	NOTICE OF DIVIDED TRADEMARK APPLICATION MAILED	
Jul. 03, 2006	DIVISIONAL PROCESSING COMPLETE	
Jun. 15, 2006	DIVISIONAL REQUEST RECEIVED	
Jun. 20, 2006	TEAS/EMAIL CORRESPONDENCE ENTERED	78413
Jun. 14, 2006	CORRESPONDENCE RECEIVED IN LAW OFFICE	78413
Jun. 15, 2006	PAPER RECEIVED	
Jun. 14, 2006	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
Dec. 14, 2005	NON-FINAL ACTION MAILED	
Dec. 13, 2005	NON-FINAL ACTION WRITTEN	76745
Nov. 23, 2005	TEAS/EMAIL CORRESPONDENCE ENTERED	76538
Nov. 16, 2005	CORRESPONDENCE RECEIVED IN LAW OFFICE	76538
Nov. 16, 2005	TEAS RESPONSE TO OFFICE ACTION RECEIVED	
May 16, 2005	NON-FINAL ACTION MAILED	
May 16, 2005	NON-FINAL ACTION WRITTEN	76745
Mar. 29, 2005	LIE CHECKED SUSP - TO ATTY FOR ACTION	70997
Sep. 15, 2004	REPORT COMPLETED SUSPENSION CHECK CASE STILL SUSPENDED	70997
Feb. 23, 2004	CASE FILE IN TICRS	
Oct. 21, 2003	LETTER OF SUSPENSION MAILED	
Oct. 20, 2003	ASSIGNED TO EXAMINER	76745
May 13, 2003	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 22, 2003	DIVISIONAL PROCESSING COMPLETE	
May 14, 2003	DIVISIONAL REQUEST RECEIVED	
Jun. 13, 2003	CASE FILE IN TICRS	
May 14, 2003	PAPER RECEIVED	
Nov. 14, 2002	LETTER OF SUSPENSION MAILED	
Feb. 15, 2002	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jul. 31, 2002	REINSTATED	
Feb. 15, 2002	PETITION TO REVIVE-RECEIVED	
Dec. 27, 2001	ABANDONMENT - FAILURE TO RESPOND OR LATE RESPONSE	
Apr. 26, 2001	NON-FINAL ACTION MAILED	
Apr. 04, 2001	ASSIGNED TO EXAMINER	76832
Mar. 29, 2001	ASSIGNED TO EXAMINER	76833
Mar. 21, 2001	ASSIGNED TO EXAMINER	78429

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted Continued Use:

Affidavit of Section 15 - Accepted Incontestability:

TM Staff and Location Information

TM Staff Information - None File Location

Current Location: TMO LAW OFFICE 117 Date in Location: Mar. 17, 2016

Proceedings

Summary

Proceedings:

Type of Proceeding: Opposition

Proceeding 91221590

Number:

Filing Date: Apr 20, 2015

Status Date: Aug 04, 2016

Interlocutory ANDREW P BAXLEY

Status: Terminated

Attorney:

Defendant

Name: S.C. Ion Mos S.R.L.

Correspondent MICHAEL D FISHMAN
Address: FISHMAN STEWART PLLC

39533 WOODWARD AVENUE SUITE 1450

BLOOMFIELD HILLS MI, 48304

UNITED STATES

Correspondent e- tmdocketing@fishstewip.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON TECHNIK	Abandoned - After Inter-Partes Decision	79138965	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC

5445 CORPORATE DRIVE SUITE 200 TROY MI, 48098

UNITED STATES

Correspondent e- coakley@hdp.com, tjcomparoni@hdp.com, docketingtm@hdp.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	76975791	3689743
EMERSON	Renewed	73391804	<u>1717861</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978561</u>	<u>3744986</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	<u>3718938</u>
EMERSON	Renewed	74801308	<u>1795612</u>
EMERSON	Renewed	71093643	<u>111931</u>
EMERSON	Renewed	73391800	<u>1331669</u>
EMERSON	Renewed	74801310	<u>1795768</u>
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	<u>3673383</u>
EMERSON PROFESSIONAL TOOLS	Cancelled - Section 8	76977332	3722741
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978210</u>	<u>3861153</u>
EMERSON PROCESS MANAGEMENT	Registered	76294524	<u>4064996</u>

Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Apr 20, 2015	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Apr 21, 2015	May 31, 2015
3	PENDING, INSTITUTED	Apr 21, 2015	
4	D MOT FOR EXT W/ CONSENT	May 30, 2015	
5	EXTENSION OF TIME GRANTED	May 30, 2015	
6	D MOT TO DISMISS: FRCP 12(B)	Jun 30, 2015	
7	AMENDED NOTICE OF OPPOSITION	Jul 21, 2015	
8	D MOT TO DISMISS: FRCP 12(B)	Aug 03, 2015	
9	P MOT TO AMEND PLEADING/AMENDED PLEADING	Aug 24, 2015	
10	D OPP/RESP TO MOTION	Aug 31, 2015	
11	SUSP PEND DISP OF OUTSTNDNG MOT	Sep 08, 2015	

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 39 of 162 PageID #: 68

12	RESPONSE DUE 30 DAYS (DUE DATE)	Sep 28, 2015	Oct 28, 2015
13	CORRECTION TO BD ORDER	Sep 29, 2015	
14	P RESPONSE TO AMENDED BOARD ORDER	Oct 26, 2015	
15	SUSP PEND DISP OF CIVIL ACTION	Dec 09, 2015	
16	W/DRAW OF APPLICATION W/O CONSENT	Jul 15, 2016	
17	BD DECISION: SUSTAINED	Aug 04, 2016	
18	TERMINATED	Aug 04, 2016	

Int. Cl.: 11

Prior U.S. Cls.: 13, 21, 31 and 34

Reg. No. 1,717,861

United States Patent and Trademark Office Registered Sep. 22, 1992

TRADEMARK PRINCIPAL REGISTER

EMERSON

EMERSON ELECTRIC CO. (MISSOURI CORPORATION) 8000 WEST FLORISSANT AVENUE ST. LOUIS, MO 63136

FOR: WATER PURIFYING UNITS; ELEC-TRIC BATH HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE; AIR BLAST HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; BLOWER TYPE ELECTRIC HEATERS; ELECTRIC WATER BOILERS; GAS AND ELECTRIC BURNERS; CEILING FANS; FLUORESCENT LIGHTING FIXTURES AND PARTS THEREFOR FOR COMMERCIAL AND INDUSTRIAL USE; ELECTRIC CIRCULATION HEATERS; ELECTRIC LIGHTING FIXTURES FOR COMMERCIAL AND INDUSTRIAL USE; DRUM HEATERS; ELECTRIC AIR HEATERS FOR COMMERCIAL AND INDUSTRIAL USE OR FOR PERMANENT ATTACHMENT TO BUILDING STRUCTURES; CONVECTION HEATERS FOR COMMERCIAL AND INDUS-TRIAL USE; SHEATHED HEATING ELE-MENTS; CYLINDER HEATERS FOR COMMER-CIAL AND INDUSTRIAL USE; FOOD TABLE HEATERS FOR COMMERCIAL AND INDUS-TRIAL USE; INDUSTRIAL SCREW PLUG IMMERSION HEATERS; ELECTRIC SPACE HEATERS FOR COMMERCIAL AND INDUS-TRIAL USE; PORTABLE ELECTRIC FANS; IN-DUSTRIAL FANS USED FOR VENTILATION AND EXHAUST PURPOSES; ELECTRIC HOT AIR FURNACES; ELECTRIC HOT PLATES FOR COMMERCIAL, LABORATORY AND IN-DUSTRIAL USE; ELECTRIC IMMERSION HEATERS FOR COMMERCIAL, LABORATORY

AND INDUSTRIAL USE, AND, IN RESIDEN-TIAL USE, PERMANENTLY ATTACHED TO PLUMBING; EVAPORATIVE COOLERS; RESI-DENTIAL ELECTRIC EXHAUST FANS; VALVES FOR PLUMBING AND HEATING SYSTEMS; AIR, GAS AND WATER FILTERING UNITS FOR INDUSTRIAL USE; FINNED DUCT TYPE AIR HEATERS AND OPEN-COIL ELEC-TRIC HEATING ELEMENTS USED IN DUCT HEATERS; STEAM HEAT ACCUMULATORS; ELECTRIC WATER HEATERS AND ELEC-TRIC NON-COIN OPERATED HOT WATER DISPENSERS PERMANENTLY ATTACHED TO PLUMBING; HUMIDIFIERS FOR CENTRAL HEATING SYSTEMS; INFRA-RED HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; ELECTRIC FURNACES; CENTRAL AIR CON-DITIONING AND HEATING UNITS; ELEC-TRIC HEATERS FOR INDUSTRIAL FURNACES; ELECTRIC OVENS FOR COMMER-CIAL, INDUSTRIAL AND LABORATORY USE; RADIANT HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE; RADI-ANT HEATERS FOR COMMERCIAL, LABORA-TORY AND INDUSTRIAL USE; ELECTRIC STEAM BOILERS AND GENERATORS; STEAM SUPERHEATERS; STRIP, RING, DISC, TUBU-LAR, CARTRIDGE AND CLAMP-ON HEAT-ERS; STUD AND BOLT HEATERS; TRACK SWITCH HEATERS; WATER TAPS; GAS BURNER IGNITERS; BUBBLERS (VALVES AND NOZZLES FOR DRINKING FOUNTAINS); ELECTRIC COFFEE URN ELEMENTS; ELEC-TRIC INDUSTRIAL NOZZLE HEATING ELE-MENTS, AND FILTER-DRIERS FOR USE IN

Generated on: This page was generated by TSDR on 2017-08-24 16:21:22 EDT

Mark: EMERSON

US Serial Number: 73391804 Application Filing Sep. 29, 1982

Date:

US Registration 1717861 Registration Date: Sep. 22, 1992

Number:

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Oct. 18, 2012

Publication Date: Feb. 12, 1985

Mark Information

Mark Literal EMERSON Elements:

Standard Character No Claim:

Mark Drawing 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Type:

Color(s) Claimed: Color is not claimed as a feature of the mark.

Acquired In whole Distinctiveness Claim:

Related Properties Information

Claimed Ownership 0111931 and others of US Registrations:

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: WATER PURIFYING UNITS; [ELECTRIC BATH HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE; AIR BLAST HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; BLOWER TYPE ELECTRIC HEATERS;] ELECTRIC WATER BOILERS; [GAS AND ELECTRIC BURNERS;] CEILING FANS; [FLUORESCENT LIGHTING FIXTURES AND PARTS THEREFOR FOR COMMERCIAL AND INDUSTRIAL USE;] [ELECTRIC CIRCULATION HEATERS; ELECTRIC LIGHTING FIXTURES FOR COMMERCIAL AND INDUSTRIAL USE; DUM HEATERS; ELECTRIC AIR HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; DOR FOR PERMANENT ATTACHMENT TO BUILDING STRUCTURES; CONVECTION HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; FOOD TABLE HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; FOOD TABLE HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; INDUSTRIAL SCREW PLUG IMMERSION HEATERS; ELECTRIC SPACE HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; PORTABLE ELECTRIC FANS; INDUSTRIAL FANS USED FOR VENTILATION AND EXHAUST PURPOSES; ELECTRIC HOT AIR FURNACES; ELECTRIC HOT PLATES FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE; ELECTRIC IMMERSION HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE, PORTABLE USE, PORTABLE ELECTRIC EXHAUST FANS]; VALVES FOR [PLUMBING AND] HEATERS FOR COMMERCIAL, GAS AND WATER FILTERING UNITS FOR INDUSTRIAL USE; FINNED DUCT TYPE AIR HEATERS AND OPEN-COIL ELECTRIC HEATING ELEMENTS USED IN DUCT HEATERS; STEAM HEAT ACCUMULATORS;] ELECTRIC WATER HEATERS AND ELECTRIC NON-COIN OPERATED HOT

WATER DISPENSERS PERMANENTLY ATTACHED TO PLUMBING; [HUMIDIFIERS FOR CENTRAL HEATING SYSTEMS;] [
INFRA-RED HEATERS FOR COMMERCIAL AND INDUSTRIAL USE; ELECTRIC FURNACES; CENTRAL AIR CONDITIONING AND
HEATING UNITS; ELECTRIC HEATERS FOR INDUSTRIAL FURNACES; ELECTRIC OVENS FOR COMMERCIAL, INDUSTRIAL
AND LABORATORY USE;] [RADIANT HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE;] [RADIANT
HEATERS FOR COMMERCIAL, LABORATORY AND INDUSTRIAL USE; ELECTRIC STEAM BOILERS AND GENERATORS;
STEAM SUPERHEATERS; STRIP, RING, DISC, TUBULAR, CARTRIDGE AND CLAMP-ON HEATERS; STUD AND BOLT
HEATERS; TRACK SWITCH HEATERS; WATER TAPS;] GAS BURNER IGNITERS [; BUBBLERS (VALVES AND NOZZLES FOR
DRINKING FOUNTAINS); ELECTRIC COFFEE URN ELEMENTS; ELECTRIC INDUSTRIAL NOZZLE HEATING ELEMENTS, AND
FILTER-DRIERS FOR USE IN REFRIGERANT LINES]

International 011 - Primary Class U.S Class(es): 013, 021, 023, 031, 034

Class(es):

Class Status: ACTIVE Basis: 1(a)

First Use: 1890 Use in Commerce: 1890

Basis Information (Case Level)

Filed Use: Yes Currently Use: Yes Amended Use: No Filed ITU: No Currently ITU: No Amended ITU: No Filed 44D: No Currently 44D: No Amended 44D: No Filed 44E: No Currently 44E: No Amended 44E: No Filed 66A: No Currently 66A: No

Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: EMERSON ELECTRIC CO.

Owner Address: 8000 WEST FLORISSANT AVENUE

ST. LOUIS, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan and Lisabeth H. Coakley Docket Number: 3165-200145/

Attorney Primary docketingtm@hdp.com Attorney Email Yes Email Address: Authorized:

Correspondent

Correspondent Michael P. Brennan and Lisabeth H. Coakley

Name/Address: HARNESS DICKEY & PIERCE PLC

Post Office Box 828

Bloomfield Hills, MICHIGAN 48303

UNITED STATES

Phone: 248-641-1600 **Fax:** 248-641-0270

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 18, 2012	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Oct. 18, 2012	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	66607
Oct. 18, 2012	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	66607
Oct. 04, 2012	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	66607
Sep. 24, 2012	TEAS SECTION 8 & 9 RECEIVED	
Apr. 22, 2011	CASE FILE IN TICRS	
Feb. 24, 2011	CASE FILE IN TICRS	

May 27, 2008	NOTICE OF SUIT	
Jun. 14, 2002	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Jun. 14, 2002	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Apr. 08, 2002	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Oct. 19, 1999	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Jun. 14, 1999	RESPONSE RECEIVED TO POST REG. ACTION	
Apr. 26, 1999	POST REGISTRATION ACTION MAILED - SEC. 8 & 15	
Sep. 18, 1998	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Sep. 18, 1998	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Sep. 22, 1992	REGISTERED-PRINCIPAL REGISTER	
Feb. 28, 1992	OPPOSITION DISMISSED NO. 999999	71311
Dec. 15, 1986	OPPOSITION INSTITUTED NO. 999999	71311
Jun. 06, 1985	OPPOSITION INSTITUTED NO. 999999	71311
Feb. 12, 1985	PUBLISHED FOR OPPOSITION	
Jan. 31, 1985	NOTICE OF PUBLICATION	
Jan. 03, 1985	NOTICE OF PUBLICATION	
Oct. 29, 1984	APPROVED FOR PUB - PRINCIPAL REGISTER	
Oct. 23, 1984	EXAMINERS AMENDMENT MAILED	
Aug. 24, 1984	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Apr. 24, 1984	NON-FINAL ACTION MAILED	
Dec. 23, 1983	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Jun. 24, 1983	NON-FINAL ACTION MAILED	
May 18, 1983	ASSIGNED TO EXAMINER	

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Renewal Date: Sep. 22, 2012

TM Staff and Location Information

TM Staff Information - None
File Location

Current Location: GENERIC WEB UPDATE Date in Location: Oct. 18, 2012

Proceedings

Summary

Number of 4 Proceedings:

Type of Proceeding: Opposition

Proceeding 91221590

Number:

Filing Date: Apr 20, 2015

Status: Terminated Status Date: Aug 04, 2016

Interlocutory ANDREW P BAXLEY

Attorney:

Defendant

Name: S.C. Ion Mos S.R.L.

Correspondent MICHAEL D FISHMAN
Address: FISHMAN STEWART PLLC

39533 WOODWARD AVENUE SUITE 1450

BLOOMFIELD HILLS MI, 48304

UNITED STATES

 $\textbf{Correspondent e-} \quad \underline{tmdocketing@fishstewip.com}$

mail

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON TECHNIK	Abandoned - After Inter-Partes Decision	79138965	
	Plaintiff(s)		

Name: Emerson Electric Co. Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC

5445 CORPORATE DRIVE SUITE 200

TROY MI, 48098 **UNITED STATES**

 $\textbf{Correspondent e-} \quad \underline{coakley@hdp.com} \; , \\ \underline{tjcomparoni@hdp.com} \; , \\ \underline{docketingtm@hdp.com} \; , \\ \underline{docketingtm@hdp.c$

mail:

man.			
Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	<u>76975791</u>	3689743
EMERSON	Renewed	<u>73391804</u>	<u>1717861</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978561</u>	3744986
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978211</u>	3718938
EMERSON	Renewed	<u>74801308</u>	<u>1795612</u>
EMERSON	Renewed	71093643	<u>111931</u>
EMERSON	Renewed	<u>73391800</u>	<u>1331669</u>
EMERSON	Renewed	<u>74801310</u>	<u>1795768</u>
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	<u>76978420</u>	3673383
EMERSON PROFESSIONAL TOOLS	Cancelled - Section 8	76977332	3722741
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978210</u>	<u>3861153</u>
EMERSON PROCESS MANAGEMENT	Registered	76294524	4064996
	Prosecution History		

Prosecution History				
Entry Number	History Text	Date	Due Date	
1	FILED AND FEE	Apr 20, 2015		
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Apr 21, 2015	May 31, 2015	
3	PENDING, INSTITUTED	Apr 21, 2015		
4	D MOT FOR EXT W/ CONSENT	May 30, 2015		
5	EXTENSION OF TIME GRANTED	May 30, 2015		
6	D MOT TO DISMISS: FRCP 12(B)	Jun 30, 2015		
7	AMENDED NOTICE OF OPPOSITION	Jul 21, 2015		
8	D MOT TO DISMISS: FRCP 12(B)	Aug 03, 2015		
9	P MOT TO AMEND PLEADING/AMENDED PLEADING	Aug 24, 2015		
10	D OPP/RESP TO MOTION	Aug 31, 2015		
11	SUSP PEND DISP OF OUTSTNDNG MOT	Sep 08, 2015		
12	RESPONSE DUE 30 DAYS (DUE DATE)	Sep 28, 2015	Oct 28, 2015	
13	CORRECTION TO BD ORDER	Sep 29, 2015		
14	P RESPONSE TO AMENDED BOARD ORDER	Oct 26, 2015		
15	SUSP PEND DISP OF CIVIL ACTION	Dec 09, 2015		
16	W/DRAW OF APPLICATION W/O CONSENT	Jul 15, 2016		
17	BD DECISION: SUSTAINED	Aug 04, 2016		
18	TERMINATED	Aug 04, 2016		
Type of Proceeding: Opposition				

Type of Proceeding: Opposition

Proceeding 91156434

Number:

Filing Date: May 12, 2003

Status Date: May 30, 2003

Status: Terminated Interlocutory JYLL S TAYLOR

Attorney:

Defendant

Name: EMERSON RADIO CORP.

Correspondent Randi S. Miller Address: Darby & Darby, P.C.

805 Third Avenue, New York NY , 10022 **UNITED STATES**

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	78148812	3532350
	Plaintiff(s)		

Name: EMERSON ELECTRIC CO.

Correspondent LISABETH H. COAKLEY

Address: HARNESS, DICKEY & PIERCE, P.L.C.

5445 CORPORATE DRIVE, SUITE 400

TROY MI, 48098-2683 UNITED STATES

Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	73391804	1717861
	Prosecution	History		
Entry Number	History Text		Date	Due Date
I	FILED AND FEE		May 12, 2003	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:		May 30, 2003	Jul 09, 2003
3	PENDING, INSTITUTED		May 30, 2003	
4	D'S MOT FOR EXTEN. OF TIME W/ CONSENT		Jun 27, 2003	
5	D'S MOT FOR EXTEN. OF TIME W/ CONSENT		Jul 18, 2003	
6	P'S MOT FOR EXTEN. OF TIME W/ CONSENT		Sep 17, 2003	
7	ANSWER AND COUNTERCLAIM		Aug 08, 2003	
3	P'S MOT FOR EXTEN. OF TIME W/ CONSENT		Nov 14, 2003	
9	D'S MOT TO SUSPEND FOR CIVIL ACTION W/ CONSENT	Г	Dec 10, 2003	
10	PROCES SUSPENDED PENDING C/A		Mar 25, 2004	
11	AMENDMENT TO APPLICATION		Feb 14, 2006	
12	SUSPENDED		Mar 10, 2006	
13	WITHDRAWAL OF OPPOSITION		Apr 05, 2006	
14	BOARD'S DECISION: DISMISSED		Apr 06, 2006	
15	RESPONSE DUE 30 DAYS (DUE DATE)		Jun 23, 2006	Jul 23, 2006
16	WITHDRAWAL OF COUNTERCLAIM		Jul 05, 2006	
17	COUNTERCLAIM DISMISSED W/PREJUDICE		Aug 01, 2006	
18	TERMINATED		Aug 01, 2006	

Proceeding 78148812

Status: Terminated

Number:

Filing Date: Mar 25, 2003

Status Date: Mar 25, 2003

Interlocutory Attorney:

Defendant

Name: EMERSON RADIO CORP.

Correspondent Randi S. Miller

Address: Darby & Darby, P.C.

805 Third Avenue, New York NY, 10022 UNITED STATES

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	78148812	3532350
	Potential Opposer(s)		

Correspondent LISABETH H. COAKLEY

Address: HARNESS, DICKEY & PIERCE, P.L.C.

5445 CORPORATE DRIVE, SUITE 400

TROY MI , 48098-2683 UNITED STATES

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Renewed	73391804	1717861

Prosecution History

Entry Number History Text Due Date

1 INCOMING - EXT TIME TO OPPOSE FILED Mar 07, 2003
2 EXTENSION OF TIME GRANTED Mar 25, 2003

Type of Proceeding: Opposition

Proceeding <u>91071311</u>

Number:

Filing Date: Apr 03, 1985

Status Date: Feb 28, 1992

Status: Terminated

Interlocutory THOMAS J QUINN

Attorney:

Associated marks

Defendant

Name: EMERSON ELECTRIC CO.

Correspondent PHILIP B. POLSTER, J. PHILIP POLSTER,

Address: LIONEL L. LUCCHESI & WILLIAM B.

CUNNINGHAM, JR. 763 SOUTH NEW BALLAS ROAD ST. LOUIS MO, 63141

ST. LOUIS MO , 6314 UNITED STATES

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Renewed	73391804	<u>1717861</u>
Plaintiff(s)			

Name: EMERSON RADIO CORPORATION

Correspondent ALFRED E. MILLER
Address: 150 - 152 MASON STREET
GREENWICH CT , 06830

UNITED STATES

Mark		Application Status	Serial Number	Registration Number
CTOR H. EMERSO	N	Expired	71088411	108287
	Prosecutio	on History		
Entry Number	History Text		Date	Due Date
	FILED AND FEE		Apr 03, 1985	
	NOTICE SENT; ANSWER DUE (DUE DATE)		Jun 06, 1985	Jul 16, 1985
	PENDING, INSTITUTED		Jun 06, 1985	
	2(D) CONFUSION/MISTAKE/DECEPTION W MARK		Jun 11, 1985	
	STIPULATION FOR AN EXTENSION OF TIME		Jun 21, 1985	
	ANSWER DUE (DUE DATE)		Jul 10, 1985	Sep 23, 1985
	STIPULATION FOR AN EXTENSION OF TIME		Aug 30, 1985	
	D'S MOT FOR EXTEN. OF TIME W/ CONSENT		Oct 28, 1985	
	ANSWER DUE (DUE DATE)		Nov 13, 1985	Dec 24, 1985
	D'S MOT FOR EXTEN. OF TIME W/ CONSENT		Dec 23, 1985	
	ANSWER DUE (DUE DATE)		Jan 16, 1986	Mar 24, 1986
2	D'S MOT FOR EXTEN. OF TIME W/ CONSENT		Mar 24, 1986	
3	SUSPENDED		Apr 28, 1986	
	PROCS RESUMED; ANSWER DUE 1-5-87		Dec 15, 1986	
;	ANSWER		Jan 02, 1987	
6	TRIAL DATES SET		Jan 29, 1987	

17	STIP TO SUSPEND PEND SETTLEMENT NEGOTNS	Feb 24, 1987
18	SUSPENDED	Mar 30, 1987
19	PROCS RESUMED; TRIAL DATES RESET	Apr 14, 1988
20	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	May 20, 1988
21	SUSPENDED	Jun 28, 1988
22	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	Dec 05, 1988
23	TRIAL DATES RESET	Dec 29, 1988
24	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	Apr 13, 1989
25	SUSPENDED	May 05, 1989
26	D'S MOT TO SUSP PEND SETLMT NEGOT W CNST	Nov 07, 1989
27	SUSPENDED	Nov 22, 1989
28	D'S MOT TO SUSP PEND SETLMT NEGOT W CNST	May 07, 1990
29	SUSPENDED	Jun 11, 1990
30	PROCS RESUMED; TRIAL DATES RESET	Dec 17, 1990
31	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Feb 14, 1991
32	P'S MOT FOR EXTEN. OF TIME W/ CONSENT	Apr 17, 1991
33	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	Aug 23, 1991
34	SUSPENDED	Oct 01, 1991
35	STIPULATION TO DISMISS OPPOSITION	Dec 23, 1991
36	DEF'S REQUEST FOR AMENEDMENT W/CONSENT	Dec 20, 1991
37	AMENDMENT	Dec 20, 1991
38	AMENDMENT APPROVED AND ENTERED	Feb 28, 1992
39	BD'S DECISION: DISMISSED W/ PREJUDICE	Feb 28, 1992

Int. Cl.: 7

Prior U.S. Cl.: 23

United States Patent and Trademark Office Reg. No. 1,795,612 Registered Sep. 28, 1993

TRADEMARK PRINCIPAL REGISTER

EMERSON

EMERSON ELECTRIC CO. (MISSOURI CORPORATION) 8000 WEST FLORISSANT AVENUE P.O. BOX 4100 ST. LOUIS, MO 63136

FOR: COMPRESSORS FOR USE IN REFRIGERATION, HEATING, VENTILATION, AND AIR CONDITIONING; DISHWASHERS; WASHING MACHINES FOR USE IN LAUNDRIES; ELECTRICALLY POWERED FINISHING MILLS FOR DEBURRING, FLASH REMOVAL, EDGE-BREAKING, ROUGH OR SMOOTH SURFACE PREPARATION, RADIUSING, PRECISION SURFACE REDUCTION, DESCALING,

BURNISHING, AND CHEMICAL TREATING; AND VALVES FOR FLUID CONTROL BEING PARTS OF MACHINES, IN CLASS 7 (U.S. CL. 23).

FIRST USE 2-20-1990; IN COMMERCE 2-20-1990.

OWNER OF U.S. REG. NOS. 111,931, 1,331,669, AND OTHERS.

SEC. 2(F).

SN 74-801,308, FILED 11-16-1989.

MARTHA SANTOMARTINO, EXAMINING ATTORNEY

Generated on: This page was generated by TSDR on 2017-08-24 16:22:21 EDT

Mark: EMERSON

EMERSON

US Serial Number: 74801308 Application Filing Nov. 16, 1989

Date:

US Registration 1795612 Registration Date: Sep. 28, 1993

Number:

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Apr. 12, 2013

Publication Date: Nov. 19, 1991 Notice of Oct. 27, 1992

Allowance Date:

Mark Information

Mark Literal EMERSON Elements:

Standard Character No

Mark Drawing 1 - TYPESET WORD(S) /LETTER(S) /NUMBER(S)

Type:

Acquired In whole

Distinctiveness Claim:

Related Properties Information

 $\textbf{Claimed Ownership} \quad \textbf{0111931}, \, \textbf{0929506}, \, \textbf{0932239}, \, \textbf{0942645}, \, \textbf{0957704}, \, \textbf{1291898}, \, \textbf{1316533}, \, \textbf{1331669} \, \, \textbf{and others} \\ \textbf{0111931}, \, \textbf{0929506}, \, \textbf{0932239}, \, \textbf{0942645}, \, \textbf{0957704}, \, \textbf{1291898}, \, \textbf{1316533}, \, \textbf{1331669} \, \, \textbf{and others} \\ \textbf{0111931}, \, \textbf{0111931}, \,$

of US Registrations:

Child Of: 74001157

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: compressors for use in refrigeration, heating, ventilation, and air conditioning; [dishwashers; washing machines for use in laundries; electrically powered finishing mills for deburring, flash removal, edge-breaking, rough or smooth surface preparation, radiusing, precision surface reduction, descaling, burnishing, and chemical treating;] and valves for fluid control being parts of machines

International 007 - Primary Class U.S Class(es): 013, 019, 021, 023, 031, 034, 035

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Feb. 20, 1990 **Use in Commerce:** Feb. 20, 1990

Basis Information (Case Level)

Filed Use: No

Currently Use: Yes

Amended Use: No

Filed ITU: Yes

Currently ITU: No

Amended ITU: No

Filed 44D: No

Currently 44D: No

Amended 44D: No

Filed 44E: No

Currently 44E: No

Amended 44E: No

Filed 66A: No Currently 66A: No

Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: EMERSON ELECTRIC CO.
Owner Address: 8000 West Florissant Avenue

P.O. Box 4100

St. Louis, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION

State or Country MISSOURI Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan and Lisabeth H. Coakley Docket Number: 3165-200155/

Correspondent

Correspondent Michael P. Brennan and Lisabeth H. Coakley **Name/Address:** HARNESS, DICKEY & PIERCE, P.L.C.

Post Office Box 828

Bloomfield Hills, MICHIGAN 48303

UNITED STATES

Phone: 248-641-1600 **Fax:** 248-641-0270

Correspondent e- docketingtm@hdp.com mail: Correspondent e- Yes mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Apr. 12, 2013	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Apr. 12, 2013	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	69471
Apr. 12, 2013	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	69471
Apr. 11, 2013	TEAS SECTION 8 & 9 RECEIVED	
Sep. 08, 2010	CASE FILE IN TICRS	59554
Nov. 29, 2003	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Nov. 29, 2003	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 09, 2003	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Sep. 09, 2003	TEAS SECTION 8 & 9 RECEIVED	
Dec. 05, 2002	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Nov. 05, 1999	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Jul. 15, 1999	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Sep. 28, 1993	REGISTERED-PRINCIPAL REGISTER	
Jul. 22, 1993	ALLOWED PRINCIPAL REGISTER - SOU ACCEPTED	
Jul. 20, 1993	ASSIGNED TO EXAMINER	69192
Jun. 30, 1993	STATEMENT OF USE PROCESSING COMPLETE	
Apr. 23, 1993	USE AMENDMENT FILED	
Jul. 01, 1993	DIVISIONAL PROCESSING COMPLETE	
Apr. 23, 1993	DIVISIONAL REQUEST RECEIVED	
Apr. 23, 1993	DIVISIONAL REQUEST RECEIVED	
Oct. 27, 1992	NOA MAILED - SOU REQUIRED FROM APPLICANT	
Nov. 19, 1991	PUBLISHED FOR OPPOSITION	
Oct. 18, 1991	NOTICE OF PUBLICATION	
Jul. 02, 1991	APPROVED FOR PUB - PRINCIPAL REGISTER	
Apr. 15, 1991	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Oct. 18, 1990	NON-FINAL ACTION MAILED	

Sep. 28, 1990 ASSIGNED TO EXAMINER 67522

Aug. 06, 1990 CORRESPONDENCE RECEIVED IN LAW OFFICE

Feb. 22, 1990 NON-FINAL ACTION MAILED

Feb. 12, 1990 ASSIGNED TO EXAMINER 66753

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Renewal Date: Sep. 28, 2013

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE Date in Location: Apr. 12, 2013

Assignment Abstract Of Title Information

Summary

Total Assignments: 1 Registrant: EMERSON ELECTRIC CO.

Assignment 1 of 1

Conveyance: SECURITY INTEREST

Reel/Frame: 0671/0515 Pages: 0

Date Recorded: Sep. 18, 1989

Supporting No Supporting Documents Available

Documents:

Assignor

Name: LEGAL COMMUNICATIONS, LTD. Execution Date: Aug. 23, 1989

Legal Entity Type: LIMITED PARTNERSHIP State or Country PENNSYLVANIA

Where Organized:

Assignee

Name: MERIDIAN BANK

Legal Entity Type: A BANKING CORPORATION State or Country PENNSYLVANIA

Where Organized:

Address: 5 PENN CENTER

PHILADELPHIA, PENNSYLVANIA 19103

Correspondent

Correspondent DUANE, MORRIS & HECKSCHER

Name:

Correspondent ONE FRANKLIN PLAZA

Address: PHILADELPHIA, PA 19102

Domestic Representative - Not Found

Proceedings

Summary

Number of 2 Proceedings:

Type of Proceeding: Opposition

Proceeding 91228775 Filing Date: Jun 29, 2016

Number:

Status: Terminated Status Date: Oct 12, 2016

Interlocutory YONG OH (RICHARD) KIM

Attorney:

Defendant

Name: Emerson Technologies Inc.

Correspondent XIAOFANG FANG

Address: MOUNT HAVEN 3 LIU TO ROAD, FLAT E 13F BLK 1

TSINGYI NT HONG KONG

Correspondent e- petrasfiling@gmail.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMSON	Abandoned - After Inter-Partes Decision	86775885	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISA M DUROSS

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE, SUITE 200

TROY MI, 48098 UNITED STATES

Correspondent e- docketingTM@hdp.com, duross@hdp.com, nsanborn@hdp.com, bmarkham@hdp.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Renewed	73391805	1742773
EMERSON	Partial Section 8 and 15 Accepted and Acknowledged	76146750	3757850
EMERSON	Renewed	73391800	1331669
EMERSON INDUSTRIAL AUTOMATION	Partial Section 8 and 15 Accepted and Acknowledged	76294611	3731688
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	3718938
EMERSON	Renewed	74801308	<u>1795612</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76975790	3854063
EMERSON	Renewed	71093643	<u>111931</u>

Prosecution History				
Entry Number	History Text	Date	Due Date	
1	FILED AND FEE	Jun 29, 2016		
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jul 05, 2016	Aug 14, 2016	
3	PENDING, INSTITUTED	Jul 05, 2016		
4	NOTICE OF DEFAULT	Aug 24, 2016		
5	BD DECISION: SUSTAINED	Oct 12, 2016		
6	TERMINATED	Oct 12, 2016		

Type of Proceeding: Opposition

Proceeding <u>91221590</u>

Number:

Filing Date: Apr 20, 2015

Status: Terminated Status Date: Aug 04, 2016

Interlocutory ANDREW P BAXLEY

Attorney:

Defendant

Name: S.C. Ion Mos S.R.L.

Correspondent MICHAEL D FISHMAN
Address: FISHMAN STEWART PLLC

39533 WOODWARD AVENUE SUITE 1450

BLOOMFIELD HILLS MI, 48304

UNITED STATES

Correspondent e- tmdocketing@fishstewip.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON TECHNIK	Abandoned - After Inter-Partes Decision	79138965	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC

5445 CORPORATE DRIVE SUITE 200

TROY MI , 48098 UNITED STATES

 $\textbf{Correspondent e-} \quad \underline{coakley@hdp.com} \text{ , } \underline{tjcomparoni@hdp.com} \text{ , } \underline{docketingtm@hdp.com}$

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	76975791	3689743
EMERSON	Renewed	73391804	<u>1717861</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978561	<u>3744986</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	<u>3718938</u>
EMERSON	Renewed	74801308	<u>1795612</u>
EMERSON	Renewed	71093643	<u>111931</u>
EMERSON	Renewed	73391800	<u>1331669</u>
EMERSON	Renewed	74801310	1795768
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	3673383
EMERSON PROFESSIONAL TOOLS	Cancelled - Section 8	76977332	3722741
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978210	<u>3861153</u>
EMERSON PROCESS MANAGEMENT	Registered	76294524	4064996
	B 41 111 4		

	Prosecution History		
Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Apr 20, 2015	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Apr 21, 2015	May 31, 2015
3	PENDING, INSTITUTED	Apr 21, 2015	
4	D MOT FOR EXT W/ CONSENT	May 30, 2015	
5	EXTENSION OF TIME GRANTED	May 30, 2015	
6	D MOT TO DISMISS: FRCP 12(B)	Jun 30, 2015	
7	AMENDED NOTICE OF OPPOSITION	Jul 21, 2015	
8	D MOT TO DISMISS: FRCP 12(B)	Aug 03, 2015	
9	P MOT TO AMEND PLEADING/AMENDED PLEADING	Aug 24, 2015	
10	D OPP/RESP TO MOTION	Aug 31, 2015	
11	SUSP PEND DISP OF OUTSTNDNG MOT	Sep 08, 2015	
12	RESPONSE DUE 30 DAYS (DUE DATE)	Sep 28, 2015	Oct 28, 2015
13	CORRECTION TO BD ORDER	Sep 29, 2015	
14	P RESPONSE TO AMENDED BOARD ORDER	Oct 26, 2015	
15	SUSP PEND DISP OF CIVIL ACTION	Dec 09, 2015	
16	W/DRAW OF APPLICATION W/O CONSENT	Jul 15, 2016	
17	BD DECISION: SUSTAINED	Aug 04, 2016	
18	TERMINATED	Aug 04, 2016	

UNITED STATES PATENT OFFICE.

THE EMERSON ELECTRIC MANUFACTURING COMPANY, OF ST. LOUIS, MISSOURI.

TRADE-MARK FOR CERTAIN NAMED ELECTRICAL APPARATUS AND MACHINES.

111,931.

Registered Aug. 15, 1916.

Application filed March 18, 1916. Serial No. 93,643.

STATEMENT.

To all whom it may concern:

Be it known that THE EMERSON ELECTRIC MANUFACTURING COMPANY, a corporation duly organized under the laws of the State of Missouri, and located in the city of St. Louis, in said State, and doing business at No. 2024 Washington avenue, in said city, has adopted and used the trade-mark shown in the accompanying drawing, for electric motors, electric fans, including fans of portable, wall, ceiling, and floor types, electric exhaust-fans, electric forge-blowers, electric generators, electric motor-generators, knifeswitches, switchboards, panel-boards, fuseboards, transformers, rotary transformers, illuminating - cylinders, watch - demagnetizers, electric organ-blowers, electric hair-driers, electrically-operated coffee-mills, electrically-operated air and water pumps, electrically-operated lathes, electrically-operated

buffers and grinders, electrically-operated furnace-blowers, electrically-operated hacksaws, and electric motors and power-transmitters for sewing machines, in Class No. 21, Electrical appearance and supplies

Electrical apparatus, machines, and supplies.

The trade mark has been continuously used in the business of said corporation since

the year 1890.

The trade mark is applied or affixed to the goods, or to the packages containing the same, by placing thereon a printed, stamped or embossed plate on which the trade mark is shown, by molding, stamping or embossing the mark directly upon the goods, and by printing, stamping or stenciling the mark upon containers for the goods.

THE EMERSON ELECTRIC
MANUFACTURING COMPANY,
By T. M. MESTON,
Vice President.

EMERSON

DECLARATION.

State of Missouri city of St. Louis ss.

Thomas M. Meston, being duly sworn, deposes and says that he is vice president of The Emerson Electric Manufacturing Company, the applicant named in the foregoing statement; that he believes the foregoing statement is true; that he believes The Emerson Electric Manufacturing Company to be the owner of the mark sought to be registered; that no other person, firm, corporation, or association to the best of his knowledge and belief, has the right to use said mark in the United States either in the identical form or in any such near resemblance thereto as might be calculated to deceive; that said mark is used by the said ap-

plicant in commerce among the several States of the United States; that the description and drawing presented truly represent the mark sought to be registered; that the specimens show the mark as actually used upon the goods; and that the mark has been in actual use as a trade mark of the applicant for ten (10) years next preceding February 20, 1905, and that, to the best of his knowledge and belief, such use has been exclusive.

T. M. MESTON.

Subscribed and sworn to before me, a notary public, this 16th day of March, 1916.

[L. s.] MARTIN P. SMITH,

Notary Public.

Generated on: This page was generated by TSDR on 2017-08-24 16:23:36 EDT

Mark: EMERSON

EMERSON

US Serial Number: 71093643 Application Filing Mar. 18, 1916

Date:

US Registration 111931 Registration Date: Aug. 15, 1916
Number:

n i i

Register: Principal

Mark Type: Trademark

Status: The registration has been renewed.

Status Date: Oct. 28, 2016

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 5 - AN ILLUSTRATION DRAWING WITH WORD(S) /LETTER(S)/ NUMBER(S) INSTYLIZED FORM

Type:

Related Properties Information

Publish Previously Yes Registered Mark:

Previously Jan. 03, 1984

Registered Mark Publication Date:

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

- Brackets [..] indicate deleted goods/services;
- Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and
- Asterisks *..* identify additional (new) wording in the goods/services.

For: [Electric Motors,] Electric Fans, including fans of [Portable,] wall, * and * ceiling [, and Floor] Types, [Electric Exhaust-Fans,] [
Electric Forge-Blowers,] [Electric Generators, Electric Motor-Generators,] [Knife-Switches,] [Switchboards, Panel-Boards,] [Fuse-Boards,] [Transformers, Rotary Transformers,] [Illuminating-Cylinders, Watch-Demagnetizers, Electric Organ-Blowers, Electric Hair-Driers, Electrically-Operated Coffee-Mills,] [Electrically-Operated] [Air and] [Water Pumps, Electrically-Operated Lathes,] [
Electrically-Operated Buffers and] [Grinders, Electrically-Operated Furnace-Blowers] [, Electrically-Operated Hacksaws, and Electric Motors and Power-Transmitters for Sewing-Machines]

International 007, 009, 011, 012, 015 U.S Class(es): 021 - Primary Class

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: 1890 Use in Commerce: 1890

Basis Information (Case Level)

 Filed Use:
 Yes
 Amended Use:
 No

 Filed ITU:
 No
 Currently ITU:
 No
 Amended ITU:
 No

 Filed 44D:
 No
 Currently 44D:
 No
 Amended 44D:
 No

 Filed 44E:
 No
 Currently 44E:
 No
 Amended 44E:
 No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: EMERSON ELECTRIC CO.
Owner Address: 8000 WEST FLORISSANT

ST. LOUIS, MISSOURI 63136

UNITED STATES

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan; Lisa M. DuRoss Docket Number: 3165-200161

Attorney Primary mailroom@hdp.com
Email Address: Authorized:

Correspondent

Correspondent Michael P. Brennan; Lisa M. DuRoss

Name/Address: HARNESS, DICKEY & PIERCE, P.L.C.

5445 Corporate Drive, Suite 200 TROY, MICHIGAN 48098 UNITED STATES

Correspondent e- mailroom@hdp.com duross@hdp.com bmarkham Correspondent e- Yes

mail: @hdp.com agrant@hdp.com sbianchi@hdp.com mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Oct. 28, 2016	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Oct. 28, 2016	REGISTERED AND RENEWED (SIXTH RENEWAL - 10 YRS)	76293
Oct. 28, 2016	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	76293
Oct. 28, 2016	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	76293
Aug. 15, 2016	TEAS SECTION 8 & 9 RECEIVED	
Aug. 15, 2015	COURTESY REMINDER - SEC. 8 (10-YR)/SEC. 9 E-MAILED	
Apr. 26, 2011	CASE FILE IN TICRS	
Apr. 22, 2011	CASE FILE IN TICRS	
May 27, 2008	NOTICE OF SUIT	
Apr. 27, 2007	REVIEW OF CORRESPONDENCE COMPLETE	76293
Dec. 15, 2006	ASSIGNED TO PARALEGAL	70132
Nov. 15, 2006	PAPER RECEIVED	
Oct. 28, 2006	REGISTERED AND RENEWED (FIFTH RENEWAL - 10 YRS)	70131
Oct. 28, 2006	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Oct. 19, 2006	ASSIGNED TO PARALEGAL	70131
Aug. 14, 2006	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Aug. 14, 2006	TEAS SECTION 8 & 9 RECEIVED	
Dec. 05, 2002	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Jul. 24, 1996	REGISTERED AND RENEWED (FOURTH RENEWAL - 10 YRS)	
May 31, 1996	REGISTERED - SEC. 9 FILED/CHECK RECORD FOR SEC. 8	
Jan. 16, 1990	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
Dec. 26, 1989	RESPONSE RECEIVED TO POST REG. ACTION	
Dec. 22, 1989	POST REGISTRATION ACTION MAILED - SEC. 8 & 15	
Nov. 06, 1989	RESPONSE RECEIVED TO POST REG. ACTION	
Jul. 25, 1989	POST REGISTRATION ACTION MAILED - SEC. 8 & 15	
Feb. 16, 1989	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Aug. 15, 1976	REGISTERED AND RENEWED (THIRD RENEWAL - 20 YRS)	
Jan. 03, 1984	PUBLISHED SECTION 12(C)	

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Renewal Date: Aug. 15, 2016

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE Date in Location: Oct. 28, 2016

Assignment Abstract Of Title Information

Summary

Total Assignments: 1 Registrant: EMERSON ELECTRIC MANUFACTURING

COMPANY;THE

Assignment 1 of 1

Conveyance: CHANGE OF NAME 19640205

Reel/Frame: 0113/0071 Pages: 6

Date Recorded: May 06, 1964

Supporting No Supporting Documents Available

Documents:

Assign

Name: EMERSON ELECTRIC MANUFACTURING Execution Date: Feb. 14, 1964

COMPANY, THE

Legal Entity Type: UNKNOWN State or Country No Place Where Organized Found

Where Organized:

Assignee

Name: EMERSON ELECTRIC CO.

Legal Entity Type: UNKNOWN State or Country No Place Where Organized Found

Where Organized:

Address: No Assignee Address Found

Correspondent

Correspondent PHILIP B. PALSTER

Name:

Correspondent 1221 LOCUST ST.

Address: ST. LOUIS 3, MO

Domestic Representative - Not Found

Proceedings

Summary

Number of 11 Proceedings:

Type of Proceeding: Opposition

Proceeding 91228775 Filing Date: Jun 29, 2016

Number:

Status: Terminated Status Date: Oct 12, 2016

Interlocutory YONG OH (RICHARD) KIM

Attorney:

Defendant

Name: Emerson Technologies Inc.

Correspondent XIAOFANG FANG

Address: MOUNT HAVEN 3 LIU TO ROAD, FLAT E 13F BLK 1

TSINGYI NT HONG KONG

Correspondent e- petrasfiling@gmail.com

Associated marks Serial Registration Mark **Application Status** Number Number **EMSON** <u>86775</u>88<u>5</u> Abandoned - After Inter-Partes Decision Plaintiff(s)

Name: Emerson Electric Co.

Correspondent LISA M DUROSS

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE , SUITE 200

TROY MI, 48098 **UNITED STATES**

 $\textbf{Correspondent e-} \quad \underline{\texttt{docketingTM@hdp.com}} \,, \\ \underline{\texttt{duross@hdp.com}} \,, \\ \underline{\texttt{nsanborn@hdp.com}} \,, \\ \underline{\texttt{bmarkham@hdp.com}} \,$

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Renewed	73391805	1742773
EMERSON	Partial Section 8 and 15 Accepted and Acknowledged	<u>76146750</u>	3757850
EMERSON	Renewed	<u>73391800</u>	<u>1331669</u>
EMERSON INDUSTRIAL AUTOMATION	Partial Section 8 and 15 Accepted and Acknowledged	<u>76294611</u>	<u>3731688</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978211</u>	<u>3718938</u>
EMERSON	Renewed	<u>74801308</u>	<u>1795612</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76975790</u>	3854063
EMERSON	Renewed	71093643	<u>111931</u>

Prosecution History				
Entry Number	History Text	Date	Due Date	
1	FILED AND FEE	Jun 29, 2016		
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Jul 05, 2016	Aug 14, 2016	
3	PENDING, INSTITUTED	Jul 05, 2016		
4	NOTICE OF DEFAULT	Aug 24, 2016		
5	BD DECISION: SUSTAINED	Oct 12, 2016		
6	TERMINATED	Oct 12, 2016		

Type of Proceeding: Opposition

Proceeding <u>91226530</u>

Status: Terminated

Number:

Filing Date: Feb 24, 2016

Status Date: Jun 16, 2016

Interlocutory CHRISTEN M ENGLISH

Attorney:

Defendant

Name: Everson Technology Ltd Correspondent ZHANG HONGLU Address: 1461 A FIRST AVE # 360 NEW YORK NY, 10021-2209

UNITED STATES

Correspondent e- zhanghonglu@inipa.com, cherubbian@hotmail.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EVERSON	Abandoned - After Inter-Partes Decision	86655814	
	Plaintiff(s)		

Name: Emerson Electric Co. Correspondent LISA M DUROSS

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DR, STE 200

TROY MI, 48098

UNITED STATES

Correspondent e- docketingTM@hdp.com, duross@hdp.com, nsanborn@hdp.com, bmarkham@hdp.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76975788	<u>3771945</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978211</u>	3718938
EMERSON	Renewed	<u>73391805</u>	<u>1742773</u>
EMERSON	Renewed	71093643	111931

Prosecution History				
Entry Number	History Text	Date	Due Date	
1	FILED AND FEE	Feb 24, 2016		
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Feb 24, 2016	Apr 04, 2016	
3	PENDING, INSTITUTED	Feb 24, 2016		
4	UNDELIVERABLE MAIL	Mar 09, 2016		
5	TRIAL DATES RESET	Mar 11, 2016		
6	NOTICE OF DEFAULT	May 06, 2016		
7	BD DECISION: SUSTAINED	Jun 16, 2016		
8	TERMINATED	Jun 16, 2016		
9	UNDELIVERABLE MAIL	Aug 16, 2016		
10	UNDELIVERABLE MAIL	Aug 29, 2016		
Time of Proceedings Opposition				

Type of Proceeding: Opposition

Proceeding <u>91221590</u>

Number:

Filing Date: Apr 20, 2015

Status: Terminated Status Date: Aug 04, 2016

Interlocutory ANDREW P BAXLEY

Attorney:

Defendant

Name: S.C. Ion Mos S.R.L.

Correspondent MICHAEL D FISHMAN
Address: FISHMAN STEWART PLLC

39533 WOODWARD AVENUE SUITE 1450

BLOOMFIELD HILLS MI, 48304

UNITED STATES

Correspondent e- tmdocketing@fishstewip.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON TECHNIK	Abandoned - After Inter-Partes Decision	79138965	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY & PIERCE PLC 5445 CORPORATE DRIVE SUITE 200

TROY MI, 48098

UNITED STATES

 $\textbf{Correspondent e-} \quad \underline{coakley@hdp.com} \text{ , } \underline{tjcomparoni@hdp.com} \text{ , } \underline{docketingtm@hdp.com}$

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	76975791	3689743
EMERSON	Renewed	73391804	<u>1717861</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978561	<u>3744986</u>
EMERSON	Section 8 and 15 - Accepted and Acknowledged	76978211	<u>3718938</u>
EMERSON	Renewed	74801308	1795612

EMERSON	Renewed	71093643	<u>111931</u>
EMERSON	Renewed	73391800	<u>1331669</u>
EMERSON	Renewed	<u>74801310</u>	<u>1795768</u>
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	3673383
EMERSON PROFESSIONAL TOOLS	Cancelled - Section 8	76977332	3722741
EMERSON	Section 8 and 15 - Accepted and Acknowledged	<u>76978210</u>	<u>3861153</u>
EMERSON PROCESS MANAGEMENT	Registered	76294524	4064996

Prosecution History			
Entry Number	History Text	Date	Due Date
1	FILED AND FEE	Apr 20, 2015	
2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Apr 21, 2015	May 31, 2015
3	PENDING, INSTITUTED	Apr 21, 2015	
4	D MOT FOR EXT W/ CONSENT	May 30, 2015	
5	EXTENSION OF TIME GRANTED	May 30, 2015	
6	D MOT TO DISMISS: FRCP 12(B)	Jun 30, 2015	
7	AMENDED NOTICE OF OPPOSITION	Jul 21, 2015	
8	D MOT TO DISMISS: FRCP 12(B)	Aug 03, 2015	
9	P MOT TO AMEND PLEADING/AMENDED PLEADING	Aug 24, 2015	
10	D OPP/RESP TO MOTION	Aug 31, 2015	
11	SUSP PEND DISP OF OUTSTNDNG MOT	Sep 08, 2015	
12	RESPONSE DUE 30 DAYS (DUE DATE)	Sep 28, 2015	Oct 28, 2015
13	CORRECTION TO BD ORDER	Sep 29, 2015	
14	P RESPONSE TO AMENDED BOARD ORDER	Oct 26, 2015	
15	SUSP PEND DISP OF CIVIL ACTION	Dec 09, 2015	
16	W/DRAW OF APPLICATION W/O CONSENT	Jul 15, 2016	
17	BD DECISION: SUSTAINED	Aug 04, 2016	
18	TERMINATED	Aug 04, 2016	

Type of Proceeding: Opposition

Proceeding <u>91196756</u>

Number:

Filing Date: Oct 04, 2010

Status Date: Apr 03, 2014

Interlocutory ELIZABETH WINTER

Status: Terminated

Attorney:

Defendant

Name: Emerson Ecologics, LLC

Correspondent MICHELLE L VISSER
Address: RADER FISHMAN & GRAUER PLLC

39533 WOODWARD AVENUE SUITE 140 BLOOMFIELD HILLS MI, 48304-5098

UNITED STATES

Correspondent e- tmdocketing@raderfishman.com

mail:

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON ELINK	Abandoned - After Inter-Partes Decision	77801060	
	Plaintiff(s)		

Name: Emerson Electric Co.

Correspondent LISABETH H COAKLEY

Address: HARNESS DICKEY PIERCE PLC 5445 CORPORATE DRIVE, SUITE 200

TROY MI , 48098 UNITED STATES

Correspondent e- coakley@hdp.com

mail:

Associated marks

Mark

Application Status

Serial Registration

		Number	Number
EMERSON	Renewed	71093643	111931
EMERSON	Partial Section 8 and 15 Accepted and Acknowledged	<u>76146750</u>	3757850
EMERSON NETWORK POWER	Renewed	76052685	2798182
EMERSON PROCESS MANAGEMENT	Section 8 and 15 - Accepted and Acknowledged	76978420	3673383
	Prosecution History		
Entry Number History Text	Date		Due Date

Prosecution History Test	EMERSON PROCESS	S MANAGEMENT Section 8 and 15 - Accepted and Acknowledge	ed <u>7697842</u>	<u>3673383</u>	
FILED AND FEE	Prosecution History				
2 NOTICE AND TRIAL DATES SENT: ANSWER DUE: Oct 04, 2010 Nov 13, 2010 3 PENDING, INSTITUTED Oct 04, 2010 Nov 02, 2010 5 SUSPENDED Nov 02, 2010 Nov 02, 2010 6 STIPLATION FOR AN EXTENSION OF TIME Jan 10, 2011 Nov 02, 2010 7 EXTENSION OF TIME GRANTED Mar 10, 2011 Mar 10, 2011 8 STIPLATION FOR AN EXTENSION OF TIME Mar 10, 2011 10 STIPLATION FOR AN EXTENSION OF TIME May 09, 2011 11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPLATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPLATION FOR AN EXTENSION OF TIME Sp0 88, 2011 15 EXTENSION OF TIME GRANTED Sp0 88, 2011 16 STIPLATION FOR AN EXTENSION OF TIME Jan 09, 2012 17 EXTENSION OF TIME GRANTED May 02, 2012 20 STIPLATION FOR AN EXTENSION OF TIME Jan 09, 2012 21 EXTENSION OF TIME GRANTED Jul 04, 2012 22 STIP	Entry Number	History Text	Date	Due Date	
4 PRIDING, INSTITUTED Oct 4, 2010 5 SUSPENDED Nov 02, 2010 6 STIPULATION FOR AN EXTENSION OF TIME Jan 10, 2011 7 EXTENSION OF TIME GRANTED Jan 10, 2011 8 STIPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 9 EXTENSION OF TIME GRANTED May 09, 2011 11 EXTENSION OF TIME GRANTED May 98, 2011 12 STIPULATION FOR AN EXTENSION OF TIME May 98, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sp. 08, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sp. 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 STIPULATION FOR AN EXTENSION	1	FILED AND FEE	Oct 04, 2010		
4 STIP TO SUSPENDE PEND SETTLEMENT NEGOTNS Nov 02, 2010 5 SUSPENDED Nov 02, 2010 6 STIPULATION FOR AN EXTENSION OF TIME Jan 10, 2011 7 EXTENSION OF TIME GRANTED Mar 10, 2011 8 STIPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 10 STIPULATION FOR AN EXTENSION OF TIME May 08, 2011 11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Mov 08, 2011 19 EXTENSION OF TIME GRANTED Mov 08, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mov 02, 2012 21 EXTENSION OF TIME GRANTED Mov 02, 2012 22 STEPLILATION FOR	2	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	Oct 04, 2010	Nov 13, 2010	
51 SUSPENDED Nov 02, 2010 6 STIPULATION FOR AN EXTENSION OF TIME Jan 10, 2011 7 EXTENSION OF TIME GRANTED Jan 10, 2011 8 STIPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 10 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sp. 06, 2011 15 EXTENSION OF TIME GRANTED Sp. 08, 2011 16 STEPLULATION FOR AN EXTENSION OF TIME No. 08, 2011 17 EXTENSION OF TIME GRANTED No. 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Sp. 09, 30, 2012 24 EXTENSION OF T	3	PENDING, INSTITUTED	Oct 04, 2010		
66 STPULATION FOR AN EXTENSION OF TIME Jan 10, 2011 7 EXTENSION OF TIME GRANTED Jan 10, 2011 8 STPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 9 EXTENSION OF TIME GRANTED Mar 10, 2011 10 STPULATION FOR AN EXTENSION OF TIME May 98, 2011 11 EXTENSION OF TIME GRANTED Jul 05, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sp. 08, 2011 15 EXTENSION OF TIME GRANTED Sp. 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Sp. 08, 2011 17 EXTENSION OF TIME GRANTED Nov. 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 21 EXTENSION OF TIME GRANTED Jan 09, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 23 EXTENSION OF TIME GRANTED Jan 29, 2012 24 STIPU	4	STIP TO SUSPEND PEND SETTLEMENT NEGOTNS	Nov 02, 2010		
77 EXTENSION OF TIME GRANTED Jan 10, 2011 8 STIPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 9 EXTENSION OF TIME GRANTED Mar 10, 2011 10 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 11 EXTENSION OF TIME GRANTED Jul 05, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EX	5	SUSPENDED	Nov 02, 2010		
8 STIPULATION FOR AN EXTENSION OF TIME Mar 10, 2011 9 EXTENSION OF TIME GRANTED Mar 10, 2011 10 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Nov 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jul 04, 2012 23 EXTENSION OF TIME GRANTED Jul 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Dec 03, 2012 26 EX	6	STIPULATION FOR AN EXTENSION OF TIME	Jan 10, 2011		
9 EXTENSION OF TIME GRANTED Mar 10, 2011 10 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 12 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Dec 03, 2012 26 EXTENSION OF TIME GRANTED Dec 03, 2012 27 EXTENSION OF TIME GRANTED Jul 23, 2013 30 EXTENSION OF	7	EXTENSION OF TIME GRANTED	Jan 10, 2011		
10 STIPULATION FOR AN EXTENSION OF TIME May 09, 2011 11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Nov 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Jun 04, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Sep 03, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 EXTENSION OF TIME GRANTED Dec 03, 2012 27 EXTENSION O	8	STIPULATION FOR AN EXTENSION OF TIME	Mar 10, 2011		
11 EXTENSION OF TIME GRANTED May 09, 2011 12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 EXTENSION OF TIME GRANTED Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 29 EXTENSION O	9	EXTENSION OF TIME GRANTED	Mar 10, 2011		
12 STIPULATION FOR AN EXTENSION OF TIME Jul 05, 2011 13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Jun 04, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Dec 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 27 EXTENSION OF TIME GRANTED Jun 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTE	10	STIPULATION FOR AN EXTENSION OF TIME	May 09, 2011		
13 EXTENSION OF TIME GRANTED Jul 05, 2011 14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jun 29, 2013 30 STIP FOR EXT Mar 29, 2013 29 EXTENSION OF TIME GRANTE	11	EXTENSION OF TIME GRANTED	May 09, 2011		
14 STIPULATION FOR AN EXTENSION OF TIME Sep 08, 2011 15 EXTENSION OF TIME GRANTED Sep 08, 2011 16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 EXTENSION OF TIME GRANTED Dec 03, 2012 27 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP LATION FOR AN EXTENSION OF TIME Jan 29, 2013 31 EXTENSION OF TIME GRANTED Jan 29, 2013 32 DIMOT FOR EXT W. CONSENT May 29, 2013 33 EXTENSION OF TIME GRANT	12	STIPULATION FOR AN EXTENSION OF TIME	Jul 05, 2011		
15	13	EXTENSION OF TIME GRANTED	Jul 05, 2011		
16 STIPULATION FOR AN EXTENSION OF TIME Nov 08, 2011 17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W. CONSENT Mar 29, 2013 33 EXTENSION OF TIME GRANTED Jul 25, 2013 34 D MOT FOR EXT W. CONSENT	14	STIPULATION FOR AN EXTENSION OF TIME	Sep 08, 2011		
17 EXTENSION OF TIME GRANTED Nov 08, 2011 18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W CONSENT Sep 27, 2013 36 D MOT FOR EXT W CONSENT Sep 27, 2	15	EXTENSION OF TIME GRANTED	Sep 08, 2011		
18 STIPULATION FOR AN EXTENSION OF TIME Jan 09, 2012 19 EXTENSION OF TIME GRANTED Jan 09, 2012 20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W CONSENT Jul 03, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W CONSENT Jul 25, 2013 36 D MOT FOR EXT W CONSENT Sep 27, 2	16	STIPULATION FOR AN EXTENSION OF TIME	Nov 08, 2011		
19	17	EXTENSION OF TIME GRANTED	Nov 08, 2011		
20 STIPULATION FOR AN EXTENSION OF TIME Mar 02, 2012 21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 25, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Nov 26, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 <td>18</td> <td>STIPULATION FOR AN EXTENSION OF TIME</td> <td>Jan 09, 2012</td> <td></td>	18	STIPULATION FOR AN EXTENSION OF TIME	Jan 09, 2012		
21 EXTENSION OF TIME GRANTED Mar 02, 2012 22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 30 STIP FOR EXT Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W. CONSENT May 30, 2013 34 D MOT FOR EXT W. CONSENT Jul 03, 2013 34 D MOT FOR EXT W. CONSENT Jul 25, 2013 36 D MOT FOR EXT W. CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W. CONSENT Nov 26, 2013 40 D MOT FOR EXT W. CONSENT Nov 26, 2013 40 D MOT FOR EXT W. CONSENT Mar 29, 2014	19	EXTENSION OF TIME GRANTED	Jan 09, 2012		
22 STIPULATION FOR AN EXTENSION OF TIME Jun 04, 2012 23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014	20	STIPULATION FOR AN EXTENSION OF TIME	Mar 02, 2012		
23 EXTENSION OF TIME GRANTED Jun 04, 2012 24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W CONSENT Jul 25, 2013 36 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W CONSENT Mar 25, 2014 4	21	EXTENSION OF TIME GRANTED	Mar 02, 2012		
24 STIPULATION FOR AN EXTENSION OF TIME Sep 03, 2012 25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 35, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014	22	STIPULATION FOR AN EXTENSION OF TIME	Jun 04, 2012		
25 EXTENSION OF TIME GRANTED Sep 03, 2012 26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44	23	EXTENSION OF TIME GRANTED	Jun 04, 2012		
26 STIPULATION FOR AN EXTENSION OF TIME Dec 03, 2012 27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45	24	STIPULATION FOR AN EXTENSION OF TIME	Sep 03, 2012		
27 EXTENSION OF TIME GRANTED Dec 03, 2012 28 STIPULATION FOR AN EXTENSION OF TIME Jan 29, 2013 29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 34 D MOT FOR EXT W/ CONSENT Jul 03, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	25	EXTENSION OF TIME GRANTED	Sep 03, 2012		
28 STIPULATION FOR AN EXTENSION OF TIME 29 EXTENSION OF TIME GRANTED 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED May 30, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Oct 18, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT FINE GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Feb 11, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION APT 03, 2014	26	STIPULATION FOR AN EXTENSION OF TIME	Dec 03, 2012		
29 EXTENSION OF TIME GRANTED Jan 29, 2013 30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	27	EXTENSION OF TIME GRANTED	Dec 03, 2012		
30 STIP FOR EXT Mar 29, 2013 31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	28	STIPULATION FOR AN EXTENSION OF TIME	Jan 29, 2013		
31 EXTENSION OF TIME GRANTED Mar 29, 2013 32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	29	EXTENSION OF TIME GRANTED	Jan 29, 2013		
32 D MOT FOR EXT W/ CONSENT May 30, 2013 33 EXTENSION OF TIME GRANTED Jul 03, 2013 34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	30	STIP FOR EXT	Mar 29, 2013		
STENSION OF TIME GRANTED Jul 03, 2013	31	EXTENSION OF TIME GRANTED	Mar 29, 2013		
34 D MOT FOR EXT W/ CONSENT Jul 25, 2013 35 TRIAL DATES RESET Aug 20, 2013 36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	32	D MOT FOR EXT W/ CONSENT	May 30, 2013		
TRIAL DATES RESET Aug 20, 2013 D MOT FOR EXT W/ CONSENT Sep 27, 2013 TEXTENSION OF TIME GRANTED Oct 18, 2013 Mov 26, 2013 EXTENSION OF TIME GRANTED Dec 20, 2013 EXTENSION OF TIME GRANTED Dec 20, 2013 D MOT FOR EXT W/ CONSENT Jan 26, 2014 EXTENSION OF TIME GRANTED Feb 11, 2014 EXTENSION OF TIME GRANTED D MOT FOR EXT W/ CONSENT ATRIAL DATES REMAIN AS SET Mar 31, 2014 W/DRAW OF APPLICATION Apr 03, 2014 BD DECISION: DISMISSED W/O PREJ AVIGORATION Apr 03, 2014	33	EXTENSION OF TIME GRANTED	Jul 03, 2013		
36 D MOT FOR EXT W/ CONSENT Sep 27, 2013 37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	34	D MOT FOR EXT W/ CONSENT			
37 EXTENSION OF TIME GRANTED Oct 18, 2013 38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	35	TRIAL DATES RESET	Aug 20, 2013		
38 D MOT FOR EXT W/ CONSENT Nov 26, 2013 39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	36	D MOT FOR EXT W/ CONSENT	Sep 27, 2013		
39 EXTENSION OF TIME GRANTED Dec 20, 2013 40 D MOT FOR EXT W/ CONSENT Jan 26, 2014 41 EXTENSION OF TIME GRANTED Feb 11, 2014 42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	37	EXTENSION OF TIME GRANTED	Oct 18, 2013		
40 D MOT FOR EXT W/ CONSENT 41 EXTENSION OF TIME GRANTED 42 D MOT FOR EXT W/ CONSENT 43 TRIAL DATES REMAIN AS SET 44 W/DRAW OF APPLICATION 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	38	D MOT FOR EXT W/ CONSENT	Nov 26, 2013		
41 EXTENSION OF TIME GRANTED 42 D MOT FOR EXT W/ CONSENT 43 TRIAL DATES REMAIN AS SET 44 W/DRAW OF APPLICATION 45 BD DECISION: DISMISSED W/O PREJ 46 Apr 03, 2014	39	EXTENSION OF TIME GRANTED	Dec 20, 2013		
42 D MOT FOR EXT W/ CONSENT Mar 25, 2014 43 TRIAL DATES REMAIN AS SET Mar 31, 2014 44 W/DRAW OF APPLICATION Apr 03, 2014 45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	40	D MOT FOR EXT W/ CONSENT	Jan 26, 2014		
TRIAL DATES REMAIN AS SET Mar 31, 2014 W/DRAW OF APPLICATION Apr 03, 2014 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	41	EXTENSION OF TIME GRANTED	Feb 11, 2014		
W/DRAW OF APPLICATION Apr 03, 2014 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	42	D MOT FOR EXT W/ CONSENT	Mar 25, 2014		
45 BD DECISION: DISMISSED W/O PREJ Apr 03, 2014	43	TRIAL DATES REMAIN AS SET	Mar 31, 2014		
	44	W/DRAW OF APPLICATION	Apr 03, 2014		
46 TERMINATED Apr 03, 2014	45	BD DECISION: DISMISSED W/O PREJ	Apr 03, 2014		
Αμι 05, 2014	46	TERMINATED	Apr 03, 2014		

Filing Date: Feb 13, 1984

Number:

Status: Terminated Status Date: Sep 28, 1984

Interlocutory PAULA T HAIRSTON

Attorney:

Defendant

Name: W. R. GRACE & CO.

Correspondent CAROLE F. BARRETT
Address: 62 WHITTEMORE AVENUE

CAMBRIDGE MA , 02140 UNITED STATES

Associated marks

Mark Application Status Serial Number Registration Number

EMERSON & CUMING Cancelled - Section 8 73312486 1311723

Plaintiff(s)

Name: EMERSON ELECTRIC CO.

Correspondent PHILIP B. POLSTER

Address: C/O POLSTER, POLSTER AND LUCCHESI

763 SOUTH NEW BALLAS ROAD

ST. LOUIS MO , 63141 UNITED STATES

Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	71093643	<u>111931</u>
	Prosecution	History		
Entry Number	History Text		Date	Due Date
1	FILED AND FEE		Feb 13, 1984	
2	NOTICE SENT; ANSWER DUE (DUE DATE)		Mar 29, 1984	May 08, 1984
3	PENDING, INSTITUTED		Mar 29, 1984	
ļ.	2(D) CONFUSION/MISTAKE/DECEPTION W MARK		Apr 10, 1984	
5	ANSWER		Apr 12, 1984	
3	TRIAL DATES SET		May 17, 1984	
7	ABANDONMENT CLASS 9 ONLY		Aug 15, 1984	
3	BOARD'S DECISION: DISMISSED		Sep 12, 1984	
9	TERMINATED		Sep 28, 1984	

Type of Proceeding: Opposition

Proceeding <u>91067730</u>

Status: Terminated

Number:

Filing Date: May 13, 1983

Status Date: Aug 14, 1984

Interlocutory Attorney:

Defendant

Name: NATIONAL UNION ELECTRIC CORPORATION

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON QUIET HEAT	Abandoned - After Inter-Partes Decision	73356656	
	Plaintiff(s)		

Associated marks				
Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	71093643	111931
	Prosecution His	story		
Entry Number	History Text		Date	Due Date
1	FILED		May 13, 1983	

7	TERMINATED	Aug 14, 1984
6	JUDGMENT ENTERED AGAINST DEFENDANT; OPPOSITION SUSTAINED	May 11, 1984
0	ILIDOMENT ENTEDED ACAINST DEFENDANT, ODDOSITION SUSTAINED	May 44, 4004
5	PL'S RESPONSE RE CIVIL ACTION	Mar 16, 1984
4	SUSPENDED PENDING DISP OF CIVIL ACTION	Oct 07, 1983
3	PLAINTIFF'S REQUEST TO SUSPEND PENDING CIVIL ACTION	Aug 18, 1983
2	PENDING,INSTITUTED	Aug 08, 1983

Type of Proceeding: Opposition

Proceeding 91067630

Number:

Filing Date: May 02, 1983

Status: Terminated Status Date: Mar 11, 1985

Interlocutory PAULA T HAIRSTON

Attorney:

Defendant

Name: NATIONAL UNION ELECTRIC CORPORATION

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	73366155	1333378
	Plaintiff(s)		

Name: EMERSON ELECTRIC CO.

Associated marks				
Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	71093643	111931
	Prosecution His	story		
Entry Number	History Text		Date	Due Date
1	EII ED		May 02 1092	

1 Toological Tristory				
Entry Number	History Text	Date	Due Date	
1	FILED	May 02, 1983		
2	PENDING, INSTITUTED	Jul 28, 1983		
3	NOTICE SENT; ANSWER DUE (DUE DATE)	Jul 28, 1983	Sep 06, 1983	
4	ANSWER	Sep 06, 1983		
5	TRIAL DATES SET	Sep 21, 1983		
6	RESPONSE DUE BY OPPOSER 09-26-84	Sep 12, 1984		
7	BD'S DECISION: DISMISSED W/ PREJUDICE	Dec 24, 1984		
8	TERMINATED	Mar 11, 1985		

Type of Proceeding: Opposition

Proceeding <u>91063872</u>

Status: Terminated

Number:

Filing Date: Oct 06, 1980

Status Date: Jan 18, 1982

Interlocutory Attorney:

Defendant

Name: EMERY INDUSTRIES, INC.

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERZONE	Cancelled - Section 8	73171402	1193526
	Plaintiff(s)		

Associated marks					
Mark			Application Status	Serial Number	Registration Number
EMERSON		Re	enewed	71093643	111931
		Prosecution History	y		
Entry Number	History Text			Date	Due Date
1	FILED			Oct 06, 1980	

2	PENDING, INSTITUTED	Nov 24, 1980
3	ANSWER	Dec 19, 1980
4	BD'S DECISION: DISMISSED W/O PREJUDICE	Dec 16, 1981
5	TERMINATED	Jan 18, 1982

Type of Proceeding: Opposition

Proceeding <u>91060981</u>

Number:

Filing Date: May 01, 1978

Status: Terminated

Status Date: Dec 05, 1978

Interlocutory Attorney:

Defendant

Name: NATIONAL UNION ELECTRIC CORPORATION

Associated marks			
Mark	Application Status	Serial Number	Registration Number
E	Expired	<u>73122036</u>	1113995
	Plaintiff(s)		

Name: EMERSON ELECTRIC CO.

Associated marks					
Mark		Application Status	Serial Number	Registration Number	
EMERSON		Renewed	71093643	<u>111931</u>	
Prosecution History					
Entry Number	History Text		Date	Due Date	
1	FILED		May 01, 1978		
2	PENDING, INSTITUTED		Jun 19, 1978		
3	ANSWER		Jul 24, 1978		
4	BD'S DECISION: DISMISSED W/O PREJUDICE		Oct 02, 1978		
5	TERMINATED		Dec 05, 1978		
Type of Proceeding: Opposition					

Type of Proceeding: Opposition

Proceeding <u>91059317</u>

Status: Terminated

Number:

Filing Date: Feb 22, 1977

Status Date: Dec 01, 1978

Interlocutory Attorney:

Defendant

Name: NATIONAL UNION ELECTRIC CORPORATION

Associated marks			
Mark	Application Status	Serial Number	Registration Number
EMERSON	Cancelled - Section 8	73092482	1111724
	Plaintiff(s)		

Mark		Application Status	Serial Number	Registration Number	
EMERSON		Renewed	71093643	111931	
Prosecution History					
Entry Number	History Text		Date	Due Date	
1	FILED		Feb 22, 1977		
2	PENDING, INSTITUTED		Mar 28, 1977		
3	ANSWER		Jul 31, 1978		
1	BOARD'S DECISION: DISMISSED		Sep 14, 1978		
5	TERMINATED		Dec 01, 1978		

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 65 of 162 PageID #: 94

 $\textbf{Proceeding} \ \ \underline{91058372}$

Filing Date: Jun 07, 1976

Number:

Status: Terminated

Status Date: Aug 15, 1977

Interlocutory Attorney:

Defendant

Name: NATIONAL UNION ELECTRIC CORPORATION

Associated marks

Mark Application Status Serial Registration Number Number

Abandoned - After Inter-Partes Decision 73061465

Plaintiff(s)

Associated marks					
Mark		Application Status	Serial Number	Registration Number	
EMERSON		Renewed	71093643	<u>111931</u>	
Prosecution History					
Entry Number	History Text		Date	Due Date	
1	FILED		Jun 07, 1976		
2	PENDING, INSTITUTED		Jul 19, 1976		
3	BD'S DECISION: DISMISSED W/O PREJUDICE		Aug 04, 1977		
4	TERMINATED		Aug 15, 1977		

Int. Cl.: 11

Prior U.S. Cls.: 34 and 50

United States Patent and Trademark Office Reg. No. 1,815,785
Registered Jan. 11, 1994

TRADEMARK PRINCIPAL REGISTER



EMERSON ELECTRIC CO. (MISSOURI CORPORATION)
P.O. BOX 4100
8000 WEST FLORISSANT AVENUE
ST. LOUIS, MO 63136

FOR: FANS; NAMELY, PORTABLE ELECTRIC FANS, WALL-MOUNTED FANS, EXHAUST FANS, CEILING FANS, WHOLE HOUSE FANS, AND FAN MOTORS THERE-

FOR; AND HUMIDIFIERS, IN CLASS 11 (U.S. CLS. 34 AND 50).

FIRST USE 1-1-1918; IN COMMERCE 1-1-1918.

OWNER OF U.S. REG. NOS. 111,931 AND 932,239.

SEC. 2(F).

SER. NO. 74-066,817, FILED 6-7-1990.

STEVEN R. FINE, EXAMINING ATTORNEY

Generated on: This page was generated by TSDR on 2017-08-24 16:24:16 EDT

Mark: EMERSON



US Serial Number: 74066817 Application Filing Jun. 07, 1990

Date:

US Registration 1815785 Registration Date: Jan. 11, 1994

Number:

Register: Principal

Mark Type: Trademark

TM5 Common Status
Descriptor:



LIVE/REGISTRATION/Issued and Active

The trademark application has been registered with the Office.

Status: The registration has been renewed.

Status Date: Jan. 10, 2014

Publication Date: Jun. 29, 1993

Mark Information

Mark Literal EMERSON

Elements:

Standard Character No

Claim:

Mark Drawing 3 - AN ILLUSTRATION DRAWING WHICH INCLUDES WORD(S)/ LETTER(S)/NUMBER(S)

Type:

Acquired In whole

Distinctiveness Claim:

Design Search 26.11.21 - Rectangles that are completely or partially shaded

Code(s): 26.11.25 - Rectangles with one or more curved sides

Related Properties Information

Claimed Ownership 0111931, 0932239

of US Registrations:

Goods and Services

Note: The following symbols indicate that the registrant/owner has amended the goods/services:

• Brackets [..] indicate deleted goods/services;

• Double parenthesis ((..)) identify any goods/services not claimed in a Section 15 affidavit of incontestability; and

Asterisks *..* identify additional (new) wording in the goods/services.

For: fans; namely, [portable electric fans, wall-mounted fans, exhaust fans,] ceiling fans [, whole house fans, and fan motors therefor; and

humidifiers]

International 011 - Primary Class U.S Class(es): 013, 021, 023, 031, 034

Class(es):

Class Status: ACTIVE

Basis: 1(a)

First Use: Jan. 01, 1918 Use in Commerce: Jan. 01, 1918

Basis Information (Case Level)

Filed Use: Yes Currently Use: Yes Amended Use: No

 Filed ITU:
 No
 Currently ITU:
 No
 Amended ITU:
 No

 Filed 44D:
 No
 Currently 44D:
 No
 Amended 44D:
 No

 Filed 44E:
 No
 Currently 44E:
 No
 Amended 44E:
 No

Filed 66A: No Currently 66A: No Filed No Basis: No Currently No Basis: No

Current Owner(s) Information

Owner Name: EMERSON ELECTRIC CO.

Owner Address: P.O. Box 4100

8000 West Florissant Avenue

St. Louis, MISSOURI UNITED STATES 63136

Legal Entity Type: CORPORATION State or Country MISSOURI

Where Organized:

Attorney/Correspondence Information

Attorney of Record

Attorney Name: Michael P. Brennan Docket Number: 3165-200167-

Attorney Primary docketingtm@hdp.com Email Address: Attorney Email Yes Authorized:

Correspondent

Correspondent Michael P. Brennan

Name/Address: Harness, Dickey & Pierce, P.L.C.

5445 Corporate Drive

Suite 200

TROY, MICHIGAN UNITED STATES 48098

Phone: 1-248-641-1600 Fax: 1-248-641-0270

Correspondent e- docketingtm@hdp.com Correspondent e- Yes mail: mail Authorized:

Domestic Representative - Not Found

Prosecution History

Date	Description	Proceeding Number
Jan. 10, 2014	NOTICE OF ACCEPTANCE OF SEC. 8 & 9 - E-MAILED	
Jan. 10, 2014	REGISTERED AND RENEWED (SECOND RENEWAL - 10 YRS)	64591
Jan. 10, 2014	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	64591
Dec. 30, 2013	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	64591
Jan. 10, 2014	CASE ASSIGNED TO POST REGISTRATION PARALEGAL	64591
Dec. 30, 2013	TEAS SECTION 8 & 9 RECEIVED	
Sep. 06, 2007	CASE FILE IN TICRS	
Dec. 16, 2003	REGISTERED AND RENEWED (FIRST RENEWAL - 10 YRS)	
Dec. 16, 2003	REGISTERED - SEC. 8 (10-YR) ACCEPTED/SEC. 9 GRANTED	
Sep. 22, 2003	REGISTERED - COMBINED SECTION 8 (10-YR) & SEC. 9 FILED	
Sep. 22, 2003	TEAS SECTION 8 & 9 RECEIVED	
Dec. 05, 2002	TEAS CHANGE OF CORRESPONDENCE RECEIVED	
Sep. 24, 1999	REGISTERED - SEC. 8 (6-YR) ACCEPTED & SEC. 15 ACK.	
May 21, 1999	REGISTERED - SEC. 8 (6-YR) & SEC. 15 FILED	
Jan. 11, 1994	REGISTERED-PRINCIPAL REGISTER	
Jun. 29, 1993	PUBLISHED FOR OPPOSITION	
May 28, 1993	NOTICE OF PUBLICATION	
Mar. 23, 1993	APPROVED FOR PUB - PRINCIPAL REGISTER	
Mar. 20, 1993	JURISDICTION RESTORED TO EXAMINING ATTORNEY	
Mar. 19, 1993	EX PARTE APPEAL-REFUSAL REVERSED	66817
Oct. 05, 1992	EXAMINERS STATEMENT MAILED	
Jun. 23, 1992	EX PARTE APPEAL-INSTITUTED	66817

Nov. 01, 1991	FINAL REFUSAL MAILED	
Sep. 19, 1991	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Aug. 06, 1991	FINAL REFUSAL MAILED	
Apr. 26, 1991	CORRESPONDENCE RECEIVED IN LAW OFFICE	
Oct. 23, 1990	NON-FINAL ACTION MAILED	
Oct. 12, 1990	ASSIGNED TO EXAMINER	63031

Maintenance Filings or Post Registration Information

Affidavit of Section 8 - Accepted

Continued Use:

Affidavit of Section 15 - Accepted

Incontestability:

Renewal Date: Jan. 11, 2014

TM Staff and Location Information

TM Staff Information - None

File Location

Current Location: GENERIC WEB UPDATE Date in Location: Jan. 10, 2014

Proceedings

Summary

Number of 1 Proceedings:

Type of Proceeding: Exparte Appeal

Proceeding <u>74066817</u>

Number:

Status: Terminated Status Date: Feb 18, 1994

Interlocutory Attorney:

Plaintiff(s)

Filing Date:

Name: EMERSON ELECTRIC CO.

Correspondent PHILIP B. POLSTER

Address: 763 SOUTH NEW BALLAS ROAD

ST. LOUIS MO UNITED STATES, 63141

Associated marks				
Mark		Application Status	Serial Number	Registration Number
EMERSON		Renewed	74066817	<u>1815785</u>
	Prosecution	History		
Entry Number	History Text		Date	Due Date
1	APPEAL TO BOARD		May 01, 1992	
2	APPEAL ACKNOWLEDGED; BRIEF DUE		Jun 23, 1992	Jul 01, 1992
3	PENDING, INSTITUTED		Jun 23, 1992	
4	APPEAL BRIEF		Jun 29, 1992	
5	TO EXAMINER FOR STATEMENT		Jul 22, 1992	Sep 21, 1992
6	EXAMINING ATTORNEY'S BRIEF		Oct 05, 1992	
7	EXAMINING ATTORNEY'S BRIEF ACCEPTED		Oct 27, 1992	
8	REPLY BRIEF		Nov 02, 1992	
9	REQ. FOR HEARING		Nov 27, 1992	
10	ORAL HEARING SET FOR FEB 23, 93		Feb 04, 1993	
11	PENDING (AWAITING DECISION)		Feb 23, 1993	
12	BOARD'S DECISION: REVERSED		Mar 19, 1993	

EXHIBIT B



About Emerson

Emerson (NYSE: EMR), based in St. Louis, Missouri (USA), is a global leader in bringing technology and engineering together to provide innovative solutions for customers in industrial, commercial and consumer markets around the world. The company is comprised of two businesses: Automation Solutions and Commercial & Residential Solutions.

For more information, visit www.Emerson.com

KEY FACTS

1890

HEADQUARTERED IN St. Louis, Missouri, USA

\$14.5 billion in sales (fiscal 2016)

AROUND THE WORLD

CONSECUTIVE YEARS of increased annual dividends to shareholders

155
manufacturing locations

74,000 approximate number of employees



EMR's dividend has increased at a compound annual rate of 10.4 percent since 1956

No.128

on 2016 FORTUNE 500 list of America's largest corporations NAMED TO the Thomson Reuters 2016 Top Global Innovators List for the **sixth** consecutive year

TRADED ON the New York Stock Exchange since 1938 – symbol EMR

Exhibit B

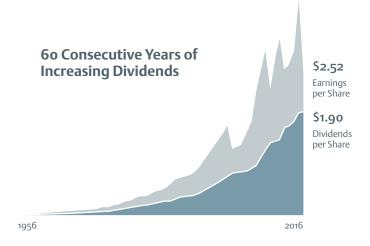


Investment Thesis

Emerson is a well-managed, financially strong and highly focused enterprise with a history of delivering total shareholder return and **60 consecutive years of increasing dividends.** The company's technologies, systems and services hold leading positions in their served markets and support a broad range of customers that include some of the world's most recognizable brands. Through the company's core business platforms, Automation Solutions and Commercial & Residential Solutions, Emerson's employees are focusing their efforts on the most complex and critical challenges facing the world in the process, industrial, commercial and residential markets.

Emerson Automation Solutions, with a strong leadership position in critical industries such as Oil & Gas, Chemical, Refining, Power and Life Sciences, is actively helping companies achieve top quartile performance in uncertain times and rapidly changing market conditions. Emerson Commercial & Residential Solutions provides industrial, commercial, and home products, as well as services, that leverage technology and industry expertise to have a positive impact on home comfort, food quality and sustainability, and energy efficiency.

With approximately **\$230** billion of combined served market **opportunity**, these businesses position Emerson to increase its market participation and drive end-market growth for both the near-and long-term.





Core Business Platforms

Emerson's two core business platforms focus on the most complex and important challenges facing the world in the process, industrial, commercial and residential markets.

EMERSON AUTOMATION SOLUTIONS

Emerson Automation Solutions helps process, hybrid and discrete manufacturers achieve Top Quartile performance by maximizing production and optimizing energy and operating costs while also protecting personnel and the environment.

Industries served include



OIL AND GAS / REFINING



CHEMICAL /



PHARMACEUTICALS



FOOD AND BEVERAGE



METALS AND MINING



WASTE AND WASTEWATER



LIFE SCIENCES

Core Expertise

- Industrial Internet of Things (IIoT)
- Systems and asset management
- Measurement and analytical instrumentation
- Control valves, actuators and regulators
- Industry services and solutions

EMERSON COMMERCIAL & RESIDENTIAL SOLUTIONS

Emerson Commercial & Residential Solutions helps ensure human comfort and health, protect food quality and safety, advance energy efficiency and create sustainable infrastructure.

Industries served include



CONSTRUCTION



COMMERCIAL BUILDINGS



FOOD RETAIL



FOOD SERVICE



TRANSPORTATION



CONTRACTOR



HOMEOWNERS

Core Expertise

- Heating & Air Conditioning
- Thermostats
- Refrigeration

- Monitoring Systems and Facility Controls
- Food Waste Disposals
- Home Repair and Maintenance
- Construction and Plumbing Tools



Emerson Global Presence 2016

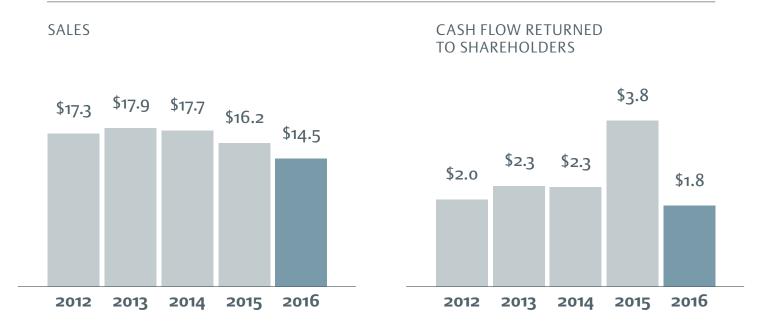
Emerson, headquartered in St. Louis, Missouri, has a reach that spans the globe. Among Emerson's many offices and 155 manufacturing sites are facilities dedicated to research and innovation, including The Helix Innovation Center at the University of Dayton in Dayton, Ohio, the Integrated Operations (iOps) Center in Round Rock, Texas and the Emerson Innovation Center – Pune in Pune, India.

Sales \$14.5 billion Locations 155 Global Employees 74,000 **Europe and Russia** Sales \$2.3 billion **United States and Canada** Employees 14,000 Locations 33 Sales \$7.5 billion Employees 22,000 Locations 59 Asia Sales \$2.9 billion Latin America Middle East and Africa Employees 24,000 Sales \$834 million Locations 39 Sales \$957 million Employees 13,000 Employees 1,000 Locations 19 Locations 5



Financial Performance

Dollars in billions except per share amounts





\$3.6 \$3.7 \$3.1 \$2.9 \$2.9 \$2.4 \$1.8 \$2.4 \$1.8

DILUTED EARNINGS PER SHARE Earnings from continuing operations



- a Non-GAAP: Free Cash Flow equals Operating Cash Flow in the above table less Capital Expenditures on page 6.
- b 2013 and 2012 include goodwill impairment charges of \$0.68 per share and \$0.58 per share, respectively. 2013 also includes income tax charges of \$0.10 per share.
- c 2015 includes gains from divestitures of businesses of \$0.90 per share.

Capital Deployment

Dollars in millions



MERGERS & ACQUISITIONS HIGHLIGHTS

ACQUISITIONS

Pentair Valves & Controls

• Global leader in providing valve solutions with large global installed base in chemical, petrochemical, oil and gas, power, mining and other process industries (expected to close by March 31, 2017).

PakSense and Locus Traxx

• Complement to Emerson's leadership in the global container shipping and food retail industries focused on cargo-based solutions.

Permasense Limited

• Leading provider of non-intrusive corrosion monitoring technologies for the offshore and onshore oil production, refining, chemical, power, pipelines, metals and mining and other industries.

FMC Technologies Blending

& Transfer Systems

• Leading provider of systems for lubricant, grease, fuel and chemical blending applications within the refining and chemical industries.

DIVESTITURES

Network Power

- \$4 billion divestiture
- Emerson sold the Network Power business to Platinum Equity and it was rebranded as Vertiv in December 2016.

Leroy-Somer and Control Techniques

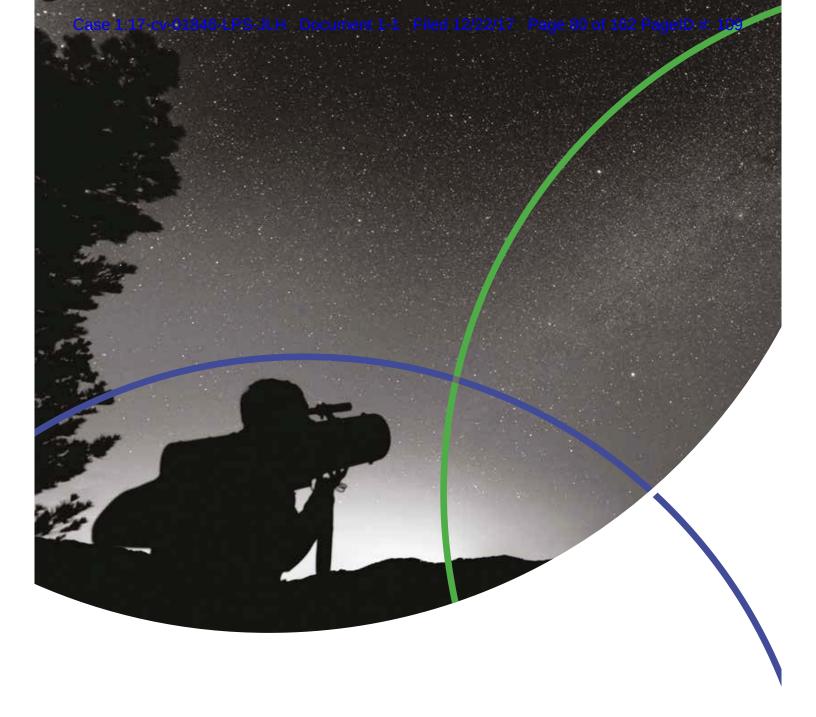
- \$1.2 billion divestiture
- Emerson sold its Leroy-Somer and Control Techniques businesses to Nidec Corporation in January 2017.

EXHIBIT C

Case 1:17-cv-01846-LPS-JLH Document 1-1 Filed 12/22/17 Page 78 of 162 PageID #: 107

Fortune 500 Companies 20 x	
← → C ↑ ① fortune.com/fortune500/list/	☆ :
■ FORTUNE 500	⋵ □ ः:
135 Abbott Laboratories	\$20,853
136 Dollar Tree	\$20,719
137 Whirlpool	\$20,718
138 Southwest Airlines	\$20,425
139 Emerson Electric	\$20,268
140 Staples	\$20,217
141 Plains GP Holdings	\$20,182
Penske Automotive Group	\$20,143
143 Union Pacific	\$19,941
144 Danaher	\$19,912
145 Southern	\$19,896
146 ManpowerGroup	\$19,654
147 Bristol-Myers Squibb	\$19,427
148 Altria Group	\$19,337
149 Fluor	\$19,037
150 Kohl's	\$18,686
151 Lear	\$18,558
152 Jabil	\$18,353
153 Hartford Financial Services Group	\$18,300

EXHIBIT D



2016 Top 100 Global Innovators Report

TOP 100 GLOBAL INNOVATORS Exhibit D



Table of Contents

Message from David Brown	3
Methodology	4
Key Findings	6
Introducing the Clarivate Analytics 2016 Top 100 Global Innovators	8
Geographic Breakout	20
Industry Breakout	24
Consistency	40
Conclusion	45
Who We Are	47
Solutions for Innovation Success	48



Message from David Brown

With progress comes change. As businesses journey toward the future, they evolve and grow, and ours is no different. Following the sale to Onex Corporate and Baring Private Equity Asia, we are now Clarivate Analytics; formerly the Intellectual Property (IP) & Science business of Thomson Reuters. We believe in the power of innovation as the fundamental driver of economic well-being, competitive advantage and success. Our mission at Clarivate Analytics is to accelerate its pace.

Pinning down the specifics of how to quantify innovation often feels like a moving target. With the Top 100 Global Innovators program, now in its sixth year, we look to eliminate any ambiguity as to what makes an organization innovative and celebrate those who have made meaningful gains to usher in the next era of global innovation. The Top 100 Global Innovators methodology centers on patent volume, application-to-grant success, globalization and citation influence. It's an objective formula that identifies the companies around the world that are active in innovation through research and development (R&D), IP protection and commercialization.

The need for these metrics is paramount as the competitive landscape becomes ever more intense and organizations have an increasing need for the evidence that demonstrates a return on their investment in R&D. As the world experiences geopolitical shifts and significant economic change, the one constant that enables leaders to weather volatility is a laser focus on innovation. It helps develop competitive advantage, leading to the creation of sustainable economies that make possible societal improvements and the enhanced well-being of citizens.

The Top 100 Global Innovators spent more than \$227 billion on R&D and generated more than \$4 trillion in revenue in 2015. This is a clear example of activity that drives economic well-being and competitive advantage.

There are number of noteworthy economic and industry shifts in the Top 100 Global Innovators list and accompanying report. Whether new to the list or not, we congratulate all of the organizations that can count themselves among the 2016 Top 100 Global Innovators. Their continued commitment to innovation is certain to ensure their future success.

David Brown

Executive Vice President Clarivate Analytics



Methodology

Patents are considered a key measure of innovation; governments, academia and industry use them to inform policy decisions, track trends and for technological and commercial intelligence purposes. However, the straight counting of the number of patents, although useful, is only part of the picture.

For a patented invention to be valuable, it must be of good quality, have a wide market and lay the foundations for further development and refinement. Therefore, in addition to counting the volume of inventions, the quality, globalization and impact of inventions should also be assessed.

The Clarivate Analytics Top 100 Global Innovators methodology analyzes patent and citation data across four main criteria: volume; success; globalization and influence. This is done using Clarivate Analytics solutions including Derwent World Patents Index (DWPI), Thomson Innovation and Derwent Patent Citations Index (PCI).

For a patented invention to be valuable, it must be of good quality, have a wide market and lay the foundations for further development and refinement.

Although our methodology is focused on patents, the report also provides some insight into the scientific research on which innovation is based, through the Web of Science. It also considers the additional insight available for the pharmaceutical industry with an analysis of clinical trials information from Cortellis.

1. Volume

All organizations with 100 or more patented new inventions from the most recent five years are included in our analysis. A new invention is defined as the first publication of a patent document claiming a technology, drug, business process, and so forth, not previously described in prior art. In DWPI; these are called "basics." DWPI provides a record of patents published by 50 patent–issuing authorities worldwide to enable a comprehensive picture of the innovation landscape. Subsequent filings for the same invention are recorded as "equivalents" in DWPI and collated in "patent families." The analysis counts these patent families rather than individual patent documents, thereby counting unique inventions only and avoiding duplicate counts of patents that describe the same invention.

Once an organization passes the volume stage gate, it is measured across the next three criteria: success; globalization and influence.

2. Success

Not all patent applications passing through the examination process are granted. The success metric assesses the quality of innovation by measuring the ratio of inventions described in published applications (those patents which are filed and publicly published by the patent office but not yet granted) to inventions protected with granted patents over the most recent five years.

3. Global

Protecting an invention in major world markets is an indication of the significant value a company places on its innovation and its intellectual property. The number of basic inventions that have quadrilateral patents in their patent families, according to the Clarivate Analytics Quadrilateral Patent Index, is calculated to create a ratio that shows which companies place a high value on their portfolios in major world markets. The quadrilateral patent authorities comprise the Chinese Patent Office, the European Patent Office, the Japanese Patent Office and the United States Patent & Trademark Office.

4. Influence

The impact of an invention "downstream" can be determined by looking at how often it is subsequently cited by other companies in the patenting of their inventions. Through the Derwent Patent Citation Index, citations to an organization's patents are counted over the most recent five years, excluding self citations.

Scores for each of these areas are tallied and combined to produce the Clarivate Analytics Top 100 Global Innovators list.

Key Findings

The 2016 Clarivate Analytics Top 100 Global Innovators occupy the sweet spot of innovation, where pure R&D meets corporate strategy for protecting intellectual property and producing outsized growth. Together, the firms on the list generated more than \$4 trillion in revenue in 2015. That massive figure is supported by more than \$227 billion spent on R&D. Take, as examples, Samsung, that spent \$13.2 billion USD on R&D in 2015; Novartis, that spent \$9.1 billion USD; and Toyota: \$9.2 billion USD. On average, the 2016 Global Innovators invest 9.1 percent more in R&D than companies in the S&P 100 index.

Together, the firms on the list generated more than \$4 trillion in revenue in 2015. That massive figure is supported by more than \$227 billion spent on R&D.

But where and how that money was spent is at the crux of this year's report. Companies are starting to realize, some after countless litigation battles, that the quality of one's intellectual property is often the cornerstone of a successful strategy. That, along with the evolving landscape of patent legislation around the world, has led to some distinct trends outlined in our 2016 report.

The Shift from Quantity to Quality

Against a backdrop of increasing volume of inventions being patented over the last five years globally, the trend for many of the Top 100 Global Innovators organizations is to file fewer inventions this year.

Although patent filing has gone down across the Top 100, the patent grant rate has increased, implying that companies are seeing a correlation between the strength of their filings and the success of a grant. That's supported by an observed increase in the aggregated success metric for the Top 100 this year.

With a focus on more innovation with greater impact, driving higher citation counts, companies are beginning to realize that it's not just about having strength in numbers, but investing in the tools and processes that make those filings stand on their own.

Technology Diversification

Many of the Top 100 Global Innovators are not satisfied to limit their innovative gains strictly to their core areas of operations. We have observed a significant trend in diversification in both our winners' R&D spending and technological filing categories.

An analysis of patents published globally this year shows that the four main areas of technology innovation are as follows:

Semiconductors & Batteries

Transmission of Information (including wireless, television and telecommunications)

Data Processing including Cloud Computing and E-Commerce

Medical Devices or Preparations

Mapping the portfolios of the Top 100 Global Innovators to these technology areas shows that a significant number are involved across multiple areas. Whether it's Canon, General Electric and Hitachi investing significantly in Medical Devices patents; Google developing a self-driving car, or Amazon being actively involved in drone technology, the path to innovation for our Top 100 Global Innovators is anything but a straight line.

With the emergence of the Internet of Things (IoT), there are no longer hard and fast rules to where companies' products can play. No longer is a watch just a watch. It can be a fitness tracker, a GPS, a communicative device and more. So although the firms continue to invest with heavy R&D expenditures, it's clear that they are aware that broadening their range of protected technology could come in handy at present and in the future.

Investment in Innovation

Speaking of R&D spend, there is a positive correlation between R&D spend and those firms listed within the Top 100. The 2016 Global Innovators invest, on average, 9.1 percent more in R&D than organizations on the S&P 100 list. These firms totaled a massive \$4 trillion revenue. It's a logical finding at its core. Companies with more cutting edge, innovative products often generate more sales. But for whatever reason, some firms around the globe have not been able to make the connection, instead choosing to rest on their laurels and under-deliver for their stakeholders. Not the companies on the Top 100 Global Innovators list, that are among some of the bigger global successes the world has to offer.



Eight organizations appear on the roster for the first time this year, including some notable examples.

Nokia defined the mobile industry for nearly a decade, and their signature ring-tone still evokes memories. This was just before the smartphone revolution. The company took too long to embrace the smartphone trend and made errors in strategy. Their appearance on the Top 100 list this year shows how they are now embracing innovation to secure the future for their company.

The University of California is the world's leading public research university system. As a newly recognized Top 100 Global Innovator with more than 3,000 patented inventions 2011-2015, this is testament to the power of innovation in translating research into developing practical solutions to existing problems.

Globalization

Never before has the global innovation landscape been more interconnected. For example, while the United States recently began to feel a meaningful impact of the America Invents Act — patent legislation written into law under President Barack Obama's administration, which changed the US patenting rules to a first-to-file system

— the standing of the US on this list has increased. Meanwhile, the UK government has confirmed it will proceed with preparations to ratify the European Unified Patent Court Agreement and continue with preparations to establish the Unitary Patent and Unified Patent Court (UPC) even after the country's vote that led to their 'Brexit' from the EU. Under the new system, businesses will be able to protect and enforce their patent rights across Europe in a more streamlined way, lending credence to a potential innovative shift, eventually making its way back across the Atlantic.

It's a point of great intrigue as we move into 2017. With the EU still feeling the ripples of an expected Brexit, and the plans of the 45th US President becoming clearer, the sweeping implications of 2016 have yet to be fully felt. What is clear, though, is that what affects one country will affect the other, as the world of innovation has never been smaller.



2016 Top 100 Global Innovators Alphabetical List

Organization	Country	Industry	Previous Winners
3M Company	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Abbott Laboratories	USA	Pharmaceuticals	2013, 2014, 2015
Advanced Micro Devices	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Air Products	USA	Chemicals & Cosmetics	2013, 2015
Aisin Seiki	Japan	Automotive	2014, 2015
Alstom	France	Oil, Gas & Energy	2015
Amazon	USA	Software	2015
Analog Devices	USA	Hardware & Electronics	2011, 2012, 2013, 2015
Apple	USA	Telecommunications	2011, 2012, 2013, 2014, 2015
Arkema	France	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
BASF	Germany	Chemicals & Cosmetics	2011, 2014, 2015
Bayer	Germany	Pharmaceuticals	2011, 2015
Becton Dickinson	USA	Manufacturing & Medical	2015
Boeing	USA	Aerospace & Defense	2011, 2012, 2013, 2014, 2015
Boston Scientific	USA	Manufacturing & Medical	
Bridgestone	Japan	Automotive	2015
Bristol-Myers Squibb	USA	Pharmaceuticals	2011, 2015
Broadcom (previously Avago Technologies)	USA	Hardware & Electronics	2011,2012, 2013, 2014, 2015
Canon	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Chevron	USA	Oil, Gas & Energy	2011, 2012, 2013, 2015
CNRS, The French National Center for Scientific Research	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015

Organization	Country	Industry	Previous Winners
Commissariat à l'Energie Atomique	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015
Corning	USA	Hardware & Electronics	2011, 2012, 2013, 2014
Daikin Industries	Japan	Manufacturing & Medical	2011, 2014, 2015
Delphi	USA	Automotive	2013, 2012
Dolby Laboratories	USA	Hardware & Electronics	
Dow Chemical Company	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
DuPont	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Emerson Electric	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Ericsson	Sweden	Telecommunications	2011, 2012, 2013, 2014, 2015
Exxon Mobil	USA	Oil, Gas & Energy	2011, 2012, 2013, 2015
Fraunhofer	Germany	Institution & Government Research	2013, 2014, 2015
Fujifilm	Japan	Hardware & Electronics	2012, 2013, 2014, 2015
Fujitsu	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
General Electric	USA	Household Goods	2011, 2012, 2013, 2014, 2015
Google (now Alphabet Inc.)	USA	Software	2012, 2013, 2014, 2015
Hitachi	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Honda Motor	Japan	Automotive	2011, 2012, 2013, 2014, 2015
Honeywell International	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Huawei	China	Telecommunications	2014
IFP Energies Nouvelles	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015
Intel	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015

Organization	Country	Industry	Previous Winners
InterDigital	USA	Telecommunications	2015
Johnson & Johnson	USA	Pharmaceuticals	2013, 2014, 2015
Johnson Controls	USA	Manufacturing & Medical	2015
JTEKT	Japan	Automotive	2015
Kawasaki Heavy Industries	Japan	Manufacturing & Medical	2015
Kobe Steel	Japan	Manufacturing & Medical	2014, 2015
Komatsu	Japan	Manufacturing & Medical	2014, 2015
Kyocera	Japan	Hardware & Electronics	2014, 2015
LG Electronics	S Korea	Household Goods	2011, 2012, 2013, 2014, 2015
Lockheed Martin	USA	Aerospace & Defense	2012, 2013, 2014, 2015
LSIS	S Korea	Oil, Gas & Energy	2011, 2012, 2013, 2014, 2015
Makita Corporation	Japan	Manufacturing & Medical	2015
Marvell	USA	Hardware & Electronics	2012, 2013, 2014, 2015
MediaTek	Taiwan	Hardware & Electronics	2014, 2015
Medtronic	Ireland	Manufacturing & Medical	2014, 2015
Merck	Germany	Pharmaceuticals	
Micron	USA	Hardware & Electronics	2012, 2013, 2014, 2015
Microsoft	USA	Software	2011, 2012, 2013, 2014, 2015
Mitsubishi Heavy Industries	Japan	Manufacturing & Medical	2012, 2013, 2014, 2015
NEC	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Nike	USA	Household Goods	2012, 2013, 2014, 2015
Nippon Steel & Sumitomo Metal	Japan	Manufacturing & Medical	2012, 2013, 2014, 2015
Nissan Motor	Japan	Automotive	2013, 2014, 2015

Organization	Country	Industry	Previous Winners
Nitto Denko	Japan	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Nokia	Finland	Telecommunications	
Novartis	Switzerland	Pharmaceuticals	2014, 2015
NTT	Japan	Telecommunications	2011, 2012, 2013, 2014, 2015
NXP Semiconductors	Netherlands	Hardware & Electronics	
Olympus	Japan	Manufacturing & Medical	2011, 2012, 2013, 2014, 2015
Omron	Japan	Hardware & Electronics	2013
Oracle	USA	Software	2013, 2014, 2015
Panasonic	Japan	Household Goods	2011, 2012, 2013, 2014, 2015
Philips	Netherlands	Hardware & Electronics	2011, 2013, 2014, 2015
Qualcomm	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Renesas	Japan	Hardware & Electronics	
Roche	Switzerland	Pharmaceuticals	2011,2012,2013, 2014, 2015
Safran	France	Aerospace & Defense	2013, 2014, 2015
Saint-Gobain	France	Manufacturing & Medical	2011, 2012, 2013, 2014, 2015
Samsung Electronics	S Korea	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Seagate	USA	Hardware & Electronics	2012, 2013, 2014, 2015
Seiko Epson	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Shin-Etsu Chemical	Japan	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Showa Denko	Japan	Chemicals & Cosmetics	2015
Sony	Japan	Household Goods	2011, 2012, 2013, 2014, 2015

Organization	Country	Industry	Previous Winners
Sumitomo Electric	Japan	Manufacturing & Medical	2011, 2013, 2014, 2015
Symantec	USA	Software	2011, 2012, 2013, 2014, 2015
TE Connectivity	Switzerland	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Thales	France	Aerospace & Defense	2012, 2013, 2015
Toshiba	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Total S.A.	France	Oil, Gas & Energy	
Toyota Motor	Japan	Automotive	2011, 2012, 2013, 2014, 2015
University of California	USA	Institution & Government Research	
Valeo	France	Automotive	2012, 2013, 2015
Xerox	USA	Hardware & Electronics	2011, 2012, 2013, 2014
Xilinx	USA	Hardware & Electronics	2012, 2013, 2014, 2015
Yamaha	Japan	Household Goods	2011, 2014, 2015
Yaskawa Electric	Japan	Manufacturing & Medical	2015
Yazaki	Japan	Automotive	2015

2016 Top 100 Global Innovators Sorted by Sector

Organization	Country	Industry	Previous Winners
Boeing	USA	Aerospace & Defense	2011, 2012, 2013, 2014, 2015
Lockheed Martin	USA	Aerospace & Defense	2012, 2013, 2014, 2015
Safran	France	Aerospace & Defense	2013, 2014, 2015
Thales	France	Aerospace & Defense	2012, 2013, 2015
Aisin Seiki	Japan	Automotive	2014, 2015
Bridgestone	Japan	Automotive	2015
Delphi	USA	Automotive	2013, 2012
Honda Motor	Japan	Automotive	2011, 2012, 2013, 2014, 2015
JTEKT	Japan	Automotive	2015
Nissan Motor	Japan	Automotive	2013, 2014, 2015
Toyota Motor	Japan	Automotive	2011, 2012, 2013, 2014, 2015
Valeo	France	Automotive	2012, 2013, 2015
Yazaki	Japan	Automotive	2015
3M Company	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Air Products	USA	Chemicals & Cosmetics	2013, 2015
Arkema	France	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
BASF	Germany	Chemicals & Cosmetics	2011, 2014, 2015
Dow Chemical Company	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
DuPont	USA	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Nitto Denko	Japan	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015
Shin-Etsu Chemical	Japan	Chemicals & Cosmetics	2011, 2012, 2013, 2014, 2015

Organization	Country	Industry	Previous Winners
Showa Denko	Japan	Chemicals & Cosmetics	2015
Advanced Micro Devices	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Analog Devices	USA	Hardware & Electronics	2011, 2012, 2013, 2015
Broadcom (formerly Avago Technologies)	USA	Hardware & Electronics	2011,2012, 2013, 2014, 2015
Canon	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Corning	USA	Hardware & Electronics	2011, 2012, 2013, 2014
Dolby Laboratories	USA	Hardware & Electronics	
Emerson Electric	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Fujifilm	Japan	Hardware & Electronics	2012, 2013, 2014, 2015
Fujitsu	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Hitachi	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Honeywell International	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Intel	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Kyocera	Japan	Hardware & Electronics	2014, 2015
Marvell	USA	Hardware & Electronics	2012, 2013, 2014, 2015
MediaTek	Taiwan	Hardware & Electronics	2014, 2015
Micron	USA	Hardware & Electronics	2012, 2013, 2014, 2015
NEC	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
NXP Semiconductors	Netherlands	Hardware & Electronics	
Omron	Japan	Hardware & Electronics	2013

Organization	Country	Industry	Previous Winners
Philips	Netherlands	Hardware & Electronics	2011, 2013, 2014, 2015
Qualcomm	USA	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Renesas	Japan	Hardware & Electronics	
Samsung Electronics	S Korea	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Seagate	USA	Hardware & Electronics	2012, 2013, 2014, 2015
Seiko Epson	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
TE Connectivity	Switzerland	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Toshiba	Japan	Hardware & Electronics	2011, 2012, 2013, 2014, 2015
Xerox	USA	Hardware & Electronics	2011, 2012, 2013, 2014
Xilinx	USA	Hardware & Electronics	2012, 2013, 2014, 2015
General Electric	USA	Household Goods	2011, 2012, 2013, 2014, 2015
LG Electronics	S Korea	Household Goods	2011, 2012, 2013, 2014, 2015
Nike	USA	Household Goods	2012, 2013, 2014, 2015
Panasonic	Japan	Household Goods	2011, 2012, 2013, 2014, 2015
Sony	Japan	Household Goods	2011, 2012, 2013, 2014, 2015
Yamaha	Japan	Household Goods	2011, 2014, 2015
CNRS, The French National Center for Scientific Research	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015
Commissariat à l'Energie Atomique	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015
Fraunhofer	Germany	Institution & Government Research	2013, 2014, 2015
IFP Energies Nouvelles	France	Institution & Government Research	2011, 2012, 2013, 2014, 2015

Organization	Country	Industry	Previous Winners
University of California	USA	Institution & Government Research	
Becton Dickinson	USA	Manufacturing & Medical	2015
Boston Scientific	USA	Manufacturing & Medical	
Daikin Industries	Japan	Manufacturing & Medical	2011, 2014, 2015
Johnson Controls	USA	Manufacturing & Medical	2015
Kawasaki Heavy Industries	Japan	Manufacturing & Medical	2015
Kobe Steel	Japan	Manufacturing & Medical	2014, 2015
Komatsu	Japan	Manufacturing & Medical	2014, 2015
Makita Corporation	Japan	Manufacturing & Medical	2015
Medtronic	Ireland	Manufacturing & Medical	2014, 2015
Mitsubishi Heavy Industries	Japan	Manufacturing & Medical	2012, 2013, 2014, 2015
Nippon Steel & Sumitomo Metal	Japan	Manufacturing & Medical	2012, 2013, 2014, 2015
Olympus	Japan	Manufacturing & Medical	2011, 2012, 2013, 2014, 2015
Saint-Gobain	France	Manufacturing & Medical	2011, 2012, 2013, 2014, 2015
Sumitomo Electric	Japan	Manufacturing & Medical	2011, 2013, 2014, 2015
Yaskawa Electric	Japan	Manufacturing & Medical	2015
Alstom	France	Oil, Gas & Energy	2015
Chevron	USA	Oil, Gas & Energy	2011, 2012, 2013, 2015
Exxon Mobil	USA	Oil, Gas & Energy	2011, 2012, 2013, 2015
LSIS	S Korea	Oil, Gas & Energy	2011, 2012, 2013, 2014, 2015
Total S.A.	France	Oil, Gas & Energy	
Abbott Laboratories	USA	Pharmaceuticals	2013, 2014, 2015
Bayer	Germany	Pharmaceuticals	2011, 2015

Organization	Country	Industry	Previous Winners
Bristol-Myers Squibb	USA	Pharmaceuticals	2011, 2015
Johnson & Johnson	USA	Pharmaceuticals	2013, 2014, 2015
Merck	Germany	Pharmaceuticals	
Novartis	Switzerland	Pharmaceuticals	2014, 2015
Roche	Switzerland	Pharmaceuticals	2011,2012,2013, 2014, 2015
Amazon	USA	Software	2015
Google (now Alphabet Inc.)	USA	Software	2012, 2013, 2014, 2015
Microsoft	USA	Software	2011, 2012, 2013, 2014, 2015
Oracle	USA	Software	2013, 2014, 2015
Symantec	USA	Software	2011, 2012, 2013, 2014, 2015
Apple	USA	Telecommunications	2011, 2012, 2013, 2014, 2015
Ericsson	Sweden	Telecommunications	2011, 2012, 2013, 2014, 2015
Huawei	China	Telecommunications	2014
InterDigital	USA	Telecommunications	2015
Nokia	Finland	Telecommunications	
NTT	Japan	Telecommunications	2011, 2012, 2013, 2014, 2015

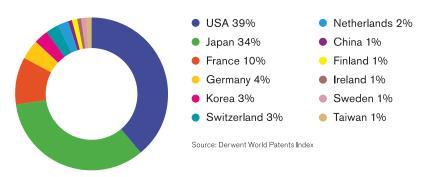
10

Geographic Breakout

Global

The 2016 Clarivate Analytics Top 100 Global Innovators are from three continents and comprise 12 countries. Just two countries account for 73 percent of the list — Japan and the US — making them the major innovation hubs of the world. The perennial countries of France, Germany, South Korea, Netherlands, Sweden, Switzerland, Ireland and Taiwan are joined this year by mainland China for the second time and Finland for the first.

Figure 1: Composition of the 2016 Top 100 Global Innovators



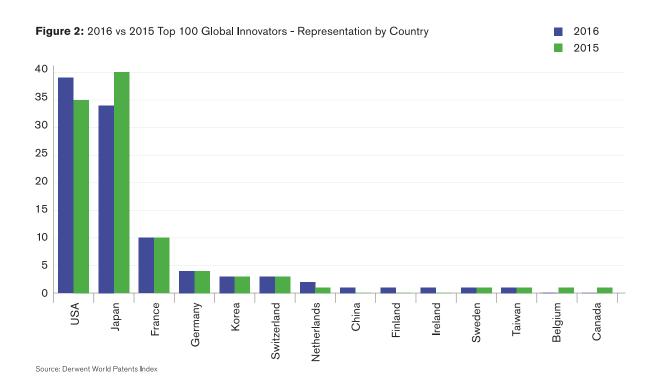


Table 1: 2016 vs 2015 Top 100 Global Innovators - Change in Representation by Country

Country	2016	2015	%Change
USA	39	35	14
Japan	34	40	-15
France	10	10	0
Germany	4	4	0
S Korea	3	3	0
Switzerland	3	3	0
Netherlands	2	1	100
China	1	0	100
Finland	1	0	100
Ireland	1	0	100
Sweden	1	1	0
Taiwan	1	1	0
Belgium	0	1	-100
Canada	0	1	-100

Just two countries account for 73 percent of the list — Japan and the US — making them the major innovation hubs of the world.

North America

This year sees a significant shift back from East to West with North America tying for top slot with Asia (39 companies apiece). North America last took the leading role in 2013.

North American representation of the 2016 Top 100 Global Innovators comprises solely US companies with the loss of the Canadian company BlackBerry from the list this year.

Thirty-four of the 39 companies from the United States remain the same, including last year's list debutantes Amazon, InterDigital and Johnson Controls. The three new entrants this year are Boston Scientific, Dolby Laboratories and University of California.

North American representation of the 2016 Top 100 Global Innovators comprises solely US companies with the loss of the Canadian company BlackBerry from the list this year.

Asia

Although Asia is no longer the regional frontrunner in terms of innovation activity, Japan is still highly represented with 34 companies on the 2016 list (compared with 40 in 2015). South Korea and Taiwan continue to feature with the same representation as last year (three firms and one firm, respectively).

Mainland China makes a reappearance this year with Huawei again making the list following its debut appearance in 2014. Huawei showed significantly improved performance in globalization, which accords with its strategy to develop a "global response to global needs." 1

Europe

Europe's share of the Top 100 grew one percent over 2015 with 22 representatives this year compared with 21 last year.

Its country distribution has remained quite stable with some notable exceptions. France maintained its leadership in Europe with 10 representatives again this year, whereas Germany, Switzerland, Sweden and Ireland also stayed consistent with four, three and one companies on the list, respectively.

Belgium dropped off the list with the loss of Solvay. However, the Netherlands saw a doubling of their representation with the addition of NXP Semiconductors and Finland makes its first appearance with the newly honored Nokia.

Figure 4 shows the regional contribution of each country.

 $^{{\}it "Huawei's Global Strategy (updated)" available at http://english.ckgsb.edu.cn/case_content/huaweis-global-strategy-updated} \\$

Figure 3: Asian Representation Compared to Rest of World for the 2016 Top 100 Global Innovators

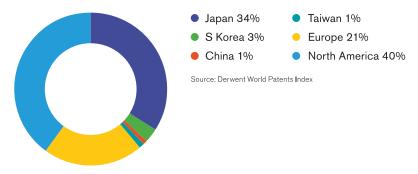
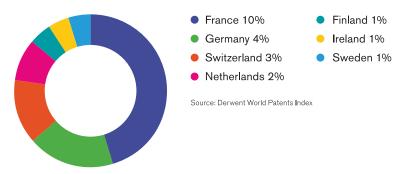


Figure 4: European Representation of the 2016 Top 100 Global Innovators



Industry Breakout

Industry classification of the Top 100 Global Innovators has been re-drawn this year to allow broader comparison between sectors. Comparisons with previous years are based on this year's reclassification as shown in Table 2.

A strong measure of stability is seen in the industry year-on-year comparison. Hardware & Electronics saw the biggest change from 25 to 29 representatives in 2016.

The 22 Top innovators in this sector who were recognized in both 2015 and 2016 are joined this year by newcomers Corning, Dolby Laboratories, Omron, Renesas and Xerox. One organization from last year dropped off the list (Casio) due to a drop in globalization performance this year.

The remaining changes are accounted for by merger and acquisition activity. Newcomer NXP Semiconductors acquired last year's awardee Freescale Semiconductor in December 2015. Avago acquired Broadcom Corp. this year and the combined entity is now called Broadcom Ltd.

The growth in representation of the Hardware & Electronics sector reflects the ubiquitous and growing influence of semiconductors and computing hardware across wide areas of activity including automation, displays, entertainment

systems, intelligent transportation and many more besides. The fourth industrial revolution is in many ways being driven by advancements in this sector, and a computer in every device is at the heart of the developing Internet of Things.

Longer term, Hardware & Electronics showed strong growth peaking in 2014 at 39 percent, but dropping back in 2015 to its lowest level of 25 percent.

This year sees a recovery to near former levels.

Pharmaceuticals, Automotive and Oil, Gas & Energy sectors have shown steady growth in representation on the Top 100 Global Innovators list over time. This is consistent with developments in ever more sophisticated automobiles, the focus on innovation as a means to address the challenges in the Pharmaceutical sector, and improvements in solutions to address global energy needs.

Figure 5: Industry Representation of Top 100 Global Innovators

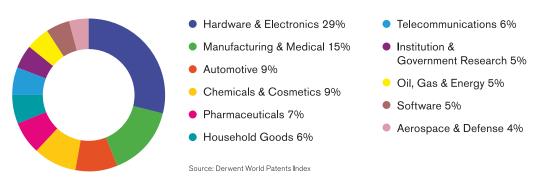


Table 2: 2016 vs 2015 Industry Comparison of Top 100 Global Innovators

2016	2015	%Change
29	25	16
15	15	0
9	9	0
9	12	-25
7	7	0
6	7	-14
6	6	0
5	5	0
5	5	0
5	5	0
4	4	0
	29 15 9 9 7 6 6 5 5	29 25 15 15 9 9 9 12 7 7 6 7 6 6 5 5 5 5 5 5 5 5

Source: Derwent World Patents Index

Table 3: Top 100 Global Innovators Industry Representation Comparison (2011–2016)

Industry	2016%	2015%	2014%	2013%	2012%	2011%
Aerospace & Defense	4	4	4	6	9	8
Automotive	9	9	6	8	6	3
Chemicals & Cosmetics	9	12	6	6	8	13
Hardware & Electronics	29	25	39	38	37	31
Household Goods	6	7	7	7	6	9
Institution & Government Research	5	5	6	4	9	3
Manufacturing & Medical	15	15	17	15	14	18
Oil, Gas & Energy	5	5	0	2	1	2
Pharmaceuticals	7	7	4	3	1	2
Software	5	5	4	4	2	4
Telecommunications	6	6	7	7	7	7
	100	100	100	100	100	100



Pharmaceutical Industry

The pharmaceutical industry has been faced with significant challenges over the last few decades with declining revenues, increased price pressures and constant regulatory changes. These challenges have led companies in this sector to look increasingly to innovation as a means to improve productivity and commercial returns.

The success of this approach can be seen when we look at the representation of the Pharmaceutical sector in the Top 100 Global Innovators list over the last six years.

We see a steady climb in numbers from one or two percent to seven percent this year and last. This reflects the increasing innovation and productivity shown by the industry in recent years.

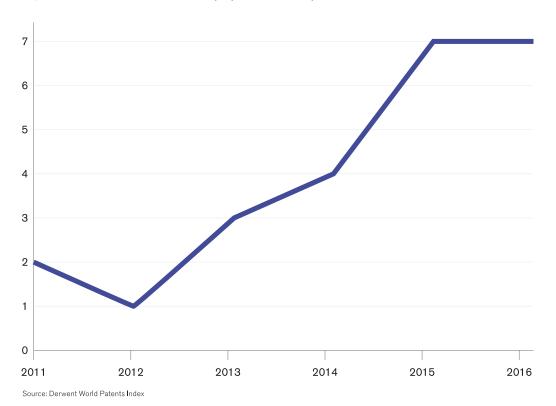
Challenges have led companies in this sector to look increasingly to innovation as a means to improve productivity and commercial returns.

Table 4: Pharmaceutical sector organizations

Company	Country	Region	
Abbott Laboratories	USA	North America	
Bayer	Germany	Europe	
Bristol-Myers Squibb	USA	North America	
Johnson & Johnson	USA	North America	
Merck	Germany	Europe	
Novartis	Switzerland	Europe	
Roche	Switzerland	Europe	

Source: Derwent World Patents Index

Figure 6: Pharmaceuticals sector as a proportion of the Top 100 Global Innovators



Analysis by therapeutic indication of their recent inventions first published in 2016 shows an emphasis on cancer, followed by Central Nervous System (CNS) and Inflammation:

Merck, Roche and Novartis account for 50% of inventions relating to cancer treatment in 2016.

Patent activity is an integral part of the Drug Discovery process and protects innovative companies by allowing them a chance to recoup their investment in the research and development of innovative medicine, which can cost over \$3 billion USD for each drug launch.

Patent activity is an integral part of the Drug Discovery process and protects innovative companies by allowing them a chance to recoup their investment in the research and development of innovative medicine, which can cost over \$3 billion USD for each drug launch.

Measuring patent activity as a surrogate for innovation highlights the creative and fascinating research being performed by large pharma companies. Generally, protection for all newly developed treatments will be sought with patents. However, only the most promising candidates will go forward to clinical trials. By considering additional indicators specific to the Pharma sector like the status of active clinical trials, we may gain insight into how patented innovation is translating to the treatments of tomorrow for the diseases of today.

Table 5 shows the number of ongoing clinical trials for oncology for the Top 100 Global Innovators Pharma companies. Three of these (Novartis, Roche and BMS) are in the Top 10 of all pharma companies with active clinical trials in oncology. The two major companies (Merck and BMS) generating the most exciting news in arguably the most innovative field of immuno-oncology are also in the list of Top Innovators in Pharmaceuticals.

Examples of new approaches to combating cancer being developed by the companies representing the pharmaceutical sector include:

Abbott Labs: Method to induce tumorspecific immunological memory in cancer by administering anti-cytotoxic T-lymphocyte antigen 4 inhibitor and ibrutinib (WO2016161347)

Bayer: Development of new substituted aminoisoxazole-carboxylic acid amide compounds which act as mitotic checkpoint serine/threonineprotein kinase inhibitors to treat uncontrolled cell growth, proliferation and/or survival, and hematological tumor (EP2977377)

Bristol-Myers Squibb: New isolated antibody that competes for binding to human T cell immunoreceptor with immunoglobulin (Ig) and ITIM domains (TIGIT) for treating cancer (US20160176963)

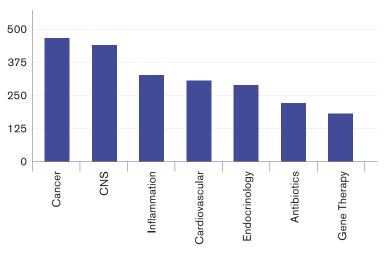
Johnson & Johnson: Method for treating acute myeloid leukemia (AML) by administering anti-cluster of differentiation (CD)-38 antibody (WO2016089960)

Merck: Treatment of colorectal cancer and/or metastases in subject involves administering subject with at least one pan alpha-v integrin inhibitor, where subject is characterized by high levels of one or more proteins in body fluid of subject (WO2016041614A1). The treatment is described as a new strategy applicable to systemic treatment, and should lower the dose and/or increase the efficiency of the cancer therapeutic agents to be applied.

Novartis: New substituted 3-amino-N-(3-(4-amino-4-(methoxymethyl)piperidin-1-yl)pyridin-2-yl)-6-substituted-pyrazine-2-carboxamide compounds used to treat disease or disorder associated with protein kinase C (PKC) such as uveal melanoma or diffuse large B-cell lymphoma (DLBCL) (WO2016020864). The compounds are described as having improved efficacy at lower dosage amounts to achieve tumor regression, improved potency, pharmacokinetic profile, absorption, gastrointestinal tolerance and kinase selectivity.

Roche: New composition containing estrogen receptor (ER) modulator compound, for treating ER-related disease or disorder, such as breast cancer, lung cancer, ovarian cancer, endometrial cancer, and prostate cancer (US20160304450). The ER modulator compounds may offer improved therapeutic activity characterized by complete or longer-lasting tumor regression, a lower incidence or rate of development of resistance to treatment, and/or a reduction in tumor invasiveness.

Figure 7: Pharmaceutical sector volume of inventions in 2016 by indication



Source: Derwent World Patents Index

Figure 8: Volume of inventions in 2016 relating to cancer treatment

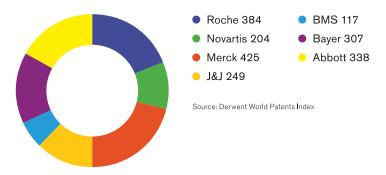
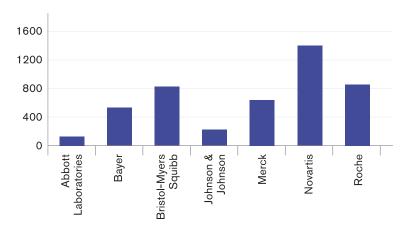


Table 5: Ongoing Clinical Trials numbers for Pharmaceutical sector organizations



Source: Cortellis for Clinical Trials Intelligence (CTI) Clarivate Analytics

Automotive

The automotive sector has nine representatives from the Top 100. Of these, the majority are from Asia (7), with the remaining two from North America and Europe (one each). Delphi makes a re-appearance for the first time this year since 2013. Both Honda and Toyota have now appeared in the Top 100 for six years running. Toyota holds the largest portfolio with more than 36,000 inventions published 2011-2015 followed by Honda (15,200) and Nissan (8,000).

Activity from the Top 100 Automotive sector grew steadily from around 16,500 inventions per year in 2011 to more than 18,300 in 2014, but dropped back to around 15,100 in 2015.

Strongest growth was seen from Nissan for 2011–2015, growing from just over 1,000 inventions in 2011 to 1,800 in 2015. Toyota and Honda both dropped over the same period from 7,500 in 2011 to 6,400 in 2015 and from 3,600 in 2011 to 2,600 in 2015 respectively.

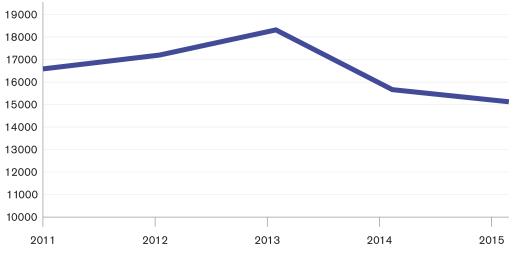
Activity from the Top 100
Automotive sector grew
steadily from around 16,500
inventions per year in 2011 to more
than 18,300 in 2014, but dropped
back to around 15,100 in 2015.

Table 6: Automotive sector organizations

Company	Country	Region
Aisin Seiki	Japan	Asia
Bridgestone	Japan	Asia
Delphi	USA	North America
Honda Motor	Japan	Asia
JTEKT	Japan	Asia
Nissan Motor	Japan	Asia
Toyota Motor	Japan	Asia
Valeo	France	Europe
Yazaki	Japan	Asia

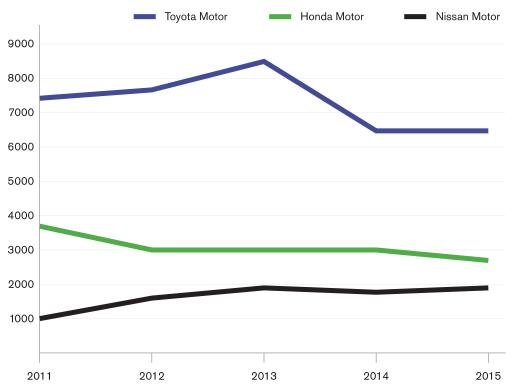
Source: Derwent World Patents Index

Figure 9: Total number of inventions by Top 100 automotive sector representatives 2011-2015



Source: Derwent World Patents Index

Figure 10: Volume of inventions from motor manufacturers 2011-2015



Source: Derwent World Patents Index

Computing and transistors play a role in the portfolios of the three major motor manufacturers in the Top 100, which is in line with increasing emphasis on the use of these technologies in vehicles. Examples include:

Honda: Method of controlling vehicle system such as antilock brake system, which involves modifying control of several vehicle systems according to level of driver drowsiness (US20120212353)

Nissan: Vehicle user interface system, which controls availability of system operations for performance by user-interface system based on selected policy setting (US20120109451). This is cited by 27 later published inventions.

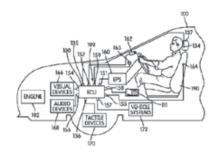
Toyota: Method of updating the electronic control unit (ECU) of a vehicle which involves sending update information from an update server to data communication module of the vehicle through a cellular network (US20110320089)

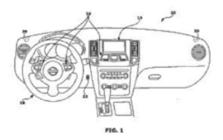
The geographical coverage of patents intended to protect the innovation of the Top 100 companies in the automotive sector reflects the global nature of the industry.

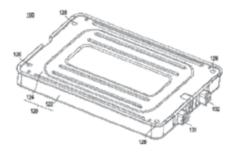
Patent documents for a single invention from around the world are gathered together into the patent family. The size of the patent family indicates the value and global reach of an invention. The geographical coverage of patents intended to protect the innovation of the Top 100 companies in the automotive sector reflects the global nature of the industry. JTEKT heads with an average family size of 5.7 patent publications per invention, followed by Nissan and Honda (3.0 each)

Nissan holds the largest individual patent family with 31 members, followed by Honda with 29 members.

The Nissan invention with 31 members is for a lithium ion secondary battery where the electrolytic solution in the stacked electrode assembly is replenished easily, and fractures are prevented so the performance of the battery is maintained effectively (WO2012066863).









Institution & Government Research

There is a growing recognition by governments of the power of innovation to drive economies. At the same time, within academia, there are growing pressures on funding and an increased need to realize commercial returns on investment in knowledge and innovation.

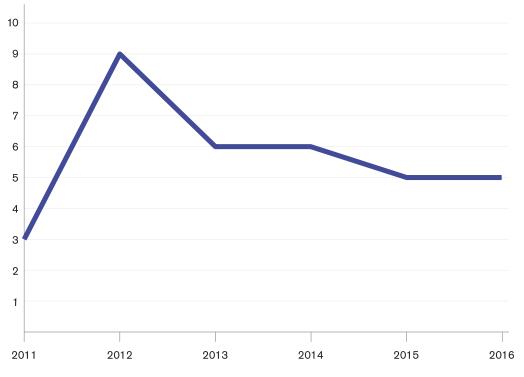
More and more this means aligning activities to address unsolved problems and develop innovative solutions to unmet needs. This in turn means extending the reach of the institute across the lifecycle of innovation to move beyond just discovery to increasing involvement in protection and commercialization phases.

These factors are behind the enduring presence of government and academic institutes in the Top 100 Global Innovators list over the last six years:

Representation of the Top 100 by the Institution & Government Research sector has stabilized at 5 to 6 percent following a peak of 9 percent in 2012.

There is a growing recognition by governments of the power of innovation to drive economies.

Figure 11: Institution & Government Research sector as a proportion of the Top 100 Global Innovators



Source: Derwent World Patents Index

Table 7: Institution & Government research sector organizations

Company	Country	Region
CEA	France	Europe
CNRS	France	Europe
Fraunhofer	Germany	Europe
IFP	France	Europe
University of California	USA	North America

CEA holds the largest portfolio with 3,398 inventions published 2011-2015, followed by the University of California (3,056), CNRS (3,041), Fraunhofer (2,864) and IFP (908).

Activity from the Top 5 Global Innovators Institution & Government Research grew steadily from 2,500 inventions per year in 2011 to more than 2,800 in 2014, but dropped back to around 2,750 in 2015.

All institutes showed growth from 2011–2015, except the University of California which dropped off from more than 700 inventions in 2014 to just over 550 inventions in 2015.

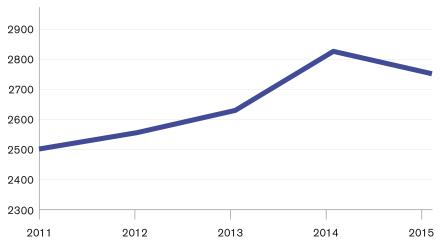
However, this did not prevent University of California making it into the Top 100 for the first time since the inception of the program. This is because, although at a lower level, University of California's innovation has been significantly more successful and global than in previous years (success metric is 9.4 percent higher and globalization metric is 6.6 percent higher than last year).

One of the key ways of realizing a commercial return on scientific discovery is through collaboration with partners who can bring complementary skills and experience to the table to help develop practical applications for new ideas. As shown in Figure 13, which looks at internationally co-authored scientific papers, the University of California has been steadily increasing its degree of international collaboration over time. The rewards of this approach are evident in the recognition of the University of California as a 2016 Top 100 Global Innovator for the first time.

Since 1980, the University of California's annual percentage of papers reflecting international collaboration has risen steadily, surpassing both the national and global baselines.

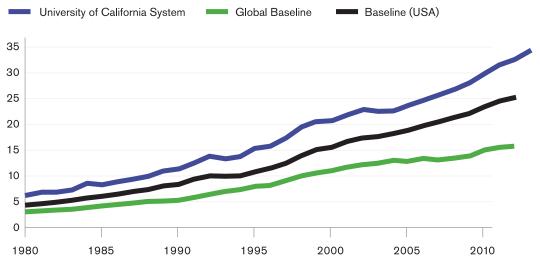
Activity from the Top 5
Global Innovators Institution
& Government Research grew
steadily from 2,500 inventions
per year in 2011 to more than
2,800 in 2014, but dropped
back to around 2,750 in 2015.

Figure 12: Total number of inventions by Top 100 Institution & Government research sector representatives 2011-2015



Source: Derwent World Patents Index

Figure 13: Internationally co-authored papers from University of California compared with USA and globally



Source: InCites

Looking at the technology areas of most patenting activity within the Institution & and Government research sector, we see in Figure 14 that the portfolios of CNRS and the University of California are similar with focus on Medicines, Hygiene, Vaccines and Measuring followed by Computers and Transistors. CEA and Fraunhofer form the second group of similar technology areas with focus mainly on Measuring, Transistors and Computers. IFP stand alone in its focus on Colloids and other areas of Petrochemistry such as Hydroforming and Fatty Acids.

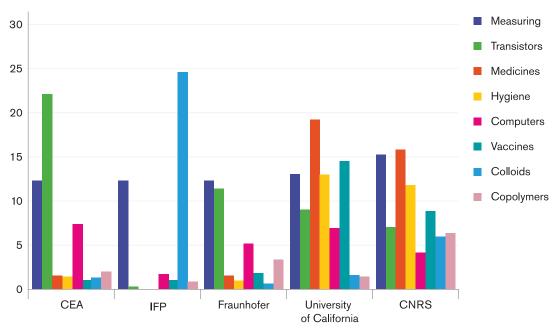
Regarding geographical coverage of the Institution & Government research sector innovation, CEA has the largest family size on average with 5.6 patent publications per invention, followed by IFP (5.1), CNRS (5.0), Fraunhofer (4.7) and the University of California (3.4).

Despite having somewhat smaller average family size, Fraunhofer has the largest individual family by far with 66 members, nearly double that of the next largest, the University of California (37), followed by CNRS (27) and CEA and IFP (22 each).

The Fraunhofer invention with 66 members is designed for an audio-processing apparatus for processing decoded audio signal for quality enhancement (WO2012110415). This invention is also cited by nine later inventions. Fraunhofer has long been involved in audio innovation as one of the founding partners of the MP3 format. More recently, they have achieved significantly enhanced playback of stereo and surround sound on mobile devices² based on technologies such as those described in this patent.

Regarding geographical coverage of the Institution & Government research sector innovation, CEA has the largest family size on average with 5.6 patent publications per invention, followed by IFP (5.1), CNRS (5.0), Fraunhofer (4.7) and the University of California (3.4).

Figure 14: Institution & Government patent portfolio mix for new inventions 2011-2015



Source: Derwent World Patents Index



Consistency

Of the 100 organizations on the list, only 14 are new this year compared with last year, mainly in the Hardware & Electronics space, and a significant number of whom are based in the US.

Eight companies are new inductees to the Top 100 Global Innovators list, appearing for the first time since the inception of the program. These are Boston Scientific, Dolby Laboratories, Merck, Nokia, NXP Semiconductors, Renesas, Total and the University of California.

Nokia and NXP Semiconductors appear through their acquisition of previous Top 100 awardees (Alcatel Lucent and Freescale Semiconductor, respectively; correspondingly, these companies drop off the 2016 list from last year). The remaining newcomers have universally performed better in globalization this year compared with former years.

In addition to the acquisitions noted above, LSI Corp does not appear this year, as they were acquired by Avago (who re-branded as Broadcom Inc). The remaining 2015 awardees not recognized this year have generally performed less well across the mix of factors measured. Eight companies are new inductees to the
Top 100 Global Innovators list appearing for the first time since the inception of the program.

 Table 8: Change in representation of the 2016 Top 100 Global Innovators

New 2016	Country 2016	Industry 2016	Dropped from 2015	Country 2015
Boston Scientific	USA	Manufacturing & Medical	Alcatel Lucent	France
Broadcom	USA	Hardware & Electronics	Blackberry	Canada
Corning	USA	Hardware & Electronics	Boehringer Ingelheim	Germany
Delphi	USA	Automotive	Casio	Japan
Dolby Laboratories	USA	Hardware & Electronics	Freescale Semiconductor	USA
Huawei	China	Telecommunications	Furukawa Electric	Japan
Merck	Germany	Pharmaceuticals	Idemitsu Kosan	Japan
Nokia	Finland	Telecommunications	JST	Japan
NXP Semiconductors	Netherlands	Hardware & Electronics	LSI Corp	USA
Omron	Japan	Hardware & Electronics	Mitsubishi Electric	Japan
Renesas	Japan	Hardware & Electronics	Mitsui Chemical	Japan
Total S.A.	France	Oil, Gas & Energy	Solvay	Belgium
Univ of California	USA	Institution & Government Research	Toray	Japan
Xerox	USA	Hardware & Electronics	Yamaha Motors	Japan

Table 9: The six-time winners

Organization	Country	Industry	Region
3M Company	USA	Chemicals & Cosmetics	North America
Advanced Micro Devices	USA	Hardware & Electronics	North America
Apple	USA	Telecommunications	North America
Arkema	France	Chemicals & Cosmetics	Europe
Boeing	USA	Aerospace & Defense	North America
Canon	Japan	Hardware & Electronics	Asia
CNRS	France	Institution & Government Research	Europe
CEA	France	Institution & Government Research	Europe
Dow Chemical Company	USA	Chemicals & Cosmetics	North America
DuPont	USA	Chemicals & Cosmetics	North America
Emerson	USA	Hardware & Electronics	North America
Ericsson	Sweden	Telecommunications	Europe
Fujitsu	Japan	Hardware & Electronics	Asia
General Electric	USA	Household Goods	North America
Hitachi	Japan	Hardware & Electronics	Asia
Honda Motor	Japan	Automotive	Asia
Honeywell International	USA	Hardware & Electronics	North America
IFP Energies Nouvelles	France	Institution & Government Research	Europe
Intel	USA	Hardware & Electronics	North America
LG Electronics	S Korea	Household Goods	Asia
LSIS	S Korea	Oil, Gas & Energy	Asia
Microsoft	USA	Software	North America
NEC	Japan	Hardware & Electronics	Asia

Organization	Country	Industry	Region
Nitto Denko	Japan	Chemicals & Cosmetics	Asia
NTT	Japan	Telecommunications	Asia
Olympus	Japan	Manufacturing & Medical	Asia
Panasonic	Japan	Household Goods	Asia
Qualcomm	USA	Hardware & Electronics	North America
Roche	Switzerland	Pharmaceuticals	Europe
Safran	France	Aerospace & Defense	Europe
Saint-Gobain	France	Manufacturing & Medical	Europe
Samsung Electronics	S Korea	Hardware & Electronics	Asia
Seiko Epson	Japan	Hardware & Electronics	Asia
Shin-Etsu Chemical	Japan	Chemicals & Cosmetics	Asia
Sony	Japan	Household Goods	Asia
Symantec	USA	Software	North America
TE Connectivity	Switzerland	Hardware & Electronics	Europe
Toshiba	Japan	Hardware & Electronics	Asia
Toyota Motor	Japan	Automotive	Asia

Thirty-nine organizations put in an appearance on the list for the sixth year running, showing commitment and consistency to innovation as a driver for their businesses. These are from a mix of industries that shows success is not defined by the type of business, but rather how business innovates.



What it takes to be a consistently successful innovator

When it comes to developing and nurturing a strong innovation portfolio, consistency is key, and those who can harness it are rewarded for their efforts. That's why, for the first time, we're examining the companies that were able to make our Top 100 Global Innovators ranking for the six consecutive years of its publication.

Knowing the important role intellectual property (IP) rights play across the Lifecycle of Innovation, from discovery to protection and commercialization of an idea, it's no surprise that companies with large investments in their IP infrastructures are more successful at bringing these innovations to market. These companies effectively protect, commercialize and license their technology, both nationally and around the globe.

When peering across the portfolios for the sixtime winners, there are some common traits that immediately come into focus. Patents, for example, are a proxy for innovation, and these companies all make significant investment in their patent development. With the Top 100 Global Innovators enjoying a higher grant conversion rate, it's clear these firms have beefed up their patent search areas and have invested in making sure their protected technology is truly unique and without any infringements.

The other key variable is investment. As evidenced by the huge R&D outlay made by the companies represented in the Top 100 Global Innovators list, the commitment to keep the fires of innovation burning brightly does not come cheaply. In the case of the 39 companies who have now been on our list for six straight years, this fact is made all the more poignant by the fact that our study was launched in 2011, when much of the world was still feeling the effects of the Great Recession, and many companies were loath to make the kinds of investments needed to keep their underlying IP infrastructures running at full capacity.

In hindsight, it is easy now to point to the foresight of these companies to continue investing when many others pulled back, but at the time, that type of fortitude took a great deal of commitment on the part of senior management and frontline R&D and IP professionals.

By continuing these strategies, these winners stand poised to remain on our list for many years to come.

Conclusion

Where is the world going when it comes to the government incentives and regulatory frameworks that will govern future innovation? That is best left to the prognosticators. But what is clear is that there is a well-worn path to follow when it comes to keeping a company at the forefront of that movement, regardless of what's happening in the geopolitical and macroeconomic environment.

The Clarivate Analytics Top 100 Global Innovators have shown that, although each firm's blueprint varies to meet their individual goals, there are trends that act as a tie that binds. As corporations around the globe invest to ensure their patents are of the highest quality, that their research and development is comprehensive and extensive, and that their range of technology is diverse and adaptable, the goods and services they provide ultimately become the better for it, with us all as the beneficiaries.

Congratulations to the 2016 Top 100 Global Innovators. May their efforts truly act as a bridge to the future.

As corporations around the globe invest to ensure their patents are of the highest quality... the goods and services they provide ultimately become the better for it, with us all as the beneficiaries.



Who We Are

Clarivate Analytics accelerates the pace of innovation by providing trusted insights and analytics to customers around the world, enabling them to discover, protect and commercialize new ideas faster. Formerly the Intellectual Property and Science business of Thomson Reuters, we own and operate a collection of leading subscription-based services focused on scientific and academic research, patent analytics and regulatory standards, pharmaceutical and biotech intelligence, trademark protection, domain brand protection and intellectual property management. Clarivate Analytics is now an independent company with over 4,000 employees, operating in more than 100 countries and owns well-known brands that include Web of Science, Cortellis, Thomson Innovation, Derwent World Patents Index, CompuMark, MarkMonitor and Techstreet, among others.

For more information, please visit us at **clarivate.com**

Solutions for Innovation Success

Thomson Innovation

Thomson Innovation powers the IP markets by providing access to the most trusted, reliable and complete patent data and scientific literature. With advanced search, analysis and visualization capabilities, you can collaborate and no longer need to check multiple sources, cope with foreign languages, or deal with the risk of incomplete records. Within Thomson Innovation, Derwent World Patent Index, Derwent World Citation Index and Web of Science provide the widest perspective of an invention, its impact and influence.

Derwent World Patents Index

Derwent World Patents Index is the world's authoritative source of patent information and is trusted by nearly 40 of the world's patent offices and global innovators for decisions on validity and infringement, identifying existing prior art, finding white space and performing competitive landscape research. Covering 50 patent-issuing authorities, English translation and editorially enhanced descriptions and value added insights enables you to accurately understand the scope of an invention without legal jargons.

Derwent Patents Citation Index

Derwent Patents Citation Index is a citation database with both patent and scholarly literature citations used for identifying potential licensing partners, possible competitive threats and ways to evolve core assets. Updated weekly, this rich content traces historical references on which an invention is built, as well as an invention's impact and future technologies that advance it.

Thomson Data Analyzer

Thomson Data Analyzer transforms large amounts of IP and scientific data into actionable reports that drive strategic IP decisions. Easily analyze technology trends, profile competitors, avoid or uncover patent infringement and identify strategic development opportunities.

Cortellis

The Cortellis suite of solutions serves the needs of life-science professionals with unmatched content, intelligent search, best-in-class analytics and insightful visualization tools. Cortellis delivers the unique insights needed to reduce risk and increase success across the drug-development lifecycle from early discovery to commercialization and beyond.

Web of Science

The most trusted source of impactful research, Web of Science has unrivaled coverage of papers, books, conference proceedings, data sets and patents back to 1900. Using Web of Science, Researchers can uncover the critical work in their field, and institutional leaders, funders and publishers can confidently measure comparative performance and develop world-class strategy using impact analytics and transparent metrics.

Clarivate Analytics clarivate.com

CLARIVATE ANALYTICS GLOBAL HEAD OFFICE

1500 Spring Garden St Fourth Floor Philadelphia, PA 19130 USA

© 2017 Clarivate Analytics

2016 TOP 100 GLOBAL **INNOVATORS**

+1 800 336 4474 +1 215 386 0100

clarivate.com



EXHIBIT E



Thursday, Jan. 23, 2014: Emerson Wins AHR Expo Product of the Year Award



January 24, 2014

NEW YORK — The 10 category winners of the

2014 AHR Expo Innovation Awards came together to see which product would be selected as the overall winner of the 2014 AHR Expo Product of the Year Award and Emerson Climate Technologies emerged as this year's winner.



Chandra Gollapudi, residential market manager, Air Conditioning

Division (left) and Matthew Neidlinger, commercial market manager,
of Emerson Climate Technologies, pose with the award-winning Next

Generation Copeland Scroll.

Exhibit E

Previously announced as the winner in the Cooling category for its Next Generation Copeland Scroll variable speed compressor product line, Emerson grabbed the top prize as well in a presentation that took place at the AHR Expo in New York City.

Copyright ©2017. All Rights Reserved BNP Media.

Design, CMS, Hosting & Web Development :: ePublishing

EXHIBIT F



HOME EASY LTD. WARRANTY—USA WINDOW AIR CONDITIONER

Should your EMERSON QUIET KOOL brand Window Air Conditioner prove to be defective in material or workmanship under normal use during the warranty period listed below, effective from the date of original consumer purchase of the product, Home Easy Ltd. will replace the defective part(s), Replacement parts will meet intended fit and function of the original part. Replacement parts are warranted for the unexpired portion of the original warranty period. This limited warranty is good only to the original purchaser of the product and effective only when used in the United States of America.

WARRANTY PERIOD:

HOW SERVICE IS HANDLED:

Labor: 12 Months from the Date of purchase.

Call 1-844-801-8880 and choose the appropriate prompt. Please have product type (Window Air Conditioner), model number, serial number, and ZIP code ready.

Parts: 24 Months from the Date of purchase.

of Purchase.

Compressor: 60 Months from the Date

Product	Registration	Information	

Address:
Email:(Optional)
Date of Purchase:
Serial Number :

You can find model number and serial number on a label on the back or side of the air conditioner.

NO OTHER WARRANTY IS APPLICABLE TO THIS PRODUCT. THIS WARRANTY IS IN LIEU OF ANY OTHER WARRANTY, EXPRESS OR IMPLIED, INCLUDING WITHOUT LIMITATION, ANY WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. TO THE EXTENT ANY IMPLIED WARRANTY IS REQUIRED BY LAW, IT IS LIMITED IN DURATION TO THE EXPRESS WARRANTY PERIOD ABOVE. NEITHER THE MANUFACTURER NOR ITS U.S. DISTRIBUTOR SHALL BE LIABLE FOR ANY INCIDENTAL, CONSEQUENTIAL, INDIRECT, SPECIAL, OR PUNITIVE DAMAGES OF ANY NATURE, INCLUDING WITHOUT LIMITATION, LOST REVENUES OR PROFITS, OR ANY OTHER DAMAGE WHETHER BASED IN CONTRACT, TORT, OR OTHERWISE. SOME STATES AND/OR TERRITORIES DO NOT ALLOW THE EXCLUSION OR LIMITATION OF INCIDENTAL OR CONSEQUENTIAL DAMAGES OR LIMITATIONS ON HOW LONG AN IMPLIED WARRANTY LASTS, SO THE ABOVE EXCLUSION OR LIMITATION MAY NOT APPLY TO YOU. THIS WARRANTY GIVES YOU, THE ORIGINAL PURCHASER, SPECIFIC LEGAL RIGHTS AND YOU MAY ALSO HAVE OTHER RIGHTS THAT VARY FROM STATE TO STATE OR TERRITORY TO TERRITORY.

THIS LIMITED WARRANTY DOES NOT APPLY TO:

- 1. Service calls to your home for delivery or pick-up installation, instruction, replacement of house fuses, connection of house wiring or plumbing, or to correct unauthorized repairs.
- Failure of product to perform during power failures and interruptions or inadequate electrical service.
 Damage caused by transportation or handling.
- Damage caused to the product by accident, vermin, lightning, winds, fire, floods, or acts of God.
 Damages caused by operating the product in a
- corrosive atmosphere.
 Repairs when your EMERSON QUIET KOOL product is used in other than normal, single-family household use or contrary to the instructions described in the product User's Guide.
- 7. Damage resulting from accident, alteration, misuse, abuse, or improper installation, repair, or maintenance. Improper repair includes the use of parts not approved or specified by EMERSON QUIET KOOL.
- 8. Normal maintenance as described in the User's Guide. such as cleaning or replacing filters, cleaning coils, etc. Use of accessories or components that are not
- compatible with this product.
- 10. Products with altered or removed serial numbers.11. Changes in the appearance of the product that do not
- affect product performance
- 12. Increases in utility costs and additional utility expenses. The cost of repair or replacement under these excluded circumstances shall be borne by the

consumer.

CONTACT INFORMATION: Home Easy Ltd.

Address: Unit 141, Bldg16, 1275 Bloomfield Ave, Fairfield,

Call: 1-844-801-8880

EXHIBIT G

CONSENT AGREEMENT

THIS CONSENT AGREEMENT ("Agreement") is entered into and effective as of the last date written below ("the Effective Date"), by and between EMERSON ELECTRIC CO., a Missouri corporation having a principal place of business at 8000 West Florissant Avenue, St. Louis, Missouri 63136 ("Emerson") and AIRWELL HONG KONG TECHNOLOGIES LIMITED, a Hong Kong corporation having a principal place of business at 1401 Hutchison House, 10 Harcourt Road, Hong Kong ("Airwell") (collectively, "the Parties").

In consideration of the terms of this Agreement and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree and acknowledge as follows:

- 1. As used in this Agreement, the term "Affiliates" shall mean any and all business entities (e.g., companies, corporations, partnerships, joint ventures, proprietorships, etc.) in which Emerson or Airwell has an ownership interest, either directly or through one or more intermediaries, or which are under common control, or over which Emerson or Airwell exercises significant management control, including, without limitation, Emerson's or Airwell's divisions and the Parties' respective direct and indirect parents, subsidiaries and sister companies.
- 2. As successor to the trademark rights of Fedders North America, Inc., Airwell owns U.S. Trademark Registration No. 1,119,176 for EMERSON QUIET KOOL covering "air conditioning units" and U.S. Trademark Registration No. 1,612,846 for EMERSON QUIET KOOL covering "dehumidifiers" (the "Airwell Marks").

DC01/2194102.4





Consent Agreement Page 2 of 15

3. Emerson, by itself and/or through its divisions, subsidiaries and Affiliates, uses or has a bona fide intention to use numerous trademarks comprised in whole or in part of the term EMERSON and owns trademark applications for or related to the same, including, but not limited to, U.S. Trademark Application Serial No. 76/294,524 for EMERSON PROCESS MANAGEMENT covering various goods and services in Classes 9, 37 and 42, including:



gear operators, namely electrical controllers for operating gears in valves; calibration checkers, namely, gauge blocks for machines that are used to calibrate machines; control plates, namely electrical control panels; condenser regulator control valves for regulating the flow of liquids and gases; flow selectors, namely, fluid and gas flow regulators that select the appropriate fluid or gas flow for commercial and industrial use; pressure, temperature, level and flow measurement devices, namely, pressure, density and flow rate determining units for process monitoring and water treatment control in the nature of commercial flow regulators; automatic temperature regulators; temperature control valves for regulating the temperature of water in water treatment plants; temperature responsive, flame responsive, and pressure responsive switching devices in the nature of electric switches that are temperature responsive, flame responsive, pressure responsive; electric temperature controls; thermostats; temperature control devices for electric hot water heaters in the nature of temperature sensors; thermal cut offs, namely, single use temperature responsive, safety devices for incorporation in electrical circuits, designed to interrupt permanently the circuits in which they are installed when the temperature sensed by the devices rises above a predetermined temperature in the nature of circuit breakers; temperature indicators, temperature recorders and electronic fluid temperature control units for industrial waste processing plants; temperature responsive bimetallic discs for use in controls consisting of an electronic sensor attached to a bimetallic disc that controls the temperature of fluid in waste treatment plants; temperature detectors and associated electrical controllers; solid state temperature electric controllers; electronic temperature control apparatus for control of heating/cooling units, namely, electrical controllers for controlling heating and cooling units; temperature and moisture condition video monitors for machines and machine controls; electronic speed controllers, dynamo electric controls, namely, electrical controllers for dynamo electric machines; analytical instrumentation for process monitoring and water treatment control, namely, temperature video monitors; electrical apparatus for use in operating machines, engines and

Consent Agreement Page 3 of 15

motors by controlling electric current, namely, electrical controllers for magnetic bearing control; electric motor controls in the nature of electrical controllers for motors and electric power units in the nature of power distributing boxes; electromechanical controls for heating, cooling and refrigeration units; high temperature oil controls, namely, electrical controllers for controlling oil level monitors; solid state temperature electrical controllers; thermostats; overheat controls, namely, electrical controllers to prevent overheating in refrigeration systems; electronic temperature control apparatus for control of heating/cooling units used in industrial processing and commercial applications, namely temperature sensors, thermostats and temperature control devices for electric hot water heaters, namely, temperature sensors; thermostatic hot water controls, namely, electrical controllers for thermostatic hot water heaters; and electrical draft controllers for application to heating control, usable as a unit together under control of the thermostat and the thermostatic hot water control; electrical oil burner controls; solid-state flame detecting electrical oil burner controllers; gas burner electrical controls; flow meters for measuring, indicating, recording and controlling the flow of fluids; sight flow indicators; signaling or alarm devices and systems for sensing and regulating or controlling fluid level or fluid flow in the nature of emergency signal transmitters; electronic motion and position transmitters for use in connection with flow meters and fluid level indicators; flow-indicating, flowflow-controlling, flow-proportioning and flow-interpreting recording. equipment for use with flow meters to sense the position of a flowresponsive element and to transmit, signal, indicate or record the same at a place remote from the flow meter, or to record, control, proportion or interpret the fluid flow to or from the flow meter in the nature of computers and computer processing units; diaphragm-type pressure transmitting seals for use with pressure responsive flow meters consisting of a radio transmitter and a metal seal sold as a unit; positive displacement bi-rotor fluid meters; liquid level gauges, liquid and flowable solids level indicators; bin and tank level indicators; display and recording units consisting of computers and computer hardware for measuring level, density, weight, mass flow and moisture content of industrial process materials; flow control valves for regulating the flow of liquids and gases for refrigerating units: variable area flow meters for measuring, indicating, recording, and controlling the flow of fluids; gas flow rate calibrators; motion or position digital transmitters for use in connection with flow meters and fluid level indicators; gas flow regulators; flow meters for measuring, indicating, recording, and controlling the flow of fluids; flow rate indicating meters; gas flow revolution counters, temperature, pressure, density, flow rate, and ph determining units in the nature of electronic sensors for process monitoring and water treatment control; mass flow electrical controller; electronic thermal mass flow controllers; gas and liquid flow electrical controllers for industrial use, primarily in the pharmaceutical,



Consent Agreement Page 4 of 15

> semiconductor, biotech and petrochemical industries; liquid analysis instruments and equipment, namely, liquid level sensors; automatic liquid sampling devices for use with liquid analysis instruments, namely, sensors, transmitters and analyzers for measuring ph, orp, conductivity, dissolved oxygen chlorine, ozone suspended solids and turbidity; indicating and measuring instruments, namely, industrial process pressure recorders and electrical controllers, flow measurement electric recorders and electrical controllers, gas and liquid analysis electric recorders and electrical controllers: liquid level analysis meters: residual gas analyzers: combustion analyzers; electronic signaling and control devices responsive to liquid or gas flow in industrial flow-measuring equipment, namely, signal conditioning and communication device for industrial process control; gas flow rate calibrators; gas flow regulators; gas pressure regulators; gas flow calibrators and revolution counters; gas pressure regulators; combination gas pressure regulator and redundant solenoid gas valve for use in forced air furnaces, boilers, clothes dryers, and gas water heaters; laboratory analyzers, namely laboratory residual gas analyzers; automatic chromatography parts consisting of auto samplers; laboratory instruments in the nature of thermal desorbers for chemical analysis; total organic analyzers that measure the air for concentrations of volatile organic compounds; total organic halide analyzers; trace element analyzers for use in the determination of heavy metals and other harmful substances in the monitoring of environmental pollution; purge and trap concentrators that allow for the automatic processing of liquid and soil samples for analysis by gas chromatography; static head space analyzers; laboratory equipment, namely, whole air concentrators; thermal desorption laboratory instruments, namely, desorbers, autosamplers, desorption tubes, tube conditioners, for use in extracting and isolating volatile and semivolatile compounds; control valves for regulating the flow of gases; electromechanical instruments for use in measuring the pressure and flow of a valve or regulator; automatic valves, namely, automatic check valves, unloading check valves for the outlets of air compressors, automatic ball check valves; automatic piezoelectrically operated valves; analog and digital electric and electronic control, operating, diagnostic and connecting elements and units, namely, computers for controlling, operating, diagnosing and connecting data in plants; electric and electronic network elements, lines and interfaces for use in pneumatic and hydraulic installations and equipment, namely, computer interface boards and plumb lines; explosion proof automatic valves; pressure equalizing automatic valves; pressure relief valves for use in farm tap applications; safety valves for use in flow shutoff in well construction; automatic regulating valves; automatic fuel dosing valves; control devices in the nature of electronic controllers for the forcible opening of automatic compressor valves; valve position electronic sensors for determining valve position; automatic valves for zone control in heating systems;

4

Consent Agreement Page 5 of 15

> electromagnetic solenoid gas valves for heating systems; electric valve actuators; automatic condenser regulation control valves; automatic ball valves; automatic seal valves; automatic butterfly valves; unloading lined and unlined check valves for the outlets of air compressors; combination valves consisting of control valves for regulating the flow of gases and liquids and automatic valves; automatic globe control valves; automatic iacketed valves; automatic line block valves: automatic needle control valves; automatic plug valves; solenoid valves in the nature of electromagnetic switches; digital electrical valve controllers; automatic steam conditioning valves; automatic rotary valves; automatic segmented ball valves; electric plug valves; automatic full bore pipe line ball valves; automatic high performance butterfly valves; universal automatic globe valves; general service automatic globe valves; chemical service automatic globe valves, high pressure automatic globe valves; digital level electrical controllers; digital fluid level transmitters; pneumatic pressure controllers; pneumatic temperature controllers; automatic low flow valves; automatic rotary eccentric plug valves; automatic sanitary valves; valve instrumentation, namely, electro-pneumatic transducers, position digital transmitters, automatic trip valves featuring volume boosters that are used in conjunction with a positioner on a throttling control valve to increase stroking speed; voltage regulators; air regulators; electro-mechanical instruments for use in measuring the pressure and flow of a valve or regulator, namely pressure gauges and air flow gauges; pressure regulators for controlling the flow of gases; fuel pressure regulators; voltage regulator by-pass electric switches, electrical devices for measuring physical properties of flowable materials and controlling process equipment and accessories therefor namely, electrical power supplies and air flow regulators, reactive power compensators, converters, air flow meters and air flow recorders, and electrical controllers and electrical air flow regulators; ac line regulators; air, fuel and voltage regulators: plant, facility and field process management devices, namely, field radio transmitters, residual gas analyzers, electrical valve controllers, digital conductivity transmitters, digital valve controllers, fan/damper electric valve actuators, fluid flow and fluid density meters, gas chromatograph, magnetic flow meters, oxygen analyzers, oxygen digital transmitters, ph digital transmitters, pressure digital transmitters, temperature digital transmitters, electric valve actuators, vortex water flow meters; computer software for process and facility automation, management and optimization, namely, database management software for process variability reduction, increased plant availability, reduced capital and engineering costs, reduced operations and maintenance costs, enhanced safety and environmental compliance; electrical switches; automatic valves; gas flow meters for domestic, industrial, commercial or agricultural use: fluid flow indicators; signal and alarm devices for the detection of equipment failure and malfunction; valve position indicators;



Consent Agreement Page 6 of 15

gas flow calibrators; process calibrators; temperature calibrators; malfunction calibrators; calibrators used to verify pressure; calibrators used to verify electrical sensors: calibrators used to verify electrical transmitters; calibrators to identify temperature errors; calibrators to identify calibration errors: motion or position digital transmitters for use in connection with flow meters and fluid level indicators; digital transmitters use with moisture, humidity and/or temperature sensors, and with moisture, humidity and/or temperature indicators, recorders and control units: electronic motion or position transmitters, particularly adapted for use in connection with flow meters and liquid level indicators; liquid level digital transmitters; electroacoustic transducers; purge meters functioning as variable area flow meters for both liquid and gas; electrical conductivity meters; flow rate indicating meters for liquids and gas; petrol meters, regulatory, recording and interpretative fluid meters and devices used in connection therewith for commercial and industrial use, namely, flow meters for measuring, indicating, recording and controlling the flow of fluids, oil gauges, liquid level gauges, gas flow calibrators and revolution counters; positive displacement fluid flow meters; flow computers; oil gauges; liquid level gauges; pressure and vacuum gauges; apparatuses and instruments for measuring, controlling, supplying and distributing gases and mixtures thereof, namely, gas flow meters, gas regulators, gas control and distribution electric control panels, mass flow meters and electrical controllers, purge fluid meters, gas cylinder weighing scales, gas detection proportion gauges and rotometers; electronic fluid analysis meters; electric valve actuators; switch boxes; speed control plates consisting of electronic speed controllers inside of a metal plate; bus communication devices, namely universal serial bus operating hardware; electrical power supplies; computer database management software for asset, equipment and facility management, computer software for industrial process control; computer software for database management that stores data process control information; remote repair and diagnosis equipment in the nature of computers for the remote repair and diagnosis of equipment; electrical controls for increasing stroking speed on throttling control valves; computer software for industrial factory automation, namely, software to integrate manufacturing machine operations, track problems and generate production reports; computer software for database management, database analysis and database reporting of industrial process control information; industrial process control software, namely, computer software for rotating machinery and process instrumentation; computer database management software for collecting. analyzing, sharing and utilizing customer information; computer industrial process control software for reducing process variability and facilitating regulatory compliance; computer industrial process control software for lowering facility installation, operating and maintenance costs; computer industrial control software for improving process up time; computer



Consent Agreement Page 7 of 15

> industrial process control software for increasing machinery asset utilization, monitoring and communicating process and equipment information; computer industrial process management software for production optimization in the oil and gas, hydrocarbon processing, chemicals, power generation, utilities, water and waste water industries; computer software for creating models of equipment configurations; computer industrial process management software for predicting the timeline for equipment maintenance; computer industrial process management software for maximizing power plant boiler performance reducing heat rates, reducing steam waste, stabilizing steam temperature control, optimizing soot blowing, minimizing opacity, minimizing emissions; computer industrial process management software for identifying controllable machinery losses; computer industrial process management software for reducing plant maintenance costs; computer industrial process management software for reducing unplanned energy outages and optimizing the distribution of machinery load demands across multiple units or unit components; computer industrial process management software for operating and monitoring waste treatment plant equipment, for controlling, monitoring and supervising the creation and distribution of critical alternating current and direct current buses for commercial and industrial power systems; computer software for database management recorded on cd-rom; ultrasonic sensing systems, namely, liquid level sensors, interface pressure sensors, acoustic impedance sensors and associated controls in the nature of electrical controllers for acoustic impedance sensors; temperature sensors; non-contacting pressure sensors; production optimization industrial process management software; performance monitoring industrial process management software; electric ac and dc adjustable speed drives for commercial and industrial use; solid state electric dc drives; analytical instruments, namely, instruments for measuring pressure, temperature, level and flow of liquids and gasses; remote operations electrical controllers, digital pressure transmitters, digital mass flow transmitters, digital multivariable transmitters, digital absolute pressure transmitters, digital gauge pressure transmitters, digital pressure transmitters for the pulp and paper industry, digital pressure transmitters for the food and pharmaceutical industries, digital temperature transmitters, programmable digital temperature transmitters, temperature sensors; radar level gauges, liquid level transmitters, vortex fluid and gas flow meters, mass fluid and gas flow transmitters, temperature validators in the nature of thermometers that validate temperature; electronic video monitors for use in continuous monitoring of emissions and of combustion; process and environmental analyzers in the nature of computers for gathering and logging data and monitoring processes running on a given system and alerting as to when shut downs are appropriate and/or necessary; process chromatographs; gas BTU chromatographs; portable process chromatographs; portable gas BTU chromatographs; photometers



Consent Agreement Page 8 of 15

for measuring, controlling and adjusting process variables, namely, flow rate, density, level, pressure, temperature; computer software for controlling and collecting processing and analyzing data from photometers; devices in the nature of computers for recording process variables, namely, flow rate, density, level, pressure and temperature; electromechanical controls for heating, cooling and refrigeration units; electromechanical controls for appliances and air conditioners; automatic shut-off controls for welding machines; electronic and electrical control units for use in conjunction with electromagnetic friction brakes and clutches; electrical hot water controls; high temperature oil electrical controls: solid state temperature electrical controllers; thermostats; input electrical controllers; electrical overheat controls for use with heaters; electronic programmable servo control units for industrial use, consisting of electronic servo motor controllers, brushless servomotors and digital servo motor drives; electronic controls for electromagnetically actuated devices in the nature of electric valve actuators used in conjunction with motors other than vehicle motors; electronic temperature control apparatus for control of heating/cooling units used in industrial processing and commercial applications consisting of temperature sensors and electrical controllers; digital control units consisting of electrical connectors, connecting electrical connectors, electrical controllers adapter plugs for providing current; dynamoelectric controls used in industrial processing and commercial applications; electrical control units comprising rectifiers, electric resistors, and capacitors for use in conjunction with electromagnetic friction brakes and clutches electronic control stations for control of electric installations: electrical controllers: thermoelectric controls in the nature of thermo electrical controllers; solid state electric speed controls for ac motors: electronic batch controllers: thermostats and temperature control devices for electric hot water heaters; thermostatic hot water controls; furnace and boiler draft controls for application to heating control, usable as a unit together under control of the thermostat and the thermostatic hot water control; electric motor controls; oil burner controls; solid-state flame detecting oil burner controls; gas burner controls; control systems, comprising a variable frequency electrical power supply for stopping, starting and regulating the speed of electric motors; remote controls for operating machines; electronic speed controllers for machinery; water heater controls; electric valve actuators, fluid flow control valves; electric concentrators for electric energy conversion and collection (in International Class 009);

industrial and commercial machine and equipment repair and predictive maintenance services in the chemical, food and beverage, hydrocarbon and energy, life science, pharmaceutical, power, pulp and paper, refining and water and waste water industries; installation and repair of process



Consent Agreement Page 9 of 15

control and automation systems consisting of computers, automation software, measurement devices, analytical instruments and valves; machinery repair and replacement (in International Class 037); and

technical consulting services in the fields of plant machinery, facility machinery and operations efficiency; engineering services; diagnostic services in the field of machinery, plant machinery and facility machinery, featuring remote analysis of machinery; plant, facility and machinery troubleshooting in the nature of diagnosis services of machinery; product safety performance testing of plant and facility machinery and equipment to ensure satisfactory performance; machinery calibration; remote performance monitoring of process and mechanical plant and facility equipment, namely, remote performance monitoring of facility machinery and heating, ventilating and air conditioning apparatus for process and mechanical plants (in International Class 042).

4. Emerson, by itself and/or through its divisions, subsidiaries and Affiliates, also uses or has a bona fide intention to use and owns the following mark:



Emerson owns the following corresponding U.S. Trademark Applications:

- a. Serial No. 76/975,789 covering "humidifiers" (in International Class
 011);
- b. Serial No. 76/978,210 covering "household air cleaners; air purifying units for domestic, commercial and industrial use; ventilating fans and blowers for commercial, industrial and agricultural use; ceiling fans; air circulators" (in International Class 011); and



Consent Agreement Page 10 of 15

- c. Serial No. 76/975,791 currently covering "ranges and replacement parts therefor" (in International Class 011).
- 5. The marks described in Paragraphs 3 and 4 above are hereinafter collectively referred to as the "Emerson Marks".
- 6. The U.S. Patent and Trademark Office has cited the registrations for the Airwell Marks described in Paragraph 2 above as bars to registration of the Emerson Marks (except Serial No. 76/975,791, which has been allowed). Emerson has petitioned to cancel the registrations for the Airwell Marks.
- 7. The Parties are unaware of any confusion to date arising from the concurrent use of their respective marks on or in connection with their respective goods and/or services.
- 8. Airwell hereby consents to the use and registration of the Emerson Marks in light of the following:
- a. The Airwell Marks and the Emerson Marks are, or can be made to be, sufficiently different in their entireties as to appearance and commercial impression, when taken with the differences between the distinct goods and/or services, to prevent likelihood of confusion;
- b. The Parties' respective goods and/or services in connection with which their respective marks are used are distinct and non-overlapping.
- 9. Each Party agrees not to promote, advertise or distribute its respective goods and/or services in any way that would lead consumers to associate it with the other Party or its goods and/or services.



Consent Agreement
Page 11 of 15

- 10. In the event that this Agreement is not accepted by the U.S. Patent and Trademark Office or does not obviate the Office's refusal to register the Emerson Marks, the Parties will work together to draft a consent agreement that will permit Emerson's registration of the Emerson Marks.
- 11. Within two (2) weeks of the Effective Date, Emerson's counsel shall file the Stipulated Motion to Withdraw Cancellation in the form attached hereto as Exhibit A.
- 12. Emerson, together with its divisions, subsidiaries, and Affiliates, hereby releases and discharges Airwell, together with its divisions, subsidiaries, and Affiliates, from any claim that the Airwell Marks have been abandoned prior to the Effective Date of this Agreement. Nothing contained in this Agreement nor the Stipulated Motion shall prevent Emerson, its divisions, subsidiaries, or Affiliates, from bringing a claim of abandonment of the Airwell Marks against Airwell, its divisions, subsidiaries, or Affiliates, based upon abandonment of the Airwell Marks effective subsequent to the Effective Date of this Agreement.
- 13. Either Party may license or assign its respective rights hereunder, in whole or part, provided that such license, assignment, or other agreement does not conflict with the provisions of this Agreement and provided that the parties to same ratify and expressly agree to be bound by the terms hereof.
- 14. This Agreement shall be construed without regard to any presumption or any other rule requiring construction against the Party causing it to be drafted.
- 15. This Agreement may be executed in two counterparts, each of which, when executed, shall be deemed an original and such counterparts together shall constitute a single instrument.



Consent Agreement Page 12 of 15

IN WITNESS WHEREOF, the Parties have executed this Agreement in duplicate

by their respective duly authorized representative.

TECHNOLOGIES LIMITED

AIRWELL HONG KONG

Name: PEDIZO 12017 GDME?

Title: DIRECIOR

Date: 01 / 07 / 2009

EMERSON ELECTRIC CO.

Name: Dale A. Kubly

Title: Vice President & Associate General Counsel

Date: August 2 (, 2009

EXHIBIT A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

EMERSON ELECTRIC CO.,

Petitioner,

٧.

Canc. No. 92050426

AIRWELL HONG KONG. TECHNOLOGIES LIMITED. Reg. Nos. 1119176 and 1612846 – EMERSON

Respondent.:

QUIET KOOL

STIPULATED MOTION TO WITHDRAW CANCELLATION

Petitioner, Emerson Electric Co., with the consent of Respondent, expressly withdraws the above-identified cancellation proceeding without prejudice.

Respectfully submitted,

EMERSON ELECTRIC CO.

Lisabeth H. Coakley Lisa M. DuRoss

Jessica S. Sachs

Harness, Dickey & Pierce, P.L.C.

Suite 400

5445 Corporate Drive

Troy, MI 48098

Phone: 248-641-1600

Fax: 248-641-0270

Attorneys for Petitioner

8/17/09

Respondent, Airwell Hong Kong Technologies Limited, by its counsel, consents to the foregoing withdrawal without prejudice.

AIRWELL HONG KONG TECHNOLOGIES LIMITED

D.,

Jennifer L. Dean

Drinker Biddle & Reath LLP

1500 K Street, N.W. - Suite 1100

Washington, D.C. 20005

Phone: 202-842-8800; Fax: 202-842-8465

DC01/2194102.4

CERTIFICATE OF SERVICE

I hereby certify that a copy of the STIPULATED MOTION TO WITHDRAW CANCELLATION was served on counsel for Respondent, at the following address of record, by first class mail, postage prepaid, this 1st day of 1st where, 2009:

Jennifer L. Dean Drinker Biddle & Reath LLP 1500 K Street, N.W. – Suite 1100 Washington, D.C. 20005

Jisnea Sacho

EXHIBIT H

Consent Agreement

This Consent Agreement ("<u>Agreement</u>") is entered into and effective as of the last date written below, by and between Emerson Electric Co. ("<u>Emerson</u>"), a Missouri corporation having a principal place of business at 8000 West Florissant Avenue, St. Louis, Missouri 63136, and Airwell Hong Kong Technologies Ltd. ("<u>Airwell</u>"), a Hong Kong corporation having a principal place of business at Houston Centre, Room 805, 63 Mody Road, Tsim Sha Tsui East, Kowloon, Hong Kong, concerning Airwell's use and registration of the Airwell Mark (as set forth below) in the United States.

In consideration of the terms of this Agreement and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties agree as follows:

- 1. Airwell is the owner of U.S. Trademark Application Serial No. 77/946976 (the "Airwell Application") for the mark EMERSON QUIET KOOL (the "<u>Airwell Mark</u>") for "portable compact residential window and wall room air conditioning units" (the "<u>Airwell Goods</u>")
- 2. Emerson is the owner of: U.S. Trademark Registration No. 3,771,945 for the mark EMERSON for "environmental measuring, testing and monitoring instruments" and other goods more specifically set forth therein; U.S. Trademark Registration No. 1,795,612 for the mark EMERSON for "compressors for use in refrigeration" and other goods more specifically set forth therein; and U.S. Trademark Registration No. 1,742,773 for the mark EMERSON for "AC and DC adjustable speed drives for commercial and industrial use" and other goods more specifically set forth therein (together, the "Emerson Marks").
- 3. The U.S. Patent & Trademark Office has cited the Emerson Marks as bars to registration of the Airwell Application.
- 4. The parties do not anticipate any confusion arising from the concurrent use of their respective marks on or in connection with their respective goods and/or services as provided by this Agreement.
- 5. Accordingly, Emerson hereby consents to registration of the Airwell Mark in the Airwell Application and to use of the Airwell Mark, in connection with the Airwell Goods, in light of the following:
- a. The Airwell Mark and the Emerson Marks are, or can be made to be, sufficiently different in their entireties as to appearance and commercial impression, when taken with the differences between the distinct goods and/or services, such that there is no likelihood of confusion:
- b. The Airwell Goods and Emerson's respective goods and/or services are sufficiently different when used in connection with their respective marks.

- Each party agrees not to promote, advertise, or distribute its respective goods 6. and/or services in any way that would lead consumers to associate it with the other party or its goods and/or services.
- 7. In the event this Agreement is not accepted by the U.S. Patent & Trademark Office or does not obviate the Office's refusal to register the Airwell Application, the parties will work together to draft a consent agreement that will permit Airwell's registration of the Airwell Application.
- Either party may license or assign its respective rights hereunder, in whole or in part, provided that such license, assignment, or other agreement does not conflict with the provisions of this Agreement and provided that the parties to the same ratify and expressly agree to be bound by the terms hereof.
- This Agreement shall be construed without regard to any presumption or any other rule requiring construction against the party causing it to be drafted.
- This Agreement shall not operate to alter, amend, replace or terminate the 10. Consent Agreement dated August 21, 2009, previously entered by the parties, which shall remain in effect pursuant to its terms.
- This Agreement may be executed in two counterparts, each of which, when executed, shall be deemed an original and such counterparts together shall constitute a single instrument.

In witness whereof, the parties have executed this Agreement by their respective duly authorized representatives.

Hong Kong Technologies, Ltd.

Name:

Emerson Electric Co.

Name: Timothy G. Westman

Title: Vice President, Assistant Secretary &

Associate General Counsel

EXHIBIT I

LIVING ROOM SALE

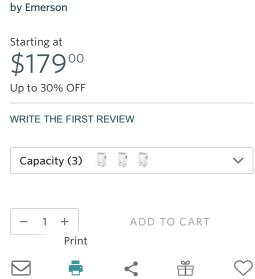
BAR STOOL & DINING CHAIR SALE

OUTDOOR SALE & CLEARANCE

FITNESS SALE

Hayneedle > Home Improvement > Heating & Cooling > Dehumidifiers > Item # ALMO693





FREE Shipping arrives by Sep 05
Upgrade to get it sooner

Earn 3% cash back in My Rewards

Emerson Quiet Kool Dehumidifier















Full Screen

Product Overview

- Choose from available capacity size options
- Separate settings for basements or bedrooms
- Can remove between 30 to 70 pints in 24 hrs.
- 24-hr. timer for preset operation
- 1-year manufacturer's warranty; see Guarantee & Returns

MORE PRODUCT INFO

Specifications

Dimensions

15.4L x 12.1W x 25.2H in. 14.17L x 10.63W x 19.29H in.

Weight

33.76 lbs.

45.2 lbs.

32.51 lbs.

MORE SPECS

Delivery

Economy Service - FREE

Sept 5 (Tuesday)

FedEx Ground - \$4.99

Aug 31 (Thursday)

MORE DELIVERY INFO

Exhibit H

Similar Dehumidifiers SHOP ALL DEHUMIDIFIERS













Product Information

X

Overview

- Choose from available capacity size options
- Separate settings for basements or bedrooms
- Can remove between 30 to 70 pints in 24 hrs.
- 24-hr. timer for preset operation
- 1-year manufacturer's warranty; see Guarantee & Returns

What's Included

Humidifier

What's Not Included

Garden hose

Description

Get humidity levels under control with the Emerson Quiet Kool Dehumidifier. This unit is specially design to protect your home from mildew and mold caused by excess moisture, removing harmful bacteria and allergens from the air so you can breathe easily. The portable dehumidifier comes in your choice of available sizes and features a sleek, attractive design you won't be ashamed to leave out in your living room or kitchen. Store water in the generous tank or drain continuously with a garden hose (sold separately). Advanced features include three span feeds, auto defrost function for winter use, clean filter indicator, anti-splash guard, auto shutoff, auto restart, a digital display, built-in timer, and more.

Thoughts on our product description? Leave feedback.

About Emerson



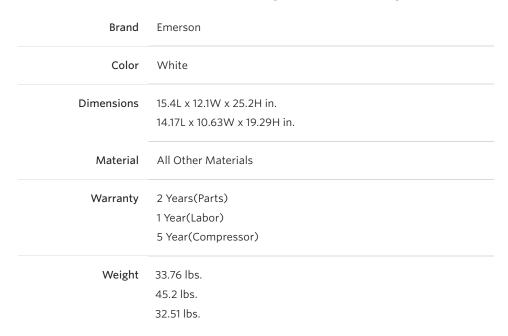
J. W. Emerson founded the Emerson Electric Manufacturing Company in 1897 in St. Louis, Mo. Still based in the St. Louis area, Emerson Fan has been making quality electric parts for over 111 years. Starting with railroad switches, the company soon expanded to ceiling fans and was the first company to make a personal desk fan, a fad of office buildings during the 1920s. Besides its St. Louis headquarters, Emerson has production facilities in Dallas and Richardson, Texas, Lenexa, Kan., and Murfreesboro, Tenn.

SHOP ALL EMERSON

Technical Details

X

Specifications



Thoughts on our specifications? Leave feedback.

Delivery & Returns

X

Delivery Options

FedEx Ground \$4.99 - Aug 31 (Thursday)

Zip Code 49512 Grand Rapids, MI United States V UPDATE

Delivery Standards

Hayneedle Gold-Star Shipping Standards

Here's what you can count on:

- Multiple fulfillment-center locations for quick shipping, coast to coast
- Hundreds of thousands of quick-shipping items arrive in just 2 days
- Fast, efficient delivery by FedEx, our small-parcel carrier of choice
- Affordable upgrades to suit a variety of needs

SHIPPING & DELIVERY DETAILS

Product Guarantee	We stand behind all the products we sell, and your satisfaction is our top priority. If you're not pleased with the quality of your purchase, call us at 1.888.880.4884 or visit our Returns Center for more information.
Manufacturer Warranty	This item includes a manufacturer's warranty for 12 months on labor, 24 months on parts, and 60 months on compressor. For more warranty information, please contact Almo at 800-345-2566 or support@almo.com.
Return & Exchange Policy	You may return most new, unused, and resalable items for a refund or exchange within 30 days of delivery date.
	Visit our Returns Center for complete information and step-by-step help.
Reviews	×
Write a Review	Earn up to \$3 in Rewards when you review this product. WRITE THE FIRST REVIEW
Questions & Answers	×
Ask a Question	Be the first to ask a question about this product.
Email Address	Enter your question, and one of our Customer Care experts will respond via email and also post the answer here.
Question	
SUBMIT	
Sponsored Links	

*** (4.4)

Buy Commercial Dehumidifiers - Huge Range of Dehumidifiers

Humidity Control For Applications Including Plumbing, Construction, And More. Apply for net 30 account · Trusted industry leader · Call us 7 days a week · Chat with an expert

Dri Eaz® Dehumidifiers Industrial Dehumidifiers Small Dehumidifier Dehumidifiers

Polyethylene Dehumidifier

**** (4.5)

Top Rated Dehumidifiers - Free Shipping. Great Brands. Save

For Basement & Home. Expert Advice.

Free Shipping Over \$150 · Low Price Guarantee · Trusted Appliance Brand · Sales & Special Offers

Brands: Fral, WhiteWing, Frigidaire, Friedrich

10% Off Orders Up To \$400 Dehumidifiers On Sale

Pure & Dry Dehumidifiers

wavehomesolu... ▼ (800) 293-9577

Basement Dehumidifier - Wave Home Ventilation Systems

Get Rid Of Odors. No Buckets of Water. Cleaner, Healthier Air. Learn More!

Performance Guarantee · Cost Efficient · Superior Air Quality

Highlights: Provide A Sensible Continuous Ventilation, Maximize Performance, No More Musty Odors

Recently Viewed



Enter your email to receive special offers.

About Hayneedle

SUBMIT

Policies







Our Story Shipping Satisfaction Guarantee Blog Returns Rewards Partner With Us Track Order

Best Price Guarantee In The News Contact Us

Customer Service

Privacy Policy Careers Help Center Terms of Use Site Map **Email Preferences**

Security **Buying Guides** Gift Certificates

CA Transparency in **Business Sales** Supplier Login Supply Chains Act Trade Program Affiliate Program

Leave Feedback **Recall Information**

Guest #1-993-412-277

Customer Service

Mon - Fri 7 am - 12 am ET Sat - Sun 8 am - 8 pm ET

Sales Assistance

Mon - Fri 8 am - 12 am ET Sat - Sun 9 am - 12 am ET



4.6 **** Google Customer Reviews



Copyright 2002 - 2017, Hayneedle Inc., All rights reserved.